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All financial disclosure reports filed under the EIGA, as amended, are available for viewing in the Senate Office of Public Records, 144 Senate Hart Building Washington, DC 20510, including reports for Senate and Commission employees. As required by the EIGA, as amended, employee reports are retained for a period of six years after the date of receipt.

Note Reports will display in the format they were filed: either electronically (text) or on paper (images).

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First Name (Middle)	Last Name (Suffix)	Office (Filer Type)	Report Type	Date Received/Filed
Thomas H	Tuberville	Tuberville, Tommy (Senator)	Annual Report for CY 2025	08/11/2026
Thomas H	Tuberville	Tuberville, Tommy (Senator)	Annual Report for CY 2024 (Amendment 1)	08/10/2026
Thomas H	Tuberville	Tuberville, Tommy (Senator)	Periodic Transaction Report for 01/15/2026 (Amendment 1)	08/05/2026
Thomas H	Tuberville	Tuberville, Tommy (Senator)	Periodic Transaction Report for 11/15/2025 (Amendment 1)	08/05/2026
Thomas H	Tuberville	Tuberville, Tommy (Senator)	Periodic Transaction Report for 05/15/2025 (Amendment 1)	08/05/2026
Thomas H	Tuberville	Tuberville, Tommy (Senator)	Periodic Transaction Report for 02/14/2025 (Amendment 1)	08/05/2026
Thomas H	Tuberville	Tuberville, Tommy (Senator)	Periodic Transaction Report for 11/15/2024 (Amendment 2)	08/05/2026
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Thomas H	Tuberville	Tuberville, Tommy (Senator)	Periodic Transaction Report for 04/15/2024 (Amendment 1)	08/05/2026
Thomas H	Tuberville	Tuberville, Tommy (Senator)	Periodic Transaction Report for 07/16/2026	07/16/2026
Thomas H	Tuberville	Tuberville, Tommy (Senator)	Annual Report for 2025 Due Date Extension 1	05/01/2026
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Thomas H	Tuberville	Tuberville, Tommy (Senator)	Periodic Transaction Report for 02/14/2025	02/14/2025

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		(Senator)	08/15/2022	
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Thomas H	Tuberville	Tuberville, Tommy (Senator)	Annual Report for 2021 Due Date Extension 1	03/17/2022
Thomas H	Tuberville	Tuberville, Tommy (Senator)	Periodic Transaction Report for 03/11/2022	03/11/2022
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Thomas H	Tuberville	Tuberville, Tommy (Senator)	Annual Report for 2020 Due Date Extension 1	05/14/2021
Thomas H	Tuberville	Candidate (Candidate)	Candidate Report	06/05/2020
Thomas H	Tuberville	Candidate (Candidate)	Candidate Report	08/13/2019
Thomas H	Tuberville	Candidate (Candidate)	Candidate Report Due Date Extension 2	07/11/2019
Thomas H	Tuberville	Candidate (Candidate)	Candidate Report Due Date Extension 1	05/14/2019

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Financial Disclosures

Candidate Report

Mr. Thomas H Tuberville

State of Candidacy: AL | Candidacy Commenced:

 **Filed 08/13/2019 @ 6:07 PM**

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
-

☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **Yes**

#	Date	Activity	Amount	Who Paid?	Who re-ceived pay-ment?	Comments
1	02/04/2019	Speech	\$2,000.00	First United Methodist Church Alexander City, AL	A Charity	n/a
2	03/19/2019	Speech	\$1,750.00	Greater Alabama Council Anniston, AL	A Charity	n/a
3	03/19/2019	Speech	\$250.00	Greater Alabama Council Anniston, AL	Self	n/a
4	06/25/2019	Speech	\$3,500.00	Mobile Touchdown Club Mobile, AL	A Charity	n/a
5	01/30/2018	Speech	\$5,000.00	The Loren Smith Company Atlanta, GA	A Charity	n/a
6	04/17/2018	Speech	\$2,500.00	University of Oregon Eugene, OR	A Charity	n/a

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Pension	Retirement Systems of Alabama Montgomery, AL	\$62,761.33	n/a
2	Self	Salary	Backroads Sports Network LLC Destin, FL	\$24,874.00	n/a
3	Self	Salary	American Broadcasting Companies, Inc. (ESPN) Bristol, CT	\$20,000.00	n/a
4	Self	Self-Employment Income	University of Oregon Eugene, OR	\$2,500.00	n/a
5	Self	Self-Employment Income	The Athletic Media Company San Francisco, CA	\$900.00	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Hancock Whitney Bank (Santa Rosa Beach, FL) <i>Type:</i> Checking,	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
2	Rental Property 1 <i>Description:</i> Condominium (Santa Rosa Beach, FL)	Real Estate Residential	Joint	\$250,001 - \$500,000	Rent/Royalties,	\$50,001 - \$100,000
3	Rental Property 2 <i>Description:</i>	Real Estate Residential	Joint	\$250,001 - \$500,000	Rent/Royalties,	\$50,001 - \$100,000

Condominium (Santa Rosa Beach, FL)

4	Fidelity Joint Brokerage Account	Brokerage/Managed Account	Joint			
4.1	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
4.2	<u>ACB</u> - Aurora Cannabis Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
4.3	<u>BA</u> - The Boeing Company	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
4.4	<u>DG</u> - Dollar General Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
4.5	<u>UA</u> - Under Armour, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
4.6	Fidelity (SPAXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
5	Prudential Variable Annuity <i>Provider: Prudential</i>	Annuity Variable Annuity	Self			
5.1	AST Preservation Asset Allocation Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.2	AST AllianzGI World Trends Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.3	AST Fidelity Institutional AM* Quant	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.4	AST J.P. Morgan Global Thematic	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.5	AST Prudential Growth Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6	Lincoln IRA	Retirement Plans IRA	Self			
6.1	Fidelity (SPAXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
6.2	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.3		Corporate	Self	\$50,001 -	Dividends,	\$1,001 -

	<u>AAPL</u> - Apple Inc.	Securities Stock		\$100,000		\$2,500
6.4	<u>C</u> - Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
6.5	<u>GE</u> - General Electric Company	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.6	<u>GM</u> - General Motors Company	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.7	<u>NUE</u> - Nucor Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.8	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
6.9	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
6.10	<u>TEVA</u> - Teva Pharmaceutical Industries Limited	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.11	<u>UA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.12	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.13	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
6.14	<u>WAB</u> - Wabtec Corporation	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.15	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.16	<u>FPADX</u> - Fidelity Emerging Markets Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
6.17	FIDELITY EMERGING MKTS INDEX PREMIUM (FPMAX)	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.18	<u>FSPSX</u> - Fidelity International Index Fund	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
6.19	<u>FSIVX</u> - Fidelity International Index Premium	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)

6.20	<u>FSMDX</u> - Fidelity Mid Cap Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
6.21	<u>FSCXK</u> - Fidelity Mid Cap Index Premium	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
6.22	<u>SGENX</u> - First Eagle Global Fund Class A	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.23	<u>EVBLX</u> - Eaton Vance Floating - Rate Fund Class A	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.24	<u>FTBFX</u> - Fidelity Total Bond Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
6.25	<u>PONAX</u> - PIMCO Income Fund Class A	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
6.26	<u>PONDY</u> - PIMCO Income D	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
6.27	<u>PBDAX</u> - PIMCO Investment Grade Credit Bond Fund Class A	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
6.28	<u>PBDDX</u> - PIMCO Investment Grade Corp Bd D	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
6.29	<u>PRFRX</u> - T. Rowe Price Floating Rate Fund	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
6.30	<u>TPINX</u> - Templeton Global Bond Fund Class A	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
6.31	<u>TDIV</u> - First Trust NASDAQ Technology Dividend Index Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.32	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
6.33	<u>IYE</u> - iShares U.S. Energy ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.34	<u>XLP</u> - Consumer Staples Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
6.35	<u>XLF</u> - Financial Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.36	<u>XLU</u> - Utilities Select Sector SPDR	Mutual Funds Exchange Traded	Self	\$15,001 - \$50,000	None,	None (or less than

	Fund	Fund/Note				\$201)
6.37	<u>XLV</u> - Health Care Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.38	<u>XAR</u> - SPDR S&P Aerospace & Defense ETF	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
6.39	<u>VIG</u> - Vanguard Dividend Appreciation Index Fund ETF Shar	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
6.40	<u>DHS</u> - WisdomTree U.S. High Dividend Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7	Lincoln Joint Brokerage Account	Brokerage/Managed Account	Joint			
7.1	Fidelity (SPAXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$500,001 - \$1,000,000	Dividends,	\$15,001 - \$50,000
7.2	<u>ABBV</u> - AbbVie Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.3	<u>ACN</u> - Accenture plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.4	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.5	<u>AGN</u> - Allergan plc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.6	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.7	<u>AWK</u> - American Water Works Company, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.8	<u>ABC</u> - AmerisourceBergen Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.9	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.10	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7.11	<u>ADM</u> - Archer-Daniels-Midland Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

7.12	<u>BDX</u> - Becton, Dickinson and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.13	<u>FUN</u> - Cedar Fair, L.P.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.14	<u>CELG</u> - Celgene Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.15	<u>CTAS</u> - Cintas Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.16	<u>CSX</u> - CSX Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.17	<u>CVS</u> - CVS Health Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.18	<u>STZ</u> - Constellation Brands, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.19	<u>DEO</u> - Diageo plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.20	<u>DIS</u> - The Walt Disney Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.21	<u>D</u> - Dominion Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.22	<u>DOW</u> - Dow Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
7.23	<u>DWDP</u> - DowDuPont Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.24	<u>DUK</u> - Duke Energy Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.25	<u>XOM</u> - Exxon Mobil Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.26	<u>FDX</u> - FedEx Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.27	<u>GTX</u> - Garrett Motion Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
7.28	<u>GD</u> - General Dynamics Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000

7.29	<u>GILD</u> - Gilead Sciences, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.30	<u>GSBD</u> - Goldman Sachs BDC, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
7.31	<u>GS</u> - The Goldman Sachs Group, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.32	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.33	<u>JD</u> - JD.com, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
7.34	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.35	<u>JPM</u> - JPMorgan Chase & Co.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.36	<u>KMB</u> - Kimberly-Clark Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.37	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.38	<u>LMT</u> - Lockheed Martin Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.39	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.40	<u>MCD</u> - McDonald's Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.41	<u>MDT</u> - Medtronic plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.42	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
7.43	<u>NSRGY</u> - Nestlé S.A.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.44	<u>NEE</u> - NextEra Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.45	<u>ORCL</u> - Oracle Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

7.46	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.47	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.48	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
7.49	<u>PG</u> - The Procter & Gamble Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.50	<u>PRU</u> - Prudential Financial, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.51	<u>QCOM</u> - QUALCOMM Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.52	<u>REZI</u> - Resideo Technologies, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
7.53	<u>SIEGY</u> - Siemens Aktiengesellschaft	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.54	<u>SLF</u> - Sun Life Financial Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.55	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.56	<u>TSCO</u> - Tractor Supply Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.57	<u>TRV</u> - The Travelers Companies, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.58	<u>TSN</u> - Tyson Foods, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.59	<u>UA</u> - Under Armour, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
7.60	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.61	<u>UNP</u> - Union Pacific Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.62	<u>UPS</u> - United Parcel Service, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000

7.63	UTX - United Technologies Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.64	VLO - Valero Energy Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.65	VZ - Verizon Communications Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.66	WMT - Walmart Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.67	XEL - Xcel Energy Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.68	ALABAMA PUB HSG AUTHS CAP PROGRAM (01039WAZ5) <i>Rate/Coupon: 4.45%</i> <i>Matures: 01/01/2024</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.69	ALABAMA STATE UNIV REV GEN TUITION FEE REV (010632MC8) <i>Rate/Coupon: 4.5%</i> <i>Matures: 09/01/2024</i>	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
7.70	ALABAMA STATE UNIV REV GEN TUITION FEE REV (010632PA9) <i>Rate/Coupon: 4.5%</i> <i>Matures: 09/01/2024</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.71	ALABAMA STATE UNIV REV GEN TUITION FEE REV (010632PC5) <i>Rate/Coupon: 4.5%</i> <i>Matures: 09/01/2024</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.72	BIRMINGHAM ALA GO WTS SER (09088RJ31) <i>Rate/Coupon: 5%</i> <i>Matures: 12/01/2043</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$201 - \$1,000
7.73	NEW YORK NY GO BDS (64966MHL6) <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2041</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
7.74	NPFCX - American Funds New Perspective Fund Class C	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$2,501 - \$5,000

7.75	<u>FGSCX</u> - Federated MDT Mid Cap Growth Fund Class C Shares	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.76	<u>ANACX</u> - AB Global Bond Fund Class C	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.77	<u>JHCBX</u> - John Hancock Bond Fund Class C	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.78	<u>PONCX</u> - PIMCO Income Fund Class C	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Dividends,	\$2,501 - \$5,000
8	Regions Bank (Destin, FL) Type: Checking,	Bank Deposit	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
9	Southern States Bank (Auburn, AL) Type: Checking,	Bank Deposit	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
10	Fifth Third Bank (Cincinnati, OH) Type: Checking,	Bank Deposit	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11	Southern States Bank (Auburn, AL) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	\$1,001 - \$2,500
12	Fifth Third Bank (Cincinnati, OH) Type: Savings,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
13	Alabama Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	Other, (Pension Payment)	Other \$62,761.33
14	University of Miami Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
15	Disney SIP	Retirement Plans 401(k), 403(b), or other Defined Contribution Plan.	Self			
15.1	BTC Lifepath 2020 L	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel

Not required

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **No**

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jul 2017 to Jun 2018	Other (On-Air Sports Broadcaster)	American Broadcasting Companies, Inc. (ESPN) Bristol, CT	Corporation	n/a
2	Sep 2018 to Nov 2018	Other (Online Radio Sports Broadcaster)	Backroads Sports Network LLC Destin, FL	Company	n/a
3	Mar 2015 to present	Other (Chairman of the Board)	Tommy Tuberville Foundation Auburn, AL	Nonprofit Organization	n/a
4	Jan 2017 to Jan 2017	Consultant	University of Cincinnati Cincinnati, OH	Educational Organization	n/a
5	Jul 2017 to Jul 2017	Consultant	University of Oregon Eugene, OR	Educational Organization	n/a
6	Sep 2018 to Nov 2018	Other (Guest Columnist)	The Athletic Media Company San Francisco, CA	Corporation	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties In- volved	Type	Status and Terms	Comments
1	Dec 1998	Retirement Systems of Alabama Birmingham, AL	Continuing participation in an employee benefit plan	Pension plan from which the candidate is currently receiving monthly payments.	n/a
2	Jan 1986	University of Miami Miami, FL	Continuing participation in an employee benefit plan	Pension plan from which the candidate is not yet receiving payments or distributions.	n/a
3	Jul 2017	Walt Disney Company Burbank, CA	Continuing participation in an employee benefit plan	401k profit- sharing plan from which the candidate is not currently receiving income	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **Yes**

#	Source	Duties	Comments
1	Backroads Sports Network LLC Destin, FL	Participate in and provide commentary for online sports radio broadcasts and content.	n/a
2	American Broadcasting Companies, Inc. (ESPN) Bristol, CT	Participate in and provide commentary for on-air television sports broadcasts.	n/a
3	Retirement Systems of Alabama Birmingham, AL	Pension payment	n/a
4	University of Cincinnati Cincinnati, OH	Provide consulting services relating to the university's football program	n/a

Attachments & Comments

No attachments added.

No comments added.

Financial Disclosures

Annual Report for Calendar 2020

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 06/21/2021 @ 5:41 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- ☐ I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Pension	Retirement Systems of Alabama Montgomery, AL	\$43,246.32	n/a
2	Self	Royalties	WB Studio Enterprises Inc. Burbank, CA	\$1,534.49	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Hancock Whitney Bank (Santa Rosa Beach, FL) Type: Checking,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
2	Rental Property 1 Description: Condominium (Santa Rosa Beach, FL)	Real Estate Residential	Joint	\$500,001 - \$1,000,000	Rent/Royalties,	\$15,001 - \$50,000
3	Rental Property 2 Description: Condominium	Real Estate Residential	Joint	None (or less than \$1,001)	Rent/Royalties, Capital Gains,	\$100,001 - \$1,000,000

(Santa Rosa Beach, FL)

4	Fidelity Joint Brokerage Account	Brokerage/Managed Account	Joint			
4.1	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
4.2	<u>DG</u> - Dollar General Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
4.3	Fidelity (SPAXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
4.4	<u>BOX</u> - Box, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
4.5	<u>PENN</u> - Penn National Gaming, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
4.6	<u>TDOC</u> - Teladoc Health, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
4.7	<u>TDY</u> - Teledyne Technologies Incorporated	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
5	Prudential Variable Annuity <i>Provider: Prudential</i>	Annuity Variable Annuity	Self			
5.1	AST Preservation Asset Allocation Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.2	AST AllianzGI World Trends Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.3	AST Fidelity Institutional AM* Quant	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.4	AST J.P. Morgan Global Thematic	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.5	AST Prudential Growth Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6	Lincoln IRA	Retirement Plans IRA	Self			
6.1	Fidelity (FDRXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.2	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.3	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.4	<u>C</u> - Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.5	<u>GE</u> - General Electric	Corporate Securities	Self	\$15,001 -	None,	None (or

	Company	Stock		\$50,000		less than \$201)
6.6	<u>NUE</u> - Nucor Corporation	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.7	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.8	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.9	<u>UA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.10	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.11	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.12	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.13	<u>FSPSX</u> - Fidelity International Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.14	<u>FSMDX</u> - Fidelity Mid Cap Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.15	<u>SGIIX</u> - First Eagle Global Fund Class I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.16	<u>EIBLX</u> - Eaton Vance Floating - Rate Fund Institutional Cla	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.17	<u>FTBFX</u> - Fidelity Total Bond Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.18	<u>PIPNX</u> - PIMCO Income Fund Class I-3	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.19	<u>PCNNX</u> - PIMCO Investment Grade Credit Bond Fund Class I-3	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.20	<u>TDIV</u> - First Trust NASDAQ Technology Dividend Index Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.21	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.22	<u>XLP</u> - Consumer Staples Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.23	<u>XLU</u> - Utilities Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

6.24	<u>XLV</u> - Health Care Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.25	<u>XAR</u> - SPDR S&P Aerospace & Defense ETF	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.26	<u>VIG</u> - Vanguard Dividend Appreciation Index Fund ETF Shar	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.27	<u>QQQ</u> - Invesco QQQ Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.28	<u>EFAV</u> - iShares Edge MSCI Min Vol EAFE ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.29	<u>PFF</u> - iShares Preferred and Income Securities ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.30	<u>VTRS</u> - Viatris Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.31	<u>XLC</u> - Communication Services Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.32	<u>DTD</u> - WisdomTree U.S. Total Dividend Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
7	Lincoln Joint Brokerage Account	Brokerage/Managed Account	Joint			
7.1	Fidelity (SPAXX) (Boston, MA) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
7.2	<u>ABBV</u> - AbbVie Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.3	<u>ACN</u> - Accenture plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.4	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.5	<u>AGN</u> - Allergan plc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
7.6	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.7	<u>AWK</u> - American Water Works Company, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.8	<u>ABC</u> - AmerisourceBergen Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.9	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.10	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500

7.11	<u>ADM</u> - Archer-Daniels-Midland Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.12	<u>BDX</u> - Becton, Dickinson and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.13	<u>FUN</u> - Cedar Fair, L.P.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.14	<u>CELG</u> - Celgene Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
7.15	<u>CTAS</u> - Cintas Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.16	<u>CSX</u> - CSX Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.17	<u>CVS</u> - CVS Health Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.18	<u>STZ</u> - Constellation Brands, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.19	<u>DEO</u> - Diageo plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.20	<u>DIS</u> - The Walt Disney Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.21	<u>D</u> - Dominion Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.22	<u>DOW</u> - Dow Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.23	<u>DUK</u> - Duke Energy Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.24	<u>XOM</u> - Exxon Mobil Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
7.25	<u>FDX</u> - FedEx Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.26	<u>GD</u> - General Dynamics Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.27	<u>GILD</u> - Gilead Sciences, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.28	<u>GSBD</u> - Goldman Sachs BDC, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
7.29	<u>GS</u> - The Goldman Sachs Group, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.30	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.31	<u>JD</u> - JD.com, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
7.32	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.33	<u>JPM</u> - JPMorgan Chase & Co.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.34	<u>KMB</u> - Kimberly-Clark Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.35	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

7.36	<u>LMT</u> - Lockheed Martin Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.37	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
7.38	<u>MCD</u> - McDonald's Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.39	<u>MDT</u> - Medtronic plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.40	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
7.41	<u>NSRGY</u> - Nestlé S.A.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.42	<u>NEE</u> - NextEra Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.43	<u>ORCL</u> - Oracle Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.44	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.45	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.46	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.47	<u>PG</u> - The Procter & Gamble Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.48	<u>PRU</u> - Prudential Financial, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.49	<u>QCOM</u> - QUALCOMM Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.50	<u>SIEGY</u> - Siemens Aktiengesellschaft	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$2,501 - \$5,000
7.51	<u>SLF</u> - Sun Life Financial Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.52	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.53	<u>TSCO</u> - Tractor Supply Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.54	<u>TRV</u> - The Travelers Companies, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.55	<u>TSN</u> - Tyson Foods, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.56	<u>UNP</u> - Union Pacific Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.57	<u>UPS</u> - United Parcel Service, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.58	<u>VLO</u> - Valero Energy Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
7.59	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
7.60	<u>WMT</u> - Walmart Inc.	Corporate Securities	Joint	\$15,001 -	Dividends,	\$201 -

		Stock		\$50,000		\$1,000
7.61	<u>XEL</u> - Xcel Energy Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.62	ALABAMA PUB HSG AUTHS CAP PROGRAM REVENUE BOND (01039WAZ5) <i>Rate/Coupon: 4.45%</i> <i>Matures: 01/01/2024</i>	Government Securities Municipal Security	Joint	\$1,001 - \$15,000	Interest,	\$201 - \$1,000
7.63	ALABAMA STATE UNIV REV GEN TUITION FEE REVENUE BOND (010632PC5) <i>Rate/Coupon: 4.5%</i> <i>Matures: 09/01/2024</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
7.64	BIRMINGHAM ALA GO WTS SER (09088RJ31) <i>Rate/Coupon: 5%</i> <i>Matures: 12/01/2043</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
7.65	NEW YORK NY GO BDS (64966MHL6) <i>Rate/Coupon: 5.0%</i> <i>Matures: 12/01/2041</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
7.66	<u>NPFCX</u> - American Funds New Perspective Fund Class C	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
7.67	<u>FGSCX</u> - Federated MDT Mid Cap Growth Fund Class C Shares	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
7.68	<u>ANACX</u> - AB Global Bond Fund Class C	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
7.69	<u>JHCBX</u> - John Hancock Bond Fund Class C	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
7.70	<u>PONCX</u> - PIMCO Income Fund Class C	Mutual Funds Mutual Fund	Joint	\$100,001 - \$250,000	Excepted Investment Fund,	\$2,501 - \$5,000
7.71	<u>CARR</u> - Carrier Global Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.72	<u>BMJ</u> - Bristol-Myers Squibb Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
7.73	<u>CTVA</u> - Corteva, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.74	<u>OTIS</u> - Otis Worldwide Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7.75	<u>DGX</u> - Quest Diagnostics Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.76	<u>RTX</u> - Raytheon Technologies Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
7.77	<u>VTRS</u> - Viatris Inc.	Corporate Securities	Joint	\$1,001 -	None,	None (or

		Stock		\$15,000		less than \$201)
7.78	<u>ABQCX</u> - AB Total Return Bond Portfolio Class C	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
7.79	<u>CLOU</u> - Global X Cloud Computing ETF Global X Cloud Comput	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
7.80	<u>XLC</u> - Communication Services Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
8	Southern States Bank (Auburn, AL) Type: Checking, Money Market Account,	Bank Deposit	Joint	\$500,001 - \$1,000,000	Interest,	\$201 - \$1,000
9	Fifth Third Bank (Cincinnati, OH) Type: Checking, Savings,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
10	Alabama Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	Other, (Pension Payment)	Other \$43,246.32
11	University of Miami Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
12	Disney SIP	Retirement Plans IRA	Self			
12.1	BTC LifePath Ret F	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
13	LPL Financial Joint Brokerage Account	Brokerage/Managed Account	Joint			
13.1	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.2	<u>BABA</u> - Alibaba Group Holding Limited	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.3	<u>GOOG</u> - Alphabet Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.4	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
13.5	<u>ANET</u> - Arista Networks, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.6	<u>ASML</u> - ASML Holding N.V.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.7	<u>ADP</u> - Automatic Data Processing, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.8	<u>BRK-B</u> - Berkshire Hathaway Inc.	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
13.9	<u>BFB</u> - Brown-Forman Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

\$201)

13.10	<u>KO</u> - The Coca-Cola Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.11	<u>CGNX</u> - Cognex Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
13.12	<u>CSX</u> - CSX Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.13	<u>EW</u> - Edwards Lifesciences Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.14	<u>EA</u> - Electronic Arts Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.15	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.16	<u>FB</u> - Facebook, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.17	<u>FDS</u> - FactSet Research Systems Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.18	<u>FLT</u> - FLEETCOR Technologies, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.19	<u>FTNT</u> - Fortinet, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.20	<u>FOXA</u> - Fox Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
13.21	<u>GRMN</u> - Garmin Ltd.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.22	<u>GNTX</u> - Gentex Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.23	<u>GGG</u> - Graco Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
13.24	<u>HEI</u> - HEICO Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
13.25	<u>IEX</u> - IDEX Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
13.26	<u>ITW</u> - Illinois Tool Works Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.27	<u>INTC</u> - Intel Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.28	<u>INTU</u> - Intuit Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.29	<u>JKHY</u> - Jack Henry &	Corporate Securities	Joint	\$1,001 -	Dividends,	None (or

	Associates, Inc.	Stock		\$15,000		less than \$201)
13.30	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.31	<u>KLAC</u> - KLA Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
13.32	<u>LRCX</u> - Lam Research Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.33	<u>LULU</u> - Lululemon Athletica Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
13.34	<u>MKTX</u> - MarketAxess Holdings Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.35	<u>MAR</u> - Marriott International, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
13.36	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.37	<u>MXIM</u> - Maxim Integrated Products, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.38	<u>MRK</u> - Merck & Co., Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.39	<u>MTD</u> - Mettler-Toledo International Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.40	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.41	<u>MNST</u> - Monster Beverage Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.42	<u>MCO</u> - Moody's Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.43	<u>NVDA</u> - NVIDIA Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.44	<u>PAYX</u> - Paychex, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.45	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.46	<u>TROW</u> - T. Rowe Price Group, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.47	<u>RMD</u> - ResMed Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.48	<u>SPGI</u> - S&P Global Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than

\$201)

13.49	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.50	<u>UI</u> - Ubiquiti Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.51	<u>VEEV</u> - Veeva Systems Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.52	<u>VRSK</u> - Verisk Analytics, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.53	<u>V</u> - Visa Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.54	<u>VMW</u> - VMware, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13.55	<u>XLNX</u> - Xilinx, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.56	<u>ZTS</u> - Zoetis Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.57	<u>BBN</u> - BlackRock Taxable Municipal Bond Trust	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.58	<u>DSL</u> - DoubleLine Income Solutions Fund	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$1,001 - \$2,500
13.59	<u>EVT</u> - Eaton Vance Tax-Advantaged Dividend Income Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
13.60	<u>PTY</u> - PIMCO Corporate & Income Opportunity Fund	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.61	<u>PSDYX</u> - Putnam Ultra Short Duration Income Fund Class Y	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	\$201 - \$1,000
13.62	<u>NVDA</u> - NVIDIA Corporation <i>Strike price: \$240.00 Put Expires: 09/18/2020</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
13.63	<u>AA</u> - Alcoa Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
13.64	<u>AON</u> - Aon plc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.65	<u>AMAT</u> - Applied Materials, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.66	<u>APAM</u> - Artisan Partners Asset Management Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

13.67	<u>GOLD</u> - Barrick Gold Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
13.68	<u>AA</u> - Alcoa Corporation <i>Strike price: \$25.00 Call Expires: 04/16/21</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.69	<u>GOLD</u> - Barrick Gold Corporation <i>Strike price: \$30.00 Call Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.70	<u>FCX</u> - Freeport-McMoRan Inc. <i>Strike price: \$19.00 Call Expires: 05/21/21</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
13.71	<u>AMAT</u> - Applied Materials, Inc. <i>Strike price: \$60.00 Put Expires: 07/17/20</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
13.72	<u>CP</u> - Canadian Pacific Railway Limited	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.73	<u>CHD</u> - Church & Dwight Co., Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.74	<u>CL</u> - Colgate-Palmolive Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.75	<u>CPRT</u> - Copart, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.76	<u>DG</u> - Dollar General Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
13.77	<u>EBAY</u> - eBay Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.78	<u>FAST</u> - Fastenal Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.79	<u>FCX</u> - Freeport-McMoRan Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
13.80	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.81	<u>INFY</u> - Infosys Limited	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.82	<u>NTES</u> - NetEase, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.83	<u>NDSN</u> - Nordson Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.84	<u>NVO</u> - Novo Nordisk A/S	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

						\$201)
13.85	<u>SCCO</u> - Southern Copper Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.86	<u>TDY</u> - Teledyne Technologies Incorporated	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
13.87	<u>UNP</u> - Union Pacific Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
13.88	<u>WY</u> - Weyerhaeuser Company	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
13.89	<u>WIT</u> - Wipro Limited	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.90	<u>FDSWX</u> - AIG Focused Dividend Strategy Fund Class W	Mutual Funds Mutual Fund	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.91	<u>SDOG</u> - ALPS Sector Dividend Dogs ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
13.92	<u>ONEQ</u> - Fidelity Nasdaq Composite Index ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
13.93	<u>LPL Financial</u> (Fort Mill, SC) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Interest,	None (or less than \$201)
13.94	<u>ADBE</u> - Adobe Inc. <i>Strike price: \$410.00 Put Expires: 03/19/21</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.95	<u>AA</u> - Alcoa Corporation <i>Strike price: \$22.00 Put Expires: 04/16/21</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.96	<u>BABA</u> - Alibaba Group Holding Limited <i>Strike price: \$250.00 Put Expires: 07/16/21</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
13.97	<u>GOLD</u> - Barrick Gold Corporation <i>Strike price: \$22.00 Put Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.98	<u>EA</u> - Electronic Arts Inc. <i>Strike price: \$115.00 Put Expires: 06/18/21</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.99	<u>FCX</u> - Freeport-McMoRan Inc. <i>Strike price: \$15.00 Put Expires: 05/21/21</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.100	<u>MSFT</u> - Microsoft Corporation <i>Strike price: \$185.00 Put Expires: 04/16/21</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.101	<u>MSFT</u> - Microsoft	Corporate Securities	Joint	\$1,001 -	None,	None (or

	Corporation <i>Strike price: \$205.00 Put Expires: 07/16/21</i>	Stock Option		\$15,000		less than \$201)
13.102	VEEV - Veeva Systems Inc. <i>Strike price: \$240.00 Put Expires: 03/19/21</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
13.103	INTC - Intel Corporation <i>Strike price: \$47.00 Put Expires: 01/15/21</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
13.104	NVDA - NVIDIA Corporation <i>Strike price: \$270.00 Put Expires: 12/18/20</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
13.105	QQQ - Invesco QQQ Trust <i>Strike price: \$233.00 Put Expires: 12/18/20</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
13.106	TXN - Texas Instruments Incorporated <i>Strike price: \$125.00 Put Expires: 01/15/21</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
14	McVean Trading Brokerage Account	Brokerage/Managed Account	Self			
14.1	Put - April 2020 Feeder Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
14.2	April 2020 Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
14.3	March 2020 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
14.4	May 2020 Feeder Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
14.5	Call October 2020 Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
14.6	August 2020 Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
14.7	McVean Trading & Investments, LLC (Memphis, TN) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
14.8	October 2020 Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
14.9	December 2020 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
14.10	November 2020 CBT Soybeans	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
14.11	December 2020 Soybean Meal	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
14.12	Call March 2021 Soybean Oil	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
14.13	March 2021 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
14.14		Commodities/Futures	Self	\$1,001 -	None,	None (or

	Call May 2021 Soybean Oil - Exp 04/23/21	Contract		\$15,000		less than \$201)
14.15	May 2021 Feeder Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
14.16	May 2021 CBT Soybeans	Commodities/Futures Contract	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
15	Tiger Farms, LLC <i>Company:</i> Tiger Farms, LLC (Auburn, Alabama) <i>Description:</i> Real property holding company	Business Entity Limited Liability Company (LLC)	Joint			
15.1	Unimproved land <i>Description:</i> Unimproved land (Dadeville, Alabama)	Real Estate Unimproved Land	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2020	Spouse	Revolving Charge	-	15.24% (NA)	\$10,001 - \$15,000	American Express New York, NY	n/a
2	2020	Self	Revolving Charge	-	15.74% (NA)	\$10,001 - \$15,000	American Express New York, NY	n/a
3	2012	Joint	Mortgage	0	LIBOR+1.25% (25 years)	\$500,001 - \$1,000,000	Bank of America Tampa, FL	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2015 to present	Other (Chairman of the Board)	Tommy Tuberville Foundation Auburn, AL	Nonprofit Organization	n/a
2	Feb 2005 to present	Other (Member)	Tiger Farms, LLC Auburn, Alabama	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Dec 1998	Retirement Systems of Alabama Birmingham, AL	Continuing participation in an employee benefit plan	Pension plan from which the candidate is currently receiving monthly payments.	n/a
2	Jan 1986	University of Miami Miami, FL	Continuing participation in an employee benefit plan	Pension plan from which the candidate is not yet receiving payments or distributions.	n/a
3	Jul 2017	Walt Disney Company Burbank, CA	Continuing participation in an employee benefit plan	401k profit-sharing plan from which the candidate is not currently receiving income	n/a
4	Nov 2009	WB Studio Enterprises Inc. Burbank, CA	Royalty Agreement	Agreement to receive continuing royalties from movie appearance in The Blind Side	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

Financial Disclosures

Periodic Transaction Report for 07/16/2021

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 07/16/2021 @ 12:31 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (6 transactions total) 0 Self 6 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
6	06/30/2021	Joint	<u>ADP</u>	Automatic Data Processing, Inc. Option Type: Put Strike price: \$175.00 Expires: 08/20/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
5	06/25/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Put Strike price: \$25.00 Expires: 08/20/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
4	06/23/2021	Joint	<u>MSFT</u>	Microsoft Corporation Option Type: Put Strike price: \$210.00 Expires: 03/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
3	06/23/2021	Joint	<u>AAPL</u>	Apple Inc.	Stock	Sale	\$1,001 -	--

				Option Type: Put <i>Strike</i> <i>price:</i> \$102.50 <i>Expires:</i> 06/17/2022	Option	(Full)	\$15,000	
2	06/07/2021	Joint	--	Ford Motor Company Option Type: Put <i>Strike</i> <i>price:</i> \$11.00 <i>Expires:</i> 09/17/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
1	06/01/2021	Joint	<u>QCOM</u>	QUALCOMM Incorporated Option Type: Put <i>Strike</i> <i>price:</i> \$130.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--

Financial Disclosures

Periodic Transaction Report for 07/23/2021

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 07/23/2021 @ 2:02 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (132 transactions total) 0 Self 132 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
132	03/29/2021	Joint	<u>ALGN</u>	Align Technology, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
131	04/05/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put Strike price: \$60.00 Expires: 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
130	01/25/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited Option Type: Put Strike price: \$230.00 Expires: 09/17/2021	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
129	01/21/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Option Type: Put Strike price: \$15.00 Expires: 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
128	01/21/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc.	Stock	Purchase	\$15,001 - \$50,000	--
127	01/15/2021	Joint	<u>SDOG</u>	ALPS Sector Dividend Dogs ETF	Stock	Purchase	\$15,001 - \$50,000	--

126	01/13/2021	Joint	<u>QQQ</u>	Invesco QQQ Trust Option Type: Put <i>Strike price:</i> \$262.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
125	01/13/2021	Joint	<u>TDY</u>	Teledyne Technologies Incorporated	Stock	Sale (Full)	\$50,001 - \$100,000	--
124	01/06/2021	Joint	<u>AA</u>	Alcoa Corporation Option Type: Put <i>Strike price:</i> \$22.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
123	01/06/2021	Joint	<u>AA</u>	Alcoa Corporation Option Type: Put <i>Strike price:</i> \$22.00 <i>Expires:</i> 01/21/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
122	01/06/2021	Joint	<u>AA</u>	Alcoa Corporation Option Type: Call <i>Strike price:</i> \$26.00 <i>Expires:</i> 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
121	01/06/2021	Joint	<u>AA</u>	Alcoa Corporation	Stock	Purchase	\$15,001 - \$50,000	--
120	01/06/2021	Joint	<u>AA</u>	Alcoa Corporation	Stock	Purchase	\$15,001 - \$50,000	--
119	01/05/2021	Joint	<u>FB</u>	Facebook, Inc. Option Type: Put <i>Strike price:</i> \$225.00 <i>Expires:</i> 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
118	01/04/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

117	01/04/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
116	01/04/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
115	01/04/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
114	01/04/2021	Joint	<u>WY</u>	Weyerhaeuser Company	Stock	Sale (Full)	\$50,001 - \$100,000	--
113	01/04/2021	Joint	<u>ONEQ</u>	Fidelity Nasdaq Composite Index ETF	Stock	Sale (Full)	\$100,001 - \$250,000	--
112	01/04/2021	Joint	<u>DG</u>	Dollar General Corporation	Stock	Sale (Full)	\$50,001 - \$100,000	--
111	02/19/2021	Joint	<u>ADBE</u>	Adobe Inc. Option Type: Put <i>Strike price:</i> \$405.00 <i>Expires:</i> 06/18/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
110	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
109	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

108	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
107	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
106	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
105	02/16/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation	Stock	Purchase	\$15,001 - \$50,000	--
104	02/11/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
103	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
102	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

101	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
100	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
99	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
98	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation	Stock	Purchase	\$1,001 - \$15,000	--
97	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation	Stock	Purchase	\$15,001 - \$50,000	--
96	02/10/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation	Stock	Purchase	\$15,001 - \$50,000	--
95	02/09/2021	Joint	<u>VEEV</u>	Veeva Systems Inc. Option Type: Put <i>Strike price:</i> \$240.00 <i>Expires:</i> 03/19/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
94	02/08/2021	Joint	<u>E</u>	Ford Motor Company Option Type: Put <i>Strike price:</i> \$11.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
93	02/04/2021	Joint	<u>SSYS</u>	Stratasys Ltd. Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

92	02/04/2021	Joint	<u>SSYS</u>	Stratasys Ltd. Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
91	02/03/2021	Joint	<u>MSFT</u>	Microsoft Corporation Option Type: Put <i>Strike price:</i> \$185.00 <i>Expires:</i> 04/16/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
90	02/03/2021	Joint	<u>BRK-B</u>	Berkshire Hathaway Inc.	Stock	Purchase	\$50,001 - \$100,000	--
89	02/02/2021	Joint	<u>CSX</u>	CSX Corporation Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
88	03/29/2021	Joint	<u>WST</u>	West Pharmaceutical Services, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
87	03/29/2021	Joint	<u>WAL</u>	Western Alliance Bancorporation	Stock	Purchase	\$1,001 - \$15,000	--
86	03/29/2021	Joint	<u>WAT</u>	Waters Corporation	Stock	Purchase	\$1,001 - \$15,000	--
85	03/29/2021	Joint	<u>VMW</u>	VMware, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
84	03/29/2021	Joint	<u>MMM</u>	3M Company	Stock	Purchase	\$1,001 - \$15,000	--
83	03/29/2021	Joint	<u>TER</u>	Teradyne, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
82	03/29/2021	Joint	<u>SNA</u>	Snap-on Incorporated	Stock	Purchase	\$1,001 - \$15,000	--
81	03/29/2021	Joint	<u>SIVB</u>	SVB Financial Group	Stock	Purchase	\$1,001 - \$15,000	--
80	03/29/2021	Joint	<u>SWKS</u>	Skyworks Solutions, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
79	03/29/2021	Joint	<u>SEIC</u>	SEI Investments Company	Stock	Purchase	\$1,001 - \$15,000	--
78	03/29/2021	Joint	<u>ROK</u>	Rockwell Automation, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
77	03/29/2021	Joint	<u>REGN</u>	Regeneron Pharmaceuticals, Inc.	Stock	Purchase	\$1,001 - \$15,000	--

76	03/29/2021	Joint	<u>DGX</u>	Quest Diagnostics Incorporated	Stock	Purchase	\$1,001 - \$15,000	--
75	03/29/2021	Joint	<u>QCOM</u>	QUALCOMM Incorporated	Stock	Purchase	\$1,001 - \$15,000	--
74	03/29/2021	Joint	<u>PYPL</u>	PayPal Holdings, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
73	03/29/2021	Joint	<u>ODFL</u>	Old Dominion Freight Line, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
72	03/29/2021	Joint	<u>NDSN</u>	Nordson Corporation	Stock	Sale (Full)	\$1,001 - \$15,000	--
71	03/29/2021	Joint	<u>NTES</u>	NetEase, Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
70	03/29/2021	Joint	<u>MRK</u>	Merck & Co., Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
69	03/29/2021	Joint	<u>JNJ</u>	Johnson & Johnson	Stock	Sale (Full)	\$1,001 - \$15,000	--
68	03/29/2021	Joint	<u>INTU</u>	Intuit Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
67	03/29/2021	Joint	<u>IDXX</u>	IDEXX Laboratories, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
66	03/29/2021	Joint	<u>IEX</u>	IDEX Corporation	Stock	Purchase	\$1,001 - \$15,000	--
65	03/29/2021	Joint	<u>IEX</u>	IDEX Corporation	Stock	Purchase	\$1,001 - \$15,000	--
64	03/29/2021	Joint	<u>HON</u>	Honeywell International Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
63	03/29/2021	Joint	<u>HSY</u>	The Hershey Company	Stock	Purchase	\$1,001 - \$15,000	--
62	03/29/2021	Joint	<u>GGG</u>	Graco Inc.	Stock	Purchase	\$1,001 - \$15,000	--
61	03/29/2021	Joint	<u>GNTX</u>	Gentex Corporation	Stock	Purchase	\$1,001 - \$15,000	--
60	03/29/2021	Joint	<u>FTNT</u>	Fortinet, Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
59	03/29/2021	Joint	<u>EA</u>	Electronic Arts Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
58	03/29/2021	Joint	<u>EBAY</u>	eBay Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
57	03/29/2021	Joint	<u>EW</u>	Edwards Lifesciences Corporation	Stock	Purchase	\$1,001 - \$15,000	--
56	03/29/2021	Joint	<u>DECK</u>	Deckers Outdoor Corporation	Stock	Purchase	\$1,001 - \$15,000	--
55	03/29/2021	Joint	<u>CNR</u>	Cornerstone Building Brands, Inc.	Stock	Purchase	\$1,001 - \$15,000	--

54	03/29/2021	Joint	<u>CL</u>	Colgate-Palmolive Company	Stock	Sale (Full)	\$1,001 - \$15,000	--
53	03/29/2021	Joint	<u>CLX</u>	The Clorox Company	Stock	Purchase	\$1,001 - \$15,000	--
52	03/29/2021	Joint	<u>CHE</u>	Chemed Corporation	Stock	Purchase	\$1,001 - \$15,000	--
51	03/29/2021	Joint	<u>CE</u>	Celanese Corporation	Stock	Purchase	\$1,001 - \$15,000	--
50	03/29/2021	Joint	<u>BIO</u>	Bio-Rad Laboratories, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
49	03/29/2021	Joint	<u>BHP</u>	BHP Group	Stock	Purchase	\$1,001 - \$15,000	--
48	03/29/2021	Joint	<u>ATHM</u>	Autohome Inc.	Stock	Purchase	\$1,001 - \$15,000	--
47	03/29/2021	Joint	<u>AME</u>	AMETEK, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
46	03/29/2021	Joint	<u>GOOGL</u>	Alphabet Inc.	Stock	Purchase	\$1,001 - \$15,000	--
45	03/16/2021	Joint	<u>AA</u>	Alcoa Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 10/15/2021	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
44	03/16/2021	Joint	<u>AA</u>	Alcoa Corporation Option Type: Call <i>Strike price:</i> \$26.00 <i>Expires:</i> 10/15/2021	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
43	03/16/2021	Joint	<u>AA</u>	Alcoa Corporation	Stock	Purchase	\$1,001 - \$15,000	--
42	03/16/2021	Joint	<u>AA</u>	Alcoa Corporation	Stock	Purchase	\$100,001 - \$250,000	--
41	03/12/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Option Type: Put <i>Strike price:</i> \$16.00 <i>Expires:</i> 07/16/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
40	03/12/2021	Joint	<u>CLF</u>	Cleveland-Cliffs	Stock	Sale	\$1,001 -	--

				Inc. Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 07/16/2021	Option	(Full)	\$15,000	
39	03/12/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc.	Stock	Purchase	\$15,001 - \$50,000	--
38	03/11/2021	Joint	<u>ADP</u>	Automatic Data Processing, Inc. Option Type: Put <i>Strike price:</i> \$175.00 <i>Expires:</i> 08/20/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
37	04/27/2021	Joint	<u>CALX</u>	Calix, Inc. Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
36	04/27/2021	Joint	<u>CALX</u>	Calix, Inc. Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
35	04/27/2021	Joint	<u>CALX</u>	Calix, Inc. Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
34	04/26/2021	Joint	<u>QQQ</u>	Invesco QQQ Trust Option Type: Put <i>Strike price:</i> \$285.00 <i>Expires:</i> 03/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
33	04/21/2021	Joint	<u>CSX</u>	CSX Corporation Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 08/20/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
32	04/21/2021	Joint	<u>ECOM</u>	ChannelAdvisor	Stock	Sale	\$1,001 -	--

				Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 12/17/2021	Option	(Full)	\$15,000	
31	04/20/2021	Joint	<u>MSFT</u>	Microsoft Corporation Option Type: Put <i>Strike price:</i> \$205.00 <i>Expires:</i> 07/16/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
30	04/20/2021	Joint	<u>FB</u>	Facebook, Inc. Option Type: Put <i>Strike price:</i> \$225.00 <i>Expires:</i> 07/16/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
29	04/19/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
28	04/19/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
27	04/19/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
26	04/19/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc.	Stock	Purchase	\$50,001 - \$100,000	--
25	04/19/2021	Joint	<u>AA</u>	Alcoa Corporation	Stock	Sale (Full)	\$50,001 - \$100,000	--
24	04/16/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Option Type: Call	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

Strike price:

\$30.00

Expires:

12/17/2021

23	04/16/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation	Stock	Purchase	\$15,001 - \$50,000	--
22	04/14/2021	Joint	<u>ADBE</u>	Adobe Inc. Option Type: Put <i>Strike price:</i> \$400.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
21	04/14/2021	Joint	<u>FCX</u>	Freeport-McMoRan Inc.	Stock	Sale (Full)	\$15,001 - \$50,000	--
20	04/12/2021	Joint	<u>SSYS</u>	Stratasys Ltd. Option Type: Put <i>Strike price:</i> \$22.50 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
19	04/08/2021	Joint	<u>CALX</u>	Calix, Inc. Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
18	04/07/2021	Joint	<u>CALX</u>	Calix, Inc. Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
17	04/07/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 11/19/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
16	04/07/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i>	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

11/19/2021

15	04/07/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i> 11/19/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
14	04/07/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation	Stock	Purchase	\$50,001 - \$100,000	--
13	04/05/2021	Joint	<u>INTC</u>	Intel Corporation Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 07/16/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
12	04/05/2021	Joint	<u>EA</u>	Electronic Arts Inc. Option Type: Put <i>Strike price:</i> \$115.00 <i>Expires:</i> 06/18/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
11	04/01/2021	Joint	<u>PYPL</u>	PayPal Holdings, Inc. Option Type: Put <i>Strike price:</i> \$220.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
10	04/01/2021	Joint	<u>PYPL</u>	PayPal Holdings, Inc. Option Type: Put <i>Strike price:</i> \$220.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
9	05/24/2021	Joint	<u>FCX</u>	Freeport-McMoRan Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
8	05/18/2021	Joint	<u>FDSWX</u>	AIG Focused Dividend Strategy Fund Class W	Stock	Sale (Full)	\$1,001 - \$15,000	--
7	05/10/2021	Joint	<u>FCX</u>	Freeport-McMoRan Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
6	05/06/2021	Joint	<u>X</u>	United States	Stock	Sale	\$15,001 -	--

				Steel Corporation Option Type: Put <i>Strike price:</i> \$24.00 <i>Expires:</i> 10/15/2021	Option	(Full)	\$50,000	
5	05/06/2021	Joint	<u>X</u>	United States Steel Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i> 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
4	05/06/2021	Joint	<u>X</u>	United States Steel Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i> 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
3	05/06/2021	Joint	<u>X</u>	United States Steel Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i> 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
2	05/06/2021	Joint	<u>X</u>	United States Steel Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i> 10/15/2021	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
1	05/06/2021	Joint	<u>X</u>	United States Steel Corporation	Stock	Purchase	\$100,001 - \$250,000	The filer's financial advisors actively manage his accounts without the filer's day to day involvement. The filer was

unaware that
the specific
transactions
in this report
occurred.
Upon
becoming
aware of the
transactions,
the filer
expeditiously
prepared
and
submitted
this report to
the
Committee.

Financial Disclosures

Periodic Transaction Report for 08/13/2021

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 08/13/2021 @ 1:58 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (30 transactions total) 10 Self 20 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
30	07/20/2021	Self	--	Dec 21 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
29	07/19/2021	Self	--	Sep 21 FDR Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
28	07/09/2021	Self	--	Aug 21 CMS Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
26	07/07/2021	Self	--	Dec 21 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
27	07/09/2021	Self	--	Dec 21 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
25	07/30/2021	Joint	<u>E</u>	Ford Motor Company Common Stock Option Type: Put Strike price: \$15.00 Expires: 03/18/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
24	07/29/2021	Joint	<u>AA</u>	Alcoa Corporation Common Stock Option Type: Put Strike price: \$25.00 Expires: 10/15/2021	Stock Option	Purchase	\$1,001 - \$15,000	--

23	07/28/2021	Joint	<u>NVDA</u>	NVIDIA Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
22	07/21/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
21	07/21/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
20	07/21/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
19	07/21/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$23.00 <i>Expires:</i> 01/21/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
18	07/21/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common	Stock	Purchase	\$100,001 - \$250,000	--

				Stock				
17	07/20/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
16	07/20/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
15	07/19/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Sale (Full)	\$50,001 - \$100,000	--
14	07/19/2021	Joint	<u>AA</u>	Alcoa Corporation Common Stock	Stock	Sale (Full)	\$50,001 - \$100,000	--
13	07/14/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary Option Type: Put Strike price: \$245.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
12	07/14/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary Option Type: Put Strike price: \$250.00 Expires: 07/16/2021	Stock Option	Purchase	\$15,001 - \$50,000	--

11	07/06/2021	Joint	<u>QQQ</u>	Invesco QQQ Trust, Series 1 Option Type: Put Strike price: \$262.00 Expires: 09/17/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
10	07/01/2021	Joint	<u>INTC</u>	Intel Corporation - Common Stock Option Type: Put Strike price: \$55.00 Expires: 01/21/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
9	07/16/2021	Joint	<u>CRWD</u>	CrowdStrike Holdings, Inc. - Class A Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
8	07/16/2021	Joint	<u>NWL</u>	Newell Brands Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
7	07/16/2021	Joint	<u>PEGA</u>	Pegasystems Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
6	07/16/2021	Joint	<u>PEP</u>	PepsiCo, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
5	07/16/2021	Self	<u>XLY</u>	SPDR Select Sector Fund - Consumer Discretionary	Stock	Purchase	\$15,001 - \$50,000	--
4	07/16/2021	Self	--	Bristol-Myers Squibb Company Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
3	07/16/2021	Self	<u>MTUM</u>	iShares MSCI USA Momentum Factor ETF	Stock	Purchase	\$15,001 - \$50,000	--
2	07/16/2021	Self	<u>SQ</u>	Square, Inc. Class A Common Stock	Stock	Purchase	\$15,001 - \$50,000	--

1	07/16/2021	Self	<u>DTD</u>	Wisdomtree U.S. Total Dividend Fund	Stock	Purchase	\$15,001 - \$50,000	--
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Financial Disclosures

Periodic Transaction Report for 09/08/2021

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 09/08/2021 @ 1:10 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (17 transactions total) 8 Self 9 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
17	08/30/2021	Joint	<u>CALX</u>	Calix, Inc Common Stock Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 11/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
16	08/30/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 03/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
15	08/30/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 03/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
14	08/27/2021	Joint	<u>CALX</u>	Calix, Inc Common Stock Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 10/15/2021	Stock Option	Purchase	\$1,001 - \$15,000	--

13	08/18/2021	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
12	08/12/2021	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
11	08/10/2021	Joint	<u>INTC</u>	Intel Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$52.50 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
10	08/02/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option Type: Call <i>Strike price:</i> \$31.00 <i>Expires:</i> 02/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
9	08/02/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 02/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
8	08/20/2021	Self	--	Dec 21 KC Red Wheat	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
7	08/19/2021	Self	--	Call Dec 21 KC Red Wheat	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
6	08/18/2021	Self	--	Call Dec 21 KC Red Wheat	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
5	08/12/2021	Self	--	Call Dec 21 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
4	08/12/2021	Self	--	Dec 21 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
3	08/11/2021	Self	--	Aug 21 CME Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
2	08/10/2021	Self	--	Aug 21 CME Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
1	08/05/2021	Self	--	Sep 21 FDR Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 10/15/2021

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 10/15/2021 @ 1:32 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (34 transactions total) 2 Self 32 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
34	09/30/2021	Joint	<u>PG</u>	Procter & Gamble Company (The) Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
33	09/30/2021	Joint	<u>NFLX</u>	Netflix, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
32	09/30/2021	Joint	<u>MPWR</u>	Monolithic Power Systems, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
31	09/30/2021	Joint	<u>KEYS</u>	Keysight Technologies Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
30	09/30/2021	Joint	<u>KLAC</u>	KLA Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
29	09/30/2021	Joint	<u>JNJ</u>	Johnson & Johnson Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
28	09/30/2021	Joint	<u>INTU</u>	Intuit Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
27	09/30/2021	Joint	<u>GNRC</u>	Generac Holdings Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
26	09/30/2021	Joint	<u>EL</u>	Estee Lauder Companies, Inc. (The) Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
25	09/30/2021	Joint	<u>EXP</u>	Eagle Materials Inc Common Stock	Stock	Purchase	\$1,001 - \$15,000	--

24	09/30/2021	Joint	<u>DEO</u>	Diageo plc Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
23	09/30/2021	Joint	<u>CTAS</u>	Cintas Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
22	09/30/2021	Joint	<u>CABO</u>	Cable One, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
21	09/30/2021	Joint	<u>BKE</u>	Buckle, Inc. (The) Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
20	09/30/2021	Joint	<u>ALLE</u>	Allegion plc Ordinary Shares	Stock	Purchase	\$1,001 - \$15,000	--
19	09/30/2021	Joint	<u>APD</u>	Air Products and Chemicals, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
18	09/29/2021	Joint	<u>WAL</u>	Western Alliance Bancorporation Common Stock (DE)	Stock	Sale (Full)	\$1,001 - \$15,000	--
17	09/29/2021	Joint	<u>MMM</u>	3M Company Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
16	09/29/2021	Joint	--	Quest Diagnostics Incorporated Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
15	09/29/2021	Joint	<u>DECK</u>	Deckers Outdoor Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
14	09/29/2021	Joint	<u>CLX</u>	Clorox Company (The) Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
13	09/29/2021	Joint	<u>CHE</u>	Chemed Corp	Stock	Sale (Full)	\$1,001 - \$15,000	--
12	09/29/2021	Joint	<u>BHP</u>	Bhp Group Plc	Stock	Sale (Full)	\$1,001 - \$15,000	--
11	09/29/2021	Joint	<u>ATHM</u>	Autohome Inc. American Depository Shares, each rep	Stock	Sale (Full)	\$1,001 - \$15,000	--
10	09/29/2021	Joint	<u>AME</u>	AMETEK, Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
9	09/20/2021	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
8	09/20/2021	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type:	Stock Option	Sale (Full)	\$15,001 - \$50,000	--

Call
Strike price:
\$25.00
Expires:
06/17/2022

7	09/13/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary Option Type: Short Sale <i>Strike price:</i> \$230.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
6	09/13/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary Option Type: Short Sale <i>Strike price:</i> \$230.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
5	09/13/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary Option Type: Short Sale <i>Strike price:</i> \$230.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
4	09/13/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary Option Type: Put <i>Strike price:</i> \$230.00 <i>Expires:</i> 09/17/2021	Stock Option	Purchase	\$50,001 - \$100,000	--
3	09/03/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Stock Option	Purchase	\$1,001 - \$15,000	--
2	09/08/2021	Self	--	Oct 21 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
1	09/02/2021	Self	--	Sep 21 FDR Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 11/10/2021

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 11/10/2021 @ 2:26 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (24 transactions total) 5 Self 19 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
24	10/26/2021	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Put Strike price: \$130.00 Expires: 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
23	10/26/2021	Joint	<u>RMD</u>	ResMed Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
22	10/26/2021	Joint	<u>APAM</u>	Artisan Partners Asset Management Inc. Class A Com	Stock	Sale (Full)	\$1,001 - \$15,000	--
21	10/26/2021	Joint	<u>AON</u>	Aon plc Class A Ordinary Shares (Ireland)	Stock	Sale (Full)	\$1,001 - \$15,000	--
20	10/21/2021	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Put Strike	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

price:
\$22.00
Expires:
06/17/2022

19	10/18/2021	Joint	<u>CALX</u>	Calix, Inc Common Stock Option Type: Put <i>Strike price:</i> \$55.00 <i>Expires:</i> 11/18/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
18	10/18/2021	Joint	<u>CALX</u>	Calix, Inc Common Stock Option Type: Put <i>Strike price:</i> \$55.00 <i>Expires:</i> 11/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
17	10/18/2021	Joint	<u>CALX</u>	Calix, Inc Common Stock Option Type: Put <i>Strike price:</i> \$55.00 <i>Expires:</i> 11/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
16	10/18/2021	Joint	<u>INTC</u>	Intel Corporation - Common Stock Option Type: Call <i>Strike price:</i> \$60.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
15	10/18/2021	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
14	10/18/2021	Joint	<u>AA</u>	Alcoa Corporation Common Stock	Stock	Sale (Full)	\$100,001 - \$250,000	--
13	10/18/2021	Joint	<u>X</u>	United States Steel Corporation	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

Common
Stock
Option
Type: Call
Strike
price:
\$25.00
Expires:
06/17/2022

12	10/18/2021	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Purchase	\$100,001 - -\$250,000	--
11	10/15/2021	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
10	10/15/2021	Joint	<u>AA</u>	Alcoa Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
9	10/12/2021	Self	--	Dec 21 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
8	10/01/2021	Self	--	Oct 21 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
7	10/18/2021	Self	<u>GE</u>	General Electric Company Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
6	10/18/2021	Self	<u>UAA</u>	Under Armour, Inc. Class A Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
5	10/18/2021	Self	<u>UA</u>	Under Armour, Inc. Class C Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
4	10/18/2021	Joint	<u>BDX</u>	Becton, Dickinson and Company Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
3	10/18/2021	Joint	<u>D</u>	Dominion Energy, Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
2	10/18/2021	Joint	<u>DOW</u>	Dow Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--

1	10/18/2021	Joint	<u>GD</u>	General Dynamics Corporation Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
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Financial Disclosures

Periodic Transaction Report for 12/10/2021

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 12/10/2021 @ 2:41 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (23 transactions total) 3 Self 20 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
23	11/22/2021	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$210.00 <i>Expires:</i> 03/18/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
22	11/22/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$29.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
21	11/22/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/17/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
20	11/22/2021	Joint	<u>AAPL</u>	Apple Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$102.50 <i>Expires:</i>	Stock Option	Purchase	\$1,001 - \$15,000	--

06/17/2022

19	11/22/2021	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock	Stock	Sale (Full)	\$50,001 - \$100,000	--
18	11/17/2021	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$185.00 <i>Expires:</i> 01/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
17	11/17/2021	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$185.00 <i>Expires:</i> 01/20/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
16	11/17/2021	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$185.00 <i>Expires:</i> 01/20/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
15	11/12/2021	Joint	<u>ADBE</u>	Adobe Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$400.00 <i>Expires:</i> 01/21/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
14	11/08/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.00 <i>Expires:</i> 01/20/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
13	11/08/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$27.00 <i>Expires:</i>	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

01/20/2023

12	11/08/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$27.00 <i>Expires:</i> 01/20/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
11	11/08/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
10	11/05/2021	Joint	<u>F</u>	Ford Motor Company Common Stock Option Type: Short Sale <i>Strike price:</i> \$17.00 <i>Expires:</i> 09/16/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
9	11/05/2021	Joint	<u>F</u>	Ford Motor Company Common Stock Option Type: Short Sale <i>Strike price:</i> \$17.00 <i>Expires:</i> 09/16/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
8	11/04/2021	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$40.00 <i>Expires:</i> 03/18/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
7	11/02/2021	Joint	<u>SCCO</u>	Southern Copper Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$55.00 <i>Expires:</i> 06/17/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
6	11/02/2021	Joint	<u>SCCO</u>	Southern Copper Corporation Common Stock Option Type: Short Sale <i>Strike price:</i>	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

\$55.00
Expires:
 06/17/2022

5	11/02/2021	Joint	<u>SCCO</u>	Southern Copper Corporation Common Stock Option Type: Call <i>Strike price:</i> \$65.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
4	11/02/2021	Joint	<u>SCCO</u>	Southern Copper Corporation Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
3	11/30/2021	Self	--	Jul 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
1	11/02/2021	Self	--	May 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
2	11/30/2021	Self	--	Mar 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 01/12/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 01/12/2022 @ 3:10 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (13 transactions total) 1 Self 12 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
13	12/22/2021	Joint	<u>AAPL</u>	Apple Inc. - Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
12	12/29/2021	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$185.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
11	12/29/2021	Joint	<u>QQQ</u>	Invesco QQQ Trust, Series 1 Option Type: Put <i>Strike price:</i> \$285.00 <i>Expires:</i> 03/18/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
10	12/27/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
9	12/23/2021	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put	Stock Option	Purchase	\$1,001 - \$15,000	--

Strike price:

\$17.00

Expires:

01/21/2022

8	12/23/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
7	12/23/2021	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
6	12/23/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary	Stock	Sale (Partial)	\$50,001 - -\$100,000	--
5	12/21/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary	Stock	Purchase	\$15,001 - \$50,000	--
4	12/15/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary	Stock	Purchase	\$50,001 - -\$100,000	--
3	12/14/2021	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary	Stock	Purchase	\$50,001 - -\$100,000	--
2	12/13/2021	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
1	12/01/2021	Self	--	Apr 22 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Annual Report for Calendar 2021

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 08/15/2022 @ 5:56 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- ☐ I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Pension	Retirement Systems of Alabama Montgomery, AL	\$43,246.32	n/a
2	Self	Royalties	WB Studio Enterprises Inc. Burbank, CA	\$761.26	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Hancock Whitney Bank (Santa Rosa Beach, FL) Type: Checking,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
2	Rental Property 1 Description: Condominium (Panama City Beach, FL)	Real Estate Residential	Joint	\$500,001 - \$1,000,000	Rent/Royalties,	\$50,001 - \$100,000
3	Prudential Variable	Annuity	Self			

	Annuity <i>Provider: Prudential</i>	Variable Annuity				
3.1	AST Preservation Asset Allocation Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.2	AST AllianzGI World Trends Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.3	AST J.P. Morgan Global Thematic	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.4	AST Prudential Growth Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.5	AST T. Rowe Price Asset Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4	Lincoln IRA	Retirement Plans IRA	Self			
4.1	Fidelity (FDRXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
4.2	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
4.3	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
4.4	<u>C</u> - Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.5	<u>NUE</u> - Nucor Corporation	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.6	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.7	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.8	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.9	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.10	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.11	<u>FSPSX</u> - Fidelity International Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.12	<u>FSMDX</u> - Fidelity Mid Cap Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)

4.13	<u>SGIIX</u> - First Eagle Global Fund Class I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.14	<u>EIBLX</u> - Eaton Vance Floating - Rate Fund Institutional Cla	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.15	<u>FTBFX</u> - Fidelity Total Bond Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.16	<u>PIPNX</u> - PIMCO Income Fund Class I-3	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.17	<u>PCNNX</u> - PIMCO Investment Grade Credit Bond Fund Class I-3	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.18	<u>TDIV</u> - First Trust NASDAQ Technology Dividend Index Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.19	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.20	<u>XLP</u> - Consumer Staples Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.21	<u>XLU</u> - Utilities Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.22	<u>XLV</u> - Health Care Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.23	<u>XAR</u> - SPDR S&P Aerospace & Defense ETF	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.24	<u>VIG</u> - Vanguard Dividend Appreciation Index Fund ETF Shar	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.25	<u>QQQ</u> - Invesco QQQ Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.26	<u>EFAV</u> - iShares Edge MSCI Min Vol EAFE ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.27	<u>PFF</u> - iShares Preferred and Income Securities ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.28	<u>VTRS</u> - Viatris Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.29	<u>XLC</u> - Communication Services Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.30	<u>DTD</u> - WisdomTree U.S. Total Dividend Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)

4.31	<u>SQ</u> - Square, Inc. Class A Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.32	<u>BMJ</u> - Bristol-Myers Squibb Company Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.33	<u>XYL</u> - SPDR Select Sector Fund - Consumer Discretionary	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.34	<u>MTUM</u> - iShares MSCI USA Momentum Factor ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
5	Lincoln Joint Brokerage Account	Brokerage/Managed Account	Joint			
5.1	Fidelity (SPAXX) (Boston, MA) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
5.2	<u>ABBV</u> - AbbVie Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
5.3	<u>ACN</u> - Accenture plc	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
5.4	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
5.5	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
5.6	<u>AWK</u> - American Water Works Company, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.7	<u>ABC</u> - AmerisourceBergen Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.8	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.9	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
5.10	<u>ADM</u> - Archer-Daniels-Midland Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.11	<u>BDX</u> - Becton, Dickinson and Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
5.12	<u>FUN</u> - Cedar Fair, L.P.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
5.13	<u>CTAS</u> - Cintas Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.14	<u>CSX</u> - CSX Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
5.15	<u>CVS</u> - CVS Health Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.16	<u>STZ</u> - Constellation Brands, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.17	<u>DEO</u> - Diageo plc	Corporate Securities	Joint	\$15,001 -	Dividends,	\$201 -

		Stock		\$50,000		\$1,000
5.18	<u>DIS</u> - The Walt Disney Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
5.19	<u>D</u> - Dominion Energy, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
5.20	<u>DUK</u> - Duke Energy Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
5.21	<u>FDX</u> - FedEx Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.22	<u>GD</u> - General Dynamics Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
5.23	<u>GILD</u> - Gilead Sciences, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
5.24	<u>GS</u> - The Goldman Sachs Group, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.25	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.26	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.27	<u>JPM</u> - JPMorgan Chase & Co.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.28	<u>KMB</u> - Kimberly-Clark Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.29	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
5.30	<u>LMT</u> - Lockheed Martin Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
5.31	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
5.32	<u>MCD</u> - McDonald's Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.33	<u>MDT</u> - Medtronic plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.34	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
5.35	<u>NSRGY</u> - Nestlé S.A.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.36	<u>NEE</u> - NextEra Energy, Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
5.37	<u>ORCL</u> - Oracle Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.38	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.39	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.40	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
5.41	<u>PG</u> - The Procter & Gamble Company	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500

5.42	<u>PRU</u> - Prudential Financial, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.43	<u>QCOM</u> - QUALCOMM Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.44	<u>SIEGY</u> - Siemens Aktiengesellschaft	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.45	<u>SLF</u> - Sun Life Financial Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
5.46	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.47	<u>TSCO</u> - Tractor Supply Company	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
5.48	<u>TRV</u> - The Travelers Companies, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.49	<u>TSN</u> - Tyson Foods, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.50	<u>UNP</u> - Union Pacific Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.51	<u>UPS</u> - United Parcel Service, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.52	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
5.53	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.54	<u>XEL</u> - Xcel Energy Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.55	ALABAMA STATE UNIV REV GEN TUITION FEE REVENUE BOND (010632PC5) Rate/Coupon: 4.5% Matures: 09/01/2024	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
5.56	BIRMINGHAM ALA GO WTS SER (09088RJ31) Rate/Coupon: 5% Matures: 12/01/2043	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
5.57	NEW YORK NY GO BDS (64966MHL6) Rate/Coupon: 5.0% Matures: 12/01/2041	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
5.58	<u>NPFCX</u> - American Funds New Perspective Fund Class C	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
5.59	<u>FGSCX</u> - Federated MDT Mid Cap Growth Fund Class C Shares	Mutual Funds Mutual Fund	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$5,001 - \$15,000
5.60	<u>JHCBX</u> - John Hancock Bond Fund Class C	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$1,001 - \$2,500
5.61	<u>PONCX</u> - PIMCO Income Fund Class C	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
5.62	<u>CARR</u> - Carrier Global	Corporate Securities	Joint	\$1,001 -	Dividends,	None (or

	Corporation	Stock		\$15,000		less than \$201)
5.63	<u>BMJ</u> - Bristol-Myers Squibb Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
5.64	<u>CTVA</u> - Corteva, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5.65	<u>OTIS</u> - Otis Worldwide Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5.66	<u>DGX</u> - Quest Diagnostics Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.67	<u>RTX</u> - Raytheon Technologies Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
5.68	<u>VTRS</u> - Viatris Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
5.69	<u>ABQCX</u> - AB Total Return Bond Portfolio Class C	Mutual Funds Mutual Fund	Joint	\$50,001 - \$100,000	Excepted Investment Fund,	\$201 - \$1,000
5.70	<u>CLOU</u> - Global X Cloud Computing ETF Global X Cloud Comput	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
5.71	<u>XLC</u> - Communication Services Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$201 - \$1,000
5.72	<u>NWL</u> - Newell Brands Inc. - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.73	<u>PEGA</u> - Pegasystems Inc. - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
5.74	<u>CRWD</u> - CrowdStrike Holdings, Inc. - Class A Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
6	Southern States Bank (Auburn, AL) Type: Checking, Money Market Account,	Bank Deposit	Joint	\$500,001 - \$1,000,000	Interest,	\$201 - \$1,000
7	Fifth Third Bank (Cincinnati, OH) Type: Checking, Savings,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
8	Alabama Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	Other, (Pension Payment)	Other \$43,246.32
9	University of Miami Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
10	Disney SIP	Retirement Plans IRA	Self			
10.1	BTC LifePath Ret F	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
11	LPL Financial Joint	Brokerage/Managed	Joint			

	Brokerage Account	Account				
11.1	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.2	<u>GOOG</u> - Alphabet Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.3	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends,	\$1,001 - \$2,500
11.4	<u>ANET</u> - Arista Networks, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.5	<u>ASML</u> - ASML Holding N.V.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.6	<u>ADP</u> - Automatic Data Processing, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.7	<u>BRK-B</u> - Berkshire Hathaway Inc.	Corporate Securities Stock	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
11.8	<u>BFB</u> - Brown-Forman Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.9	<u>KO</u> - The Coca-Cola Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.10	<u>CSX</u> - CSX Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.11	<u>EW</u> - Edwards Lifesciences Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None, Capital Gains,	None (or less than \$201)
11.12	<u>EA</u> - Electronic Arts Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
11.13	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.14	<u>FB</u> - Facebook, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.15	<u>FDS</u> - FactSet Research Systems Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.16	<u>FLT</u> - FLEETCOR Technologies, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.17	<u>FTNT</u> - Fortinet, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Capital Gains,	\$2,501 - \$5,000
11.18	<u>GRMN</u> - Garmin Ltd.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.19	<u>GNTX</u> - Gentex Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

11.20	<u>GGG</u> - Graco Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.21	<u>IEX</u> - IDEX Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.22	<u>ITW</u> - Illinois Tool Works Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.23	<u>INTC</u> - Intel Corporation	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
11.24	<u>INTU</u> - Intuit Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
11.25	<u>JKHY</u> - Jack Henry & Associates, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.26	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
11.27	<u>KLAC</u> - KLA Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.28	<u>LRCX</u> - Lam Research Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.29	<u>MKTX</u> - MarketAxess Holdings Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.30	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.31	<u>MTD</u> - Mettler-Toledo International Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.32	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.33	<u>MNST</u> - Monster Beverage Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.34	<u>MCO</u> - Moody's Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.35	<u>NVDA</u> - NVIDIA Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
11.36	<u>PAYX</u> - Paychex, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.37	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.38	<u>TROW</u> - T. Rowe Price Group, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.39	<u>RMD</u> - ResMed Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000

11.40	<u>SPGI</u> - S&P Global Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.41	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.42	<u>UI</u> - Ubiquiti Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.43	<u>VEEV</u> - Veeva Systems Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.44	<u>VRSK</u> - Verisk Analytics, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.45	<u>V</u> - Visa Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.46	<u>VMW</u> - VMware, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
11.47	<u>XLNX</u> - Xilinx, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.48	<u>ZTS</u> - Zoetis Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.49	<u>EVT</u> - Eaton Vance Tax-Advantaged Dividend Income Fund	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	Excepted Investment Fund,	\$1,001 - \$2,500
11.50	<u>AA</u> - Alcoa Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.51	<u>AON</u> - Aon plc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.52	<u>AMAT</u> - Applied Materials, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
11.53	<u>APAM</u> - Artisan Partners Asset Management Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
11.54	<u>GOLD</u> - Barrick Gold Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
11.55	<u>GOLD</u> - Barrick Gold Corporation <i>Strike price: \$30.00 Call Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.56	<u>CP</u> - Canadian Pacific Railway Limited	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.57	<u>CHD</u> - Church & Dwight Co., Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.58	<u>CL</u> - Colgate-Palmolive Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
11.59	<u>CPRT</u> - Copart, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)

11.60	DG - Dollar General Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.61	EBAY - eBay Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
11.62	FAST - Fastenal Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.63	FCX - Freeport-McMoRan Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.64	HON - Honeywell International Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
11.65	INFY - Infosys Limited	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.66	NTES - NetEase, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
11.67	NDSN - Nordson Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
11.68	NVO - Novo Nordisk A/S	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.69	SCCO - Southern Copper Corporation	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
11.70	TDY - Teledyne Technologies Incorporated	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.71	UNP - Union Pacific Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.72	WY - Weyerhaeuser Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.73	WIT - Wipro Limited	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.74	FDSWX - AIG Focused Dividend Strategy Fund Class W	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
11.75	SDOG - ALPS Sector Dividend Dogs ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
11.76	ONEQ - Fidelity Nasdaq Composite Index ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.77	Goldman Sachs Bank USA (New York, New York) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	None (or less than \$201)
11.78	ADBE - Adobe Inc. Strike price: \$410.00 Put Expires: 03/19/21	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.79	AA - Alcoa Corporation Strike price: \$22.00 Put	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

Expires: 04/16/21

11.80	<u>GOLD</u> - Barrick Gold Corporation Strike price: \$22.00 Put Expires: 01/21/22	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.81	<u>EA</u> - Electronic Arts Inc. Strike price: \$115.00 Put Expires: 06/18/21	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.82	<u>FCX</u> - Freeport-McMoRan Inc. Strike price: \$15.00 Put Expires: 05/21/21	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.83	<u>MSFT</u> - Microsoft Corporation Strike price: \$185.00 Put Expires: 04/16/21	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.84	<u>MSFT</u> - Microsoft Corporation Strike price: \$205.00 Put Expires: 07/16/21	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.85	<u>VEEV</u> - Veeva Systems Inc. Strike price: \$240.00 Put Expires: 03/19/21	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.86	<u>APD</u> - Air Products and Chemicals, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.87	<u>ALGN</u>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.88	<u>ALLE</u> - Allegion plc Ordinary Shares	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.89	<u>ADI</u> - Analog Devices, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
11.90	<u>BIO</u> - Bio-Rad Laboratories, Inc. Class A Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None, Excepted Investment Fund,	None (or less than \$201)
11.91	<u>BKE</u> - Buckle, Inc. (The) Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Rent/Royalties,	\$201 - \$1,000
11.92	<u>CABO</u> - Cable One, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.93	<u>CE</u> - Celanese Corporation Celanese Corporation Common S	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.94	<u>ECOM</u> - ChannelAdvisor Corporation Common Stock	Corporate Securities Stock	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
11.95	<u>CTAS</u> - Cintas Corporation - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.96	<u>CLF</u> - Cleveland-Cliffs	Corporate Securities	Joint	\$250,001 -	Capital Gains,	\$5,001 -

	Inc. Common Stock	Stock		\$500,000		\$15,000
11.97	<u>CNR</u> - Cornerstone Building Brands, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.98	<u>DEO</u> - Diageo plc Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.99	<u>EXP</u> - Eagle Materials Inc Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.100	<u>EL</u> - Estee Lauder Companies, Inc. (The) Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.101	<u>GNRC</u> - Generac Holdings Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.102	<u>HSY</u> - The Hershey Company Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.103	<u>IDXX</u> - IDEXX Laboratories, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.104	<u>KEYS</u> - Keysight Technologies Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.105	<u>MPWR</u> - Monolithic Power Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.106	<u>NFLX</u> - Netflix, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.107	<u>OXY</u> - Occidental Petroleum Corporation Common Stock	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
11.108	<u>ODFL</u> - Old Dominion Freight Line, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.109	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.110	<u>PG</u> - Procter & Gamble Company (The) Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.111	<u>QCOM</u> - QUALCOMM Incorporated - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.112	<u>REGN</u> - Regeneron Pharmaceuticals, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.113	<u>ROK</u> - Rockwell Automation, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.114	<u>SEIC</u> - SEI Investments	Corporate Securities	Joint	\$1,001 -	Dividends,	None (or

	Company - Common Stock	Stock		\$15,000		less than \$201)
11.115	<u>SWKS</u> - Skyworks Solutions, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.116	<u>SNA</u> - Snap-On Incorporated Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.117	<u>SSBK</u> - Southern States Bancshares, Inc. - Common Stock	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
11.118	<u>SSYS</u> - Stratasys, Ltd. - Common Stock	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
11.119	<u>SIVB</u> - SVB Financial Group - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.120	<u>TER</u> - Teradyne, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.121	<u>X</u> - United States Steel Corporation Common Stock	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
11.122	<u>WAT</u> - Waters Corporation Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.123	<u>WST</u> - West Pharmaceutical Services, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.124	<u>ECOM</u> - ChannelAdvisor Corporation Common Stock <i>Strike price: \$25.00 Call Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.125	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$27.00 Call Expires: 01/20/23</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.126	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$20.00 Call Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.127	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$23.00 Call Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.128	<u>INTC</u> - Intel Corporation - Common Stock <i>Strike price: \$60.00 Call Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.129	<u>OXY</u> - Occidental Petroleum Corporation Common Stock	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)

Strike price: \$31.00 | Call
Expires: 02/18/22

11.130	<u>SCCO</u> - Southern Copper Corporation Common Stock Strike price: \$65.00 Call Expires: 06/17/22	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.131	<u>X</u> - United States Steel Corporation Common Stock Strike price: \$25.00 Call Expires: 06/17/22	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.132	<u>BABA</u> - Alibaba Group Holding Limited American Depositary Strike price: \$230.00 Put Expires: 09/16/22	Corporate Securities Stock Option	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
11.133	<u>BABA</u> - Alibaba Group Holding Limited American Depositary Strike price: \$245.00 Put Expires: 01/21/22	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.134	<u>CALX</u> - Calix, Inc Common Stock Strike price: \$40.00 Put Expires: 11/18/22	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.135	<u>CALX</u> - Calix, Inc Common Stock Strike price: \$55.00 Put Expires: 11/18/22	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.136	<u>ECOM</u> - ChannelAdvisor Corporation Common Stock Strike price: \$25.00 Put Expires: 03/18/22	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.137	<u>ECOM</u> - ChannelAdvisor Corporation Common Stock Strike price: \$25.00 Put Expires: 06/17/22	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.138	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock Strike price: \$22.00 Put Expires: 01/20/23	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.139	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock Strike price: \$20.00 Put Expires: 01/21/22	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.140	<u>F</u> - Ford Motor Company Common Stock Strike price: \$15.00 Put Expires: 03/18/22	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.141	<u>F</u> - Ford Motor Company Common Stock Strike price: \$17.00 Put Expires: 09/16/22	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)

11.142	<u>INTC</u> - Intel Corporation - Common Stock <i>Strike price: \$52.50 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.143	<u>INTC</u> - Intel Corporation - Common Stock <i>Strike price: \$55.00 Neither Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.144	<u>NVDA</u> - NVIDIA Corporation - Common Stock <i>Strike price: \$180.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.145	<u>OXY</u> - Occidental Petroleum Corporation Common Stock <i>Strike price: \$29.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.146	<u>OXY</u> - Occidental Petroleum Corporation Common Stock <i>Strike price: \$25.00 Put Expires: 02/18/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.147	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$220.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.148	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$185.00 Put Expires: 01/20/23</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.149	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$185.00 Put Expires: 09/16/22</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.150	<u>QCOM</u> - QUALCOMM Incorporated - Common Stock <i>Strike price: \$130.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.151	<u>SCCO</u> - Southern Copper Corporation Common Stock <i>Strike price: \$55.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.152	<u>X</u> - United States Steel Corporation Common Stock <i>Strike price: \$22.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.153	Goldman Sachs (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Dividends,	None (or less than \$201)
11.154	<u>DECK</u> - Deckers Outdoor Corporation Common	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000

Stock

11.155	<u>DGX</u> - Quest Diagnostics Incorporated Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
11.156	<u>WAL</u> - Western Alliance Bancorporation Common Stock (DE)	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
11.157	<u>BHP</u> - BHP Group Limited American Depositary Shares (Each	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
11.158	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$20.00 Call Expires: 07/16/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.159	<u>ECOM</u> - ChannelAdvisor Corporation Common Stock <i>Strike price: \$30.00 Call Expires: 09/17/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.160	<u>ECOM</u> - ChannelAdvisor Corporation Common Stock <i>Strike price: \$30.00 Call Expires: 12/17/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.161	<u>GOLD</u> - Barrick Gold Corporation Common Stock (BC) <i>Strike price: \$30.00 Call Expires: 01/21/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.162	<u>OXY</u> - Occidental Petroleum Corporation Common Stock <i>Strike price: \$30.00 Call Expires: 08/20/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.163	<u>SSYS</u> - Stratasys, Ltd. - Common Stock <i>Strike price: \$40.00 Call Expires: 03/18/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.164	<u>X</u> - United States Steel Corporation Common Stock <i>Strike price: \$29.00 Call Expires: 10/15/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.165	<u>AA</u> - Alcoa Corporation Common Stock <i>Strike price: \$22.00 Put Expires: 01/21/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.166	<u>AA</u> - Alcoa Corporation Common Stock <i>Strike price: \$22.00 Put Expires: 04/16/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.167	<u>AA</u> - Alcoa Corporation Common Stock <i>Strike price: \$25.00 Put Expires: 10/15/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

11.168	<u>AAPL</u> - Apple Inc. - Common Stock <i>Strike price: \$102.50 Put Expires: 06/17/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.169	<u>ADBE</u> - Adobe Inc. - Common Stock <i>Strike price: \$400.00 Put Expires: 01/21/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.170	<u>ADBE</u> - Adobe Inc. - Common Stock <i>Strike price: \$410.00 Put Expires: 03/19/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.171	<u>ADBE</u> - Adobe Inc. - Common Stock <i>Strike price: \$405.00 Put Expires: 06/18/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.172	<u>ADP</u> - Automatic Data Processing, Inc. - Common Stock <i>Strike price: \$175.00 Put Expires: 08/02/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.173	<u>CALX</u> - Calix, Inc Common Stock <i>Strike price: \$40.00 Put Expires: 01/21/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.174	<u>CALX</u> - Calix, Inc Common Stock <i>Strike price: \$40.00 Put Expires: 10/15/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.175	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$17.00 Put Expires: 01/21/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.176	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$15.00 Put Expires: 07/16/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.177	<u>CSX</u> - CSX Corporation - Common Stock <i>Strike price: \$80.00 Put Expires: 08/20/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.178	<u>EA</u> - Electronic Arts Inc. - Common Stock <i>Strike price: \$115.00 Put Expires: 06/18/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.179	<u>ECOM</u> - ChannelAdvisor Corporation Common Stock <i>Strike price: \$25.00 Put Expires: 09/17/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.180	<u>F</u> - Ford Motor Company Common Stock <i>Strike price: \$11.00 Put Expires: 09/17/2021</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.181	<u>FB</u> - Meta Platforms, Inc. - Class A Common Stock	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

Strike price: \$225.00 | Put
Expires: 07/16/2021

11.182	FCX - Freeport-McMoRan, Inc. Common Stock Strike price: \$15.00 Put Expires: 05/21/2021	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.183	INTC - Intel Corporation - Common Stock Strike price: \$50.00 Put Expires: 07/16/2021	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.184	MSFT - Microsoft Corporation - Common Stock Strike price: \$210.00 Put Expires: 03/18/2022	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.185	MSFT - Microsoft Corporation - Common Stock Strike price: \$185.00 Put Expires: 04/16/2021	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.186	MSFT - Microsoft Corporation - Common Stock Strike price: \$205.00 Put Expires: 07/16/2021	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.187	OXY - Occidental Petroleum Corporation Common Stock Strike price: \$25.00 Put Expires: 08/20/2021	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.188	OXY - Occidental Petroleum Corporation Common Stock Strike price: \$25.00 Put Expires: 11/19/2021	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.189	QQQ - Invesco QQQ Trust, Series 1 Strike price: \$285.00 Put Expires: 03/18/2022	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.190	QQQ - Invesco QQQ Trust, Series 1 Strike price: \$262.00 Put Expires: 09/17/2021	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.191	SSYS - Stratasys, Ltd. - Common Stock Strike price: \$22.50 Put Expires: 09/17/2021	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.192	VEEV - Veeva Systems Inc. Class A Common Stock Strike price: \$240.00 Put Expires: 03/19/2021	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
12	McVean Trading Brokerage Account	Brokerage/Managed Account	Self			
12.1	McVean Trading &	Bank Deposit	Self	\$50,001 -	None,	None (or

	Investments, LLC (Memphis, TN) <i>Type:</i> Brokerage Sweep Account,			\$100,000		less than \$201)
12.2	Call May 2021 Soybean Oil - Exp 04/23/21	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
12.3	May 2021 CBT Soybeans	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
12.4	APR 22 CME Cattle	Commodities/Futures Contract	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
12.5	Apr 21 Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
12.6	Call Mar 21 CBT Wheat	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.7	Call May 21 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.8	Call Sep 21 CBOT SD Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.9	Dec 21 CBT Wheat	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.10	Dec 21 CME Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.11	Jul 21 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
12.12	Jul 21 Soybean Meal	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.13	Jul 22 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
12.14	Jun 21 CME Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.15	Mar 21 Fdr Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.16	Mar 22 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
12.17	Mar 22 CBT Wheat	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
12.18	May 22 Soybean Oil	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.19	Nov 21 CBT Soybeans	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.20	Oct 21 CME Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.21	Put Dec 21 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.22	Sep 21 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.23	Sep 21 Fdr Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
13	Tiger Farms, LLC <i>Company:</i> Tiger Farms, LLC (Auburn, Alabama) <i>Description:</i> Real	Business Entity Limited Liability Company (LLC)	Joint			

property holding company

13.1	Unimproved land <i>Description:</i> Unimproved land (Dadeville, Alabama)	Real Estate Unimproved Land	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)
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Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/04/2021	Joint	INTC	Intel Corporation Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 07/16/2021	Sale (Full)	\$1,001 - \$15,000	--
2	01/04/2021	Joint	INTC	Intel Corporation Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 07/16/2021	Sale (Full)	\$1,001 - \$15,000	--
3	01/04/2021	Joint	INTC	Intel Corporation Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 07/16/2021	Sale (Full)	\$1,001 - \$15,000	--
4	01/04/2021	Joint	ONEQ	Fidelity Nasdaq Composite Index ETF	Sale (Full)	\$100,001 - \$250,000	--
5	01/04/2021	Joint	DG	Dollar General Corporation	Sale (Full)	\$50,001 - \$100,000	--
6	01/04/2021	Joint	WY	Weyerhaeuser Company	Sale (Full)	\$50,001 - \$100,000	--
7	01/04/2021	Joint	INTC	Intel Corporation Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 07/16/2021	Sale (Full)	\$1,001 - \$15,000	--
8	01/05/2021	Joint	FB	Facebook, Inc. Option Type: Put <i>Strike price:</i> \$225.00 <i>Expires:</i> 07/16/2021	Sale (Full)	\$1,001 - \$15,000	--
9	01/06/2021	Joint	AA	Alcoa Corporation	Sale (Full)	\$1,001 - \$15,000	--

				Option Type: Put <i>Strike price:</i> \$22.00 <i>Expires:</i> 01/21/2022			
10	01/06/2021	Joint	AA	Alcoa Corporation Option Type: Put <i>Strike price:</i> \$22.00 <i>Expires:</i> 01/21/2021	Sale (Full)	\$1,001 - \$15,000	--
11	01/06/2021	Joint	AA	Alcoa Corporation	Purchase	\$15,001 - \$50,000	--
12	01/06/2021	Joint	AA	Alcoa Corporation Option Type: Call <i>Strike price:</i> \$26.00 <i>Expires:</i> 07/16/2021	Sale (Full)	\$1,001 - \$15,000	--
13	01/06/2021	Joint	AA	Alcoa Corporation	Purchase	\$15,001 - \$50,000	--
14	01/13/2021	Joint	QQQ	Invesco QQQ Trust Option Type: Put <i>Strike price:</i> \$262.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$15,001 - \$50,000	--
15	01/13/2021	Joint	TDY	Teledyne Technologies Incorporated	Sale (Full)	\$50,001 - \$100,000	--
16	01/15/2021	Joint	SDOG	ALPS Sector Dividend Dogs ETF	Purchase	\$15,001 - \$50,000	--
17	01/21/2021	Joint	CLF	Cleveland-Cliffs Inc.	Purchase	\$15,001 - \$50,000	--
18	01/21/2021	Joint	CLF	Cleveland-Cliffs Inc. Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 07/16/2021	Sale (Full)	\$1,001 - \$15,000	--
19	01/25/2021	Joint	BABA	Alibaba Group Holding Limited Option Type: Put <i>Strike price:</i> \$230.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$15,001 - \$50,000	--
20	02/02/2021	Joint	CSX	CSX Corporation	Sale	\$1,001 -	--

				Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 08/20/2021	(Full)	\$15,000	
21	02/03/2021	Joint	BRK-B	Berkshire Hathaway Inc.	Purchase	\$50,001 - \$100,000	--
22	02/03/2021	Joint	MSFT	Microsoft Corporation Option Type: Put <i>Strike price:</i> \$185.00 <i>Expires:</i> 04/16/2021	Purchase	\$1,001 - \$15,000	--
23	02/04/2021	Joint	SSYS	Stratasys Ltd. Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$1,001 - \$15,000	--
24	02/04/2021	Joint	SSYS	Stratasys Ltd. Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$1,001 - \$15,000	--
25	02/08/2021	Joint	F	Ford Motor Company Option Type: Put <i>Strike price:</i> \$11.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$1,001 - \$15,000	--
26	02/09/2021	Joint	VEEV	Veeva Systems Inc. Option Type: Put <i>Strike price:</i> \$240.00 <i>Expires:</i> 03/19/2021	Purchase	\$1,001 - \$15,000	--
27	02/10/2021	Joint	ECOM	ChannelAdvisor Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$1,001 - \$15,000	--
28	02/10/2021	Joint	ECOM	ChannelAdvisor Corporation Option Type: Call <i>Strike price:</i> \$30.00	Sale (Full)	\$1,001 - \$15,000	--

				<i>Expires:</i> 09/17/2021				
29	02/10/2021	Joint	ECOM	ChannelAdvisor Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$1,001 - \$15,000	--	
30	02/10/2021	Joint	ECOM	ChannelAdvisor Corporation	Purchase	\$15,001 - \$50,000	--	
31	02/10/2021	Joint	ECOM	ChannelAdvisor Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$1,001 - \$15,000	--	
32	02/10/2021	Joint	ECOM	ChannelAdvisor Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$1,001 - \$15,000	--	
33	02/10/2021	Joint	ECOM	ChannelAdvisor Corporation	Purchase	\$15,001 - \$50,000	--	
34	02/10/2021	Joint	ECOM	ChannelAdvisor Corporation	Purchase	\$1,001 - \$15,000	--	
35	02/11/2021	Joint	ECOM	ChannelAdvisor Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$1,001 - \$15,000	--	
36	02/16/2021	Joint	OXY	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Sale (Full)	\$1,001 - \$15,000	--	
37	02/16/2021	Joint	OXY	Occidental Petroleum Corporation	Purchase	\$15,001 - \$50,000	--	
38	02/16/2021	Joint	OXY	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00	Sale (Full)	\$1,001 - \$15,000	--	

				<i>Expires:</i> 08/20/2021			
39	02/16/2021	Joint	OXY	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Sale (Full)	\$1,001 - \$15,000	--
40	02/16/2021	Joint	OXY	Occidental Petroleum Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 08/20/2021	Sale (Full)	\$1,001 - \$15,000	--
41	02/16/2021	Joint	OXY	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 08/20/2021	Sale (Full)	\$1,001 - \$15,000	--
42	02/19/2021	Joint	ADBE	Adobe Inc. Option Type: Put <i>Strike price:</i> \$405.00 <i>Expires:</i> 06/18/2021	Sale (Full)	\$1,001 - \$15,000	--
43	03/11/2021	Joint	ADP	Automatic Data Processing, Inc. Option Type: Put <i>Strike price:</i> \$175.00 <i>Expires:</i> 08/20/2021	Sale (Full)	\$1,001 - \$15,000	--
44	03/12/2021	Joint	CLF	Cleveland-Cliffs Inc. Option Type: Put <i>Strike price:</i> \$16.00 <i>Expires:</i> 07/16/2021	Sale (Full)	\$1,001 - \$15,000	--
45	03/12/2021	Joint	CLF	Cleveland-Cliffs Inc. Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 07/16/2021	Sale (Full)	\$1,001 - \$15,000	--
46	03/12/2021	Joint	CLF	Cleveland-Cliffs	Purchase	\$15.001 -	--

				Inc.		\$50,000	
47	03/16/2021	Joint	AA	Alcoa Corporation Option Type: Put Strike price: \$25.00 Expires: 10/15/2021	Sale (Full)	\$15,001 - \$50,000	--
48	03/16/2021	Joint	AA	Alcoa Corporation	Purchase	\$100,001 - \$250,000	--
49	03/16/2021	Joint	AA	Alcoa Corporation Option Type: Call Strike price: \$26.00 Expires: 10/15/2021	Sale (Full)	\$15,001 - \$50,000	--
50	03/16/2021	Joint	AA	Alcoa Corporation	Purchase	\$1,001 - \$15,000	--
51	03/29/2021	Joint	JNJ	Johnson & Johnson	Sale (Full)	\$1,001 - \$15,000	--
52	03/29/2021	Joint	WAL	Western Alliance Bancorporation	Purchase	\$1,001 - \$15,000	--
53	03/29/2021	Joint	WST	West Pharmaceutical Services, Inc.	Purchase	\$1,001 - \$15,000	--
54	03/29/2021	Joint	TER	Teradyne, Inc.	Purchase	\$1,001 - \$15,000	--
55	03/29/2021	Joint	AME	AMETEK, Inc.	Purchase	\$1,001 - \$15,000	--
56	03/29/2021	Joint	CLX	The Clorox Company	Purchase	\$1,001 - \$15,000	--
57	03/29/2021	Joint	SNA	Snap-on Incorporated	Purchase	\$1,001 - \$15,000	--
58	03/29/2021	Joint	ALGN	Align Technology, Inc.	Purchase	\$1,001 - \$15,000	--
59	03/29/2021	Joint	CHE	Chemed Corporation	Purchase	\$1,001 - \$15,000	--
60	03/29/2021	Joint	MMM	3M Company	Purchase	\$1,001 - \$15,000	--
61	03/29/2021	Joint	DECK	Deckers Outdoor Corporation	Purchase	\$1,001 - \$15,000	--
62	03/29/2021	Joint	EBAY	eBay Inc.	Sale (Full)	\$1,001 - \$15,000	--
63	03/29/2021	Joint	NDSN	Nordson Corporation	Sale (Full)	\$1,001 - \$15,000	--
64	03/29/2021	Joint	EA	Electronic Arts Inc.	Sale (Full)	\$1,001 - \$15,000	--
65	03/29/2021	Joint	HSY	The Hershey Company	Purchase	\$1,001 - \$15,000	--
66	03/29/2021	Joint	GGG	Graco Inc.	Purchase	\$1,001 - \$15,000	--

67	03/29/2021	Joint	GOOGL	Alphabet Inc.	Purchase	\$1,001 - \$15,000	--
68	03/29/2021	Joint	FTNT	Fortinet, Inc.	Sale (Full)	\$1,001 - \$15,000	--
69	03/29/2021	Joint	IEX	IDEX Corporation	Purchase	\$1,001 - \$15,000	--
70	03/29/2021	Joint	INTU	Intuit Inc.	Sale (Full)	\$1,001 - \$15,000	--
71	03/29/2021	Joint	NTES	NetEase, Inc.	Sale (Full)	\$1,001 - \$15,000	--
72	03/29/2021	Joint	ODFL	Old Dominion Freight Line, Inc.	Purchase	\$1,001 - \$15,000	--
73	03/29/2021	Joint	CNR	Cornerstone Building Brands, Inc.	Purchase	\$1,001 - \$15,000	--
74	03/29/2021	Joint	BHP	BHP Group	Purchase	\$1,001 - \$15,000	--
75	03/29/2021	Joint	PYPL	PayPal Holdings, Inc.	Purchase	\$1,001 - \$15,000	--
76	03/29/2021	Joint	EW	Edwards Lifesciences Corporation	Purchase	\$1,001 - \$15,000	--
77	03/29/2021	Joint	SWKS	Skyworks Solutions, Inc.	Purchase	\$1,001 - \$15,000	--
78	03/29/2021	Joint	QCOM	QUALCOMM Incorporated	Purchase	\$1,001 - \$15,000	--
79	03/29/2021	Joint	DGX	Quest Diagnostics Incorporated	Purchase	\$1,001 - \$15,000	--
80	03/29/2021	Joint	IEX	IDEX Corporation	Purchase	\$1,001 - \$15,000	--
81	03/29/2021	Joint	MRK	Merck & Co., Inc.	Sale (Full)	\$1,001 - \$15,000	--
82	03/29/2021	Joint	SEIC	SEI Investments Company	Purchase	\$1,001 - \$15,000	--
83	03/29/2021	Joint	SIVB	SVB Financial Group	Purchase	\$1,001 - \$15,000	--
84	03/29/2021	Joint	WAT	Waters Corporation	Purchase	\$1,001 - \$15,000	--
85	03/29/2021	Joint	REGN	Regeneron Pharmaceuticals, Inc.	Purchase	\$1,001 - \$15,000	--
86	03/29/2021	Joint	ATHM	Autohome Inc.	Purchase	\$1,001 - \$15,000	--
87	03/29/2021	Joint	HON	Honeywell International Inc.	Sale (Full)	\$1,001 - \$15,000	--
88	03/29/2021	Joint	ROK	Rockwell Automation, Inc.	Purchase	\$1,001 - \$15,000	--
89	03/29/2021	Joint	BIO	Bio-Rad Laboratories, Inc.	Purchase	\$1,001 - \$15,000	--
90	03/29/2021	Joint	CL	Colgate-Palmolive	Sale (Full)	\$1,001 - \$15,000	--

				Company			
91	03/29/2021	Joint	IDXX	IDEXX Laboratories, Inc.	Purchase	\$1,001 - \$15,000	--
92	03/29/2021	Joint	VMW	VMware, Inc.	Purchase	\$1,001 - \$15,000	--
93	03/29/2021	Joint	CE	Celanese Corporation	Purchase	\$1,001 - \$15,000	--
94	03/29/2021	Joint	GNTX	Gentex Corporation	Purchase	\$1,001 - \$15,000	--
95	04/01/2021	Joint	PYPL	PayPal Holdings, Inc. Option Type: Put Strike price: \$220.00 Expires: 06/17/2022	Sale (Full)	\$1,001 - \$15,000	--
96	04/01/2021	Joint	PYPL	PayPal Holdings, Inc. Option Type: Put Strike price: \$220.00 Expires: 06/17/2022	Sale (Full)	\$1,001 - \$15,000	--
97	04/05/2021	Joint	INTC	Intel Corporation Option Type: Put Strike price: \$50.00 Expires: 07/16/2021	Purchase	\$1,001 - \$15,000	--
98	04/05/2021	Joint	INTC	Intel Corporation Option Type: Put Strike price: \$60.00 Expires: 10/15/2021	Sale (Full)	\$1,001 - \$15,000	--
99	04/05/2021	Joint	EA	Electronic Arts Inc. Option Type: Put Strike price: \$115.00 Expires: 06/18/2021	Purchase	\$1,001 - \$15,000	--
100	04/07/2021	Joint	OXY	Occidental Petroleum Corporation Option Type: Call Strike price: \$29.00 Expires: 11/19/2021	Sale (Full)	\$1,001 - \$15,000	--
101	04/07/2021	Joint	OXY	Occidental Petroleum	Purchase	\$50,001 - \$100,000	--

				Corporation			
102	04/07/2021	Joint	OXY	Occidental Petroleum Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i> 11/19/2021	Sale (Full)	\$1,001 - \$15,000	--
103	04/07/2021	Joint	OXY	Occidental Petroleum Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 11/19/2021	Sale (Full)	\$1,001 - \$15,000	--
104	04/07/2021	Joint	CALX	Calix, Inc. Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 10/15/2021	Sale (Full)	\$1,001 - \$15,000	--
105	04/08/2021	Joint	CALX	Calix, Inc. Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--
106	04/12/2021	Joint	SSYS	Stratasys Ltd. Option Type: Put <i>Strike price:</i> \$22.50 <i>Expires:</i> 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--
107	04/14/2021	Joint	ADBE	Adobe Inc. Option Type: Put <i>Strike price:</i> \$400.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--
108	04/14/2021	Joint	FCX	Freeport-McMoRan Inc.	Sale (Full)	\$15,001 - \$50,000	--
109	04/16/2021	Joint	ECOM	ChannelAdvisor Corporation	Purchase	\$15,001 - \$50,000	--
110	04/16/2021	Joint	ECOM	ChannelAdvisor Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 12/17/2021	Sale (Full)	\$1,001 - \$15,000	--
111	04/19/2021	Joint	CLF	Cleveland-Cliffs	Purchase	\$50,001 -	--

				Inc.		\$100,000	
112	04/19/2021	Joint	ECOM	ChannelAdvisor Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/17/2021	Sale (Full)	\$1,001 - \$15,000	--
113	04/19/2021	Joint	CLF	Cleveland-Cliffs Inc. Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$15,001 - \$50,000	--
114	04/19/2021	Joint	CLF	Cleveland-Cliffs Inc. Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$15,001 - \$50,000	--
115	04/19/2021	Joint	AA	Alcoa Corporation	Sale (Full)	\$50,001 - \$100,000	--
116	04/20/2021	Joint	FB	Facebook, Inc. Option Type: Put <i>Strike price:</i> \$225.00 <i>Expires:</i> 07/16/2021	Purchase	\$1,001 - \$15,000	--
117	04/20/2021	Joint	MSFT	Microsoft Corporation Option Type: Put <i>Strike price:</i> \$205.00 <i>Expires:</i> 07/16/2021	Purchase	\$1,001 - \$15,000	--
118	04/21/2021	Joint	ECOM	ChannelAdvisor Corporation Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 12/17/2021	Sale (Full)	\$1,001 - \$15,000	--
119	04/21/2021	Joint	CSX	CSX Corporation Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 08/20/2021	Purchase	\$1,001 - \$15,000	--
120	04/26/2021	Joint	QQQ	Invesco QQQ Trust Option Type:	Sale (Full)	\$1,001 - \$15,000	--

				Put <i>Strike price:</i> \$285.00 <i>Expires:</i> 03/18/2022				
121	04/27/2021	Joint	CALX	Calix, Inc. Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--	
122	04/27/2021	Joint	CALX	Calix, Inc. Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--	
123	04/27/2021	Joint	CALX	Calix, Inc. Option Type: Put <i>Strike price:</i> \$40.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--	
124	05/06/2021	Joint	X	United States Steel Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i> 10/15/2021	Sale (Full)	\$1,001 - \$15,000	--	
125	05/06/2021	Joint	X	United States Steel Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i> 10/15/2021	Sale (Full)	\$1,001 - \$15,000	--	
126	05/06/2021	Joint	X	United States Steel Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i> 10/15/2021	Sale (Full)	\$1,001 - \$15,000	--	
127	05/06/2021	Joint	X	United States Steel Corporation Option Type: Call <i>Strike price:</i> \$29.00 <i>Expires:</i>	Sale (Full)	\$1,001 - \$15,000	--	

10/15/2021

128	05/06/2021	Joint	X	United States Steel Corporation Option Type: Put <i>Strike price:</i> \$24.00 <i>Expires:</i> 10/15/2021	Sale (Full)	\$15,001 - \$50,000	--
129	05/06/2021	Joint	X	United States Steel Corporation	Purchase	\$100,001 - \$250,000	The filer's financial advisors actively manage his accounts without the filer's day to day involvement. The filer was unaware that the specific transactions in this report occurred. Upon becoming aware of the transactions, the filer expeditiously prepared and submitted this report to the Committee.
130	05/10/2021	Joint	FCX	Freeport-McMoRan Inc.	Sale (Full)	\$1,001 - \$15,000	--
131	05/18/2021	Joint	FDSWX	AIG Focused Dividend Strategy Fund Class W	Sale (Full)	\$1,001 - \$15,000	--
132	05/24/2021	Joint	FCX	Freeport-McMoRan Inc.	Sale (Full)	\$1,001 - \$15,000	--
133	06/01/2021	Joint	QCOM	QUALCOMM Incorporated Option Type: Put <i>Strike price:</i> \$130.00 <i>Expires:</i> 06/17/2022	Sale (Full)	\$15,001 - \$50,000	--
134	06/07/2021	Joint	--	Ford Motor Company Option Type: Put <i>Strike price:</i> \$11.00 <i>Expires:</i> 09/17/2021	Purchase	\$1,001 - \$15,000	--
135	06/23/2021	Joint	AAPL	Apple Inc. Option Type: Put <i>Strike price:</i> \$102.50 <i>Expires:</i> 06/17/2022	Sale (Full)	\$1,001 - \$15,000	--
136	06/23/2021	Joint	MSFT	Microsoft Corporation Option Type: Put <i>Strike price:</i> \$210.00 <i>Expires:</i> 03/18/2022	Sale (Full)	\$1,001 - \$15,000	--

137	06/25/2021	Joint	OXY	Occidental Petroleum Corporation Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 08/20/2021	Purchase	\$1,001 - \$15,000	--
138	06/30/2021	Joint	ADP	Automatic Data Processing, Inc. Option Type: Put <i>Strike price:</i> \$175.00 <i>Expires:</i> 08/20/2021	Purchase	\$1,001 - \$15,000	--
139	07/01/2021	Joint	INTC	Intel Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$55.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--
140	07/06/2021	Joint	QQQ	Invesco QQQ Trust, Series 1 Option Type: Put <i>Strike price:</i> \$262.00 <i>Expires:</i> 09/17/2021	Purchase	\$1,001 - \$15,000	--
141	07/07/2021	Self	--	Dec 21 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
142	07/09/2021	Self	--	Aug 21 CMS Cattle	Sale (Full)	\$1,001 - \$15,000	--
143	07/09/2021	Self	--	Dec 21 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
144	07/14/2021	Joint	BABA	Alibaba Group Holding Limited American Depositary Option Type: Put <i>Strike price:</i> \$245.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$15,001 - \$50,000	--
145	07/14/2021	Joint	BABA	Alibaba Group Holding Limited American Depositary Option Type: Put <i>Strike price:</i> \$250.00 <i>Expires:</i> 07/16/2021	Purchase	\$15,001 - \$50,000	--
146	07/16/2021	Joint	NWL	Newell Brands	Purchase	\$15,001 -	--

				Inc. - Common Stock		\$50,000	
147	07/16/2021	Self	DTD	Wisdomtree U.S. Total Dividend Fund	Purchase	\$15,001 - \$50,000	--
148	07/16/2021	Self	XLY	SPDR Select Sector Fund - Consumer Discretionary	Purchase	\$15,001 - \$50,000	--
149	07/16/2021	Self	SQ	Square, Inc. Class A Common Stock	Purchase	\$15,001 - \$50,000	--
150	07/16/2021	Self	MTUM	iShares MSCI USA Momentum Factor ETF	Purchase	\$15,001 - \$50,000	--
151	07/16/2021	Self	--	Bristol-Myers Squibb Company Common Stock	Purchase	\$15,001 - \$50,000	--
152	07/16/2021	Joint	PEP	PepsiCo, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
153	07/16/2021	Joint	PEGA	Pegasystems Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
154	07/16/2021	Joint	CRWD	CrowdStrike Holdings, Inc. - Class A Common Stock	Purchase	\$15,001 - \$50,000	--
155	07/19/2021	Joint	AA	Alcoa Corporation Common Stock	Sale (Full)	\$50,001 - \$100,000	--
156	07/19/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Sale (Full)	\$50,001 - \$100,000	--
157	07/19/2021	Self	--	Sep 21 FDR Cattle	Sale (Full)	\$1,001 - \$15,000	--
158	07/20/2021	Self	--	Dec 21 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
159	07/20/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 Expires: 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--
160	07/20/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 Expires: 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--
161	07/21/2021	Joint	CLF	Cleveland-Cliffs	Sale	\$1,001 -	--

				Inc. Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/21/2022	(Full)	\$15,000	
162	07/21/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--
163	07/21/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$1,001 - \$15,000	--
164	07/21/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$23.00 <i>Expires:</i> 01/21/2022	Sale (Full)	\$15,001 - \$50,000	--
165	07/21/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$100,001 - \$250,000	--
166	07/28/2021	Joint	NVDA	NVIDIA Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 06/17/2022	Sale (Full)	\$15,001 - \$50,000	--
167	07/29/2021	Joint	AA	Alcoa Corporation Common Stock Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 10/15/2021	Purchase	\$1,001 - \$15,000	--
168	07/30/2021	Joint	F	Ford Motor Company Common Stock Option Type: Put	Sale (Full)	\$15,001 - \$50,000	--

				Strike price: \$15.00 Expires: 03/18/2022			
169	08/02/2021	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Call Strike price: \$31.00 Expires: 02/18/2022	Sale (Full)	\$1,001 - \$15,000	--
170	08/02/2021	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Put Strike price: \$25.00 Expires: 02/18/2022	Sale (Full)	\$1,001 - \$15,000	--
171	08/05/2021	Self	--	Sep 21 FDR Cattle	Sale (Full)	\$1,001 - \$15,000	--
172	08/10/2021	Self	--	Aug 21 CME Cattle	Sale (Full)	\$1,001 - \$15,000	--
173	08/10/2021	Joint	INTC	Intel Corporation - Common Stock Option Type: Put Strike price: \$52.50 Expires: 06/17/2022	Sale (Full)	\$1,001 - \$15,000	--
174	08/11/2021	Self	--	Aug 21 CME Cattle	Sale (Full)	\$1,001 - \$15,000	--
175	08/12/2021	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Purchase	\$50,001 - \$100,000	--
176	08/12/2021	Self	--	Call Dec 21 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
177	08/12/2021	Self	--	Dec 21 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
178	08/18/2021	Joint	SSYS	Stratasys, Ltd. - Common Stock	Purchase	\$1,001 - \$15,000	--
179	08/18/2021	Self	--	Call Dec 21 KC Red Wheat	Sale (Full)	\$1,001 - \$15,000	--
180	08/19/2021	Self	--	Call Dec 21 KC Red Wheat	Sale (Full)	\$1,001 - \$15,000	--
181	08/20/2021	Self	--	Dec 21 KC Red Wheat	Sale (Full)	\$1,001 - \$15,000	--
182	08/27/2021	Joint	CALX	Calix, Inc Common Stock Option Type: Put Strike price:	Purchase	\$1,001 - \$15,000	--

\$40.00
Expires:
10/15/2021

183	08/30/2021	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Put Strike price: \$25.00 Expires: 03/18/2022	Sale (Full)	\$1,001 - \$15,000	--
184	08/30/2021	Joint	CALX	Calix, Inc Common Stock Option Type: Put Strike price: \$40.00 Expires: 11/18/2022	Sale (Full)	\$1,001 - \$15,000	--
185	08/30/2021	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Put Strike price: \$25.00 Expires: 03/18/2022	Sale (Full)	\$1,001 - \$15,000	--
186	09/02/2021	Self	--	Sep 21 FDR Cattle	Purchase	\$1,001 - \$15,000	--
187	09/03/2021	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Put Strike price: \$25.00 Expires: 09/17/2021	Purchase	\$1,001 - \$15,000	--
188	09/08/2021	Self	--	Oct 21 CME Cattle	Purchase	\$1,001 - \$15,000	--
189	09/13/2021	Joint	BABA	Alibaba Group Holding Limited American Depositary Option Type: Short Sale Strike price: \$230.00 Expires: 09/16/2022	Sale (Full)	\$15,001 - \$50,000	--
190	09/13/2021	Joint	BABA	Alibaba Group Holding Limited American Depositary Option Type: Put Strike price: \$230.00	Purchase	\$50,001 - \$100,000	--

Expires:
09/17/2021

191	09/13/2021	Joint	BABA	Alibaba Group Holding Limited American Depositary Option Type: Short Sale Strike price: \$230.00 Expires: 09/16/2022	Sale (Full)	\$15,001 - \$50,000	--
192	09/13/2021	Joint	BABA	Alibaba Group Holding Limited American Depositary Option Type: Short Sale Strike price: \$230.00 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
193	09/20/2021	Joint	SSYS	Stratasys, Ltd. - Common Stock	Purchase	\$50,001 - \$100,000	--
194	09/20/2021	Joint	X	United States Steel Corporation Common Stock Option Type: Call Strike price: \$25.00 Expires: 06/17/2022	Sale (Full)	\$15,001 - \$50,000	--
195	09/29/2021	Joint	CLX	Clorox Company (The) Common Stock	Sale (Full)	\$1,001 - \$15,000	--
196	09/29/2021	Joint	ATHM	Autohome Inc. American Depositary Shares, each rep	Sale (Full)	\$1,001 - \$15,000	--
197	09/29/2021	Joint	--	Quest Diagnostics Incorporated Common Stock	Sale (Full)	\$1,001 - \$15,000	--
198	09/29/2021	Joint	AME	AMETEK, Inc.	Sale (Full)	\$1,001 - \$15,000	--
199	09/29/2021	Joint	MMM	3M Company Common Stock	Sale (Full)	\$1,001 - \$15,000	--
200	09/29/2021	Joint	BHP	Bhp Group Plc	Sale (Full)	\$1,001 - \$15,000	--
201	09/29/2021	Joint	DECK	Deckers Outdoor Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
202	09/29/2021	Joint	CHE	Chemed Corp	Sale (Full)	\$1,001 - \$15,000	--
203	09/29/2021	Joint	WAL	Western Alliance Bancorporation	Sale (Full)	\$1,001 - \$15,000	--

				Common Stock (DE)			
204	09/30/2021	Joint	NFLX	Netflix, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
205	09/30/2021	Joint	PG	Procter & Gamble Company (The) Common Stock	Purchase	\$1,001 - \$15,000	--
206	09/30/2021	Joint	CTAS	Cintas Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
207	09/30/2021	Joint	EXP	Eagle Materials Inc Common Stock	Purchase	\$1,001 - \$15,000	--
208	09/30/2021	Joint	GNRC	Generac Holdings Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
209	09/30/2021	Joint	INTU	Intuit Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
210	09/30/2021	Joint	DEO	Diageo plc Common Stock	Purchase	\$1,001 - \$15,000	--
211	09/30/2021	Joint	APD	Air Products and Chemicals, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
212	09/30/2021	Joint	BKE	Buckle, Inc. (The) Common Stock	Purchase	\$1,001 - \$15,000	--
213	09/30/2021	Joint	JNJ	Johnson & Johnson Common Stock	Purchase	\$1,001 - \$15,000	--
214	09/30/2021	Joint	MPWR	Monolithic Power Systems, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
215	09/30/2021	Joint	ALLE	Allegion plc Ordinary Shares	Purchase	\$1,001 - \$15,000	--
216	09/30/2021	Joint	CABO	Cable One, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
217	09/30/2021	Joint	KLAC	KLA Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
218	09/30/2021	Joint	KEYS	Keysight Technologies Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
219	09/30/2021	Joint	EL	Estee Lauder Companies, Inc. (The) Common Stock	Purchase	\$1,001 - \$15,000	--
220	10/01/2021	Self	--	Oct 21 CME Cattle	Purchase	\$1,001 - \$15,000	--
221	10/12/2021	Self	--	Dec 21 CME Cattle	Purchase	\$1,001 - \$15,000	--
222	10/15/2021	Joint	AA	Alcoa Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
223	10/15/2021	Joint	INTC	Intel Corporation	Purchase	\$15,001 -	--

				- Common Stock		\$50,000	
224	10/18/2021	Joint	CALX	Calix, Inc Common Stock Option Type: Put <i>Strike price:</i> \$55.00 <i>Expires:</i> 11/18/2022	Purchase	\$1,001 - \$15,000	--
225	10/18/2021	Joint	GD	General Dynamics Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--
226	10/18/2021	Joint	DOW	Dow Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
227	10/18/2021	Joint	D	Dominion Energy, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
228	10/18/2021	Joint	BDX	Becton, Dickinson and Company Common Stock	Sale (Full)	\$15,001 - \$50,000	--
229	10/18/2021	Self	UA	Under Armour, Inc. Class C Common Stock	Sale (Full)	\$15,001 - \$50,000	--
230	10/18/2021	Self	UAA	Under Armour, Inc. Class A Common Stock	Sale (Full)	\$15,001 - \$50,000	--
231	10/18/2021	Self	GE	General Electric Company Common Stock	Sale (Full)	\$15,001 - \$50,000	--
232	10/18/2021	Joint	CALX	Calix, Inc Common Stock Option Type: Put <i>Strike price:</i> \$55.00 <i>Expires:</i> 11/18/2022	Sale (Full)	\$1,001 - \$15,000	--
233	10/18/2021	Joint	X	United States Steel Corporation Common Stock	Purchase	\$100,001 - \$250,000	--
234	10/18/2021	Joint	X	United States Steel Corporation Common Stock Option Type: Call <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/17/2022	Sale (Full)	\$1,001 - \$15,000	--
235	10/18/2021	Joint	CALX	Calix, Inc Common Stock Option Type: Put <i>Strike price:</i> \$55.00	Sale (Full)	\$1,001 - \$15,000	--

*Expires:
11/18/2022*

236	10/18/2021	Joint	AA	Alcoa Corporation Common Stock	Sale (Full)	\$100,001 - \$250,000	--
237	10/18/2021	Joint	INTC	Intel Corporation - Common Stock Option Type: Call <i>Strike price: \$60.00</i> <i>Expires: 06/17/2022</i>	Sale (Full)	\$1,001 - \$15,000	--
238	10/18/2021	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$50,001 - \$100,000	--
239	10/21/2021	Joint	X	United States Steel Corporation Common Stock Option Type: Put <i>Strike price: \$22.00</i> <i>Expires: 06/17/2022</i>	Sale (Full)	\$1,001 - \$15,000	--
240	10/26/2021	Joint	RMD	ResMed Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
241	10/26/2021	Joint	APAM	Artisan Partners Asset Management Inc. Class A Com	Sale (Full)	\$1,001 - \$15,000	--
242	10/26/2021	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Put <i>Strike price: \$130.00</i> <i>Expires: 06/17/2022</i>	Sale (Full)	\$1,001 - \$15,000	--
243	10/26/2021	Joint	AON	Aon plc Class A Ordinary Shares (Ireland)	Sale (Full)	\$1,001 - \$15,000	--
244	11/02/2021	Joint	SCCO	Southern Copper Corporation Common Stock Option Type: Short Sale <i>Strike price: \$55.00</i> <i>Expires: 06/17/2022</i>	Purchase	\$1,001 - \$15,000	--
245	11/02/2021	Joint	SCCO	Southern Copper Corporation Common Stock Option Type: Short Sale <i>Strike price: \$55.00</i>	Sale (Full)	\$1,001 - \$15,000	--

Expires:
06/17/2022

246	11/02/2021	Joint	SCCO	Southern Copper Corporation Common Stock Option Type: Call <i>Strike price:</i> \$65.00 <i>Expires:</i> 06/17/2022	Sale (Full)	\$1,001 - \$15,000	--
247	11/02/2021	Joint	SCCO	Southern Copper Corporation Common Stock	Purchase	\$100,001 - \$250,000	--
248	11/02/2021	Self	--	May 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
249	11/04/2021	Joint	SSYS	Stratasys, Ltd. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$40.00 <i>Expires:</i> 03/18/2022	Sale (Full)	\$1,001 - \$15,000	--
250	11/05/2021	Joint	F	Ford Motor Company Common Stock Option Type: Short Sale <i>Strike price:</i> \$17.00 <i>Expires:</i> 09/16/2022	Purchase	\$1,001 - \$15,000	--
251	11/05/2021	Joint	F	Ford Motor Company Common Stock Option Type: Short Sale <i>Strike price:</i> \$17.00 <i>Expires:</i> 09/16/2022	Purchase	\$1,001 - \$15,000	--
252	11/08/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$27.00 <i>Expires:</i> 01/20/2023	Sale (Full)	\$1,001 - \$15,000	--
253	11/08/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.00 <i>Expires:</i> 01/20/2023	Sale (Full)	\$15,001 - \$50,000	--

254	11/08/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$27.00 <i>Expires:</i> 01/20/2023	Sale (Full)	\$15,001 - \$50,000	--
255	11/08/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$100,001 - \$250,000	--
256	11/12/2021	Joint	ADBE	Adobe Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$400.00 <i>Expires:</i> 01/21/2022	Purchase	\$1,001 - \$15,000	--
257	11/17/2021	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$185.00 <i>Expires:</i> 01/20/2023	Sale (Partial)	\$1,001 - \$15,000	--
258	11/17/2021	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$185.00 <i>Expires:</i> 01/20/2023	Sale (Full)	\$1,001 - \$15,000	--
259	11/17/2021	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$185.00 <i>Expires:</i> 01/20/2023	Sale (Full)	\$1,001 - \$15,000	--
260	11/22/2021	Joint	OXY	Occidental Petroleum Corporation Common Stock	Sale (Full)	\$50,001 - \$100,000	--
261	11/22/2021	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$29.00 <i>Expires:</i>	Sale (Full)	\$1,001 - \$15,000	--

06/17/2022

262	11/22/2021	Joint	MSFT	Microsoft Corporation - Common Stock Option Type: Put Strike price: \$210.00 Expires: 03/18/2022	Purchase	\$1,001 - \$15,000	--
263	11/22/2021	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	Purchase	\$1,001 - \$15,000	--
264	11/22/2021	Joint	AAPL	Apple Inc. - Common Stock Option Type: Put Strike price: \$102.50 Expires: 06/17/2022	Purchase	\$1,001 - \$15,000	--
265	11/30/2021	Self	--	Mar 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
266	11/30/2021	Self	--	Jul 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
267	12/01/2021	Self	--	Apr 22 CME Cattle	Purchase	\$1,001 - \$15,000	--
268	12/13/2021	Joint	SSYS	Stratasys, Ltd. - Common Stock	Purchase	\$15,001 - \$50,000	--
269	12/14/2021	Joint	BABA	Alibaba Group Holding Limited American Depositary	Purchase	\$50,001 - \$100,000	--
270	12/15/2021	Joint	BABA	Alibaba Group Holding Limited American Depositary	Purchase	\$50,001 - \$100,000	--
271	12/21/2021	Joint	BABA	Alibaba Group Holding Limited American Depositary	Purchase	\$15,001 - \$50,000	--
272	12/22/2021	Joint	AAPL	Apple Inc. - Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
273	12/23/2021	Joint	BABA	Alibaba Group Holding Limited American Depositary	Sale (Partial)	\$50,001 - \$100,000	--
274	12/23/2021	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put	Purchase	\$1,001 - \$15,000	--

Strike price:
\$17.00
Expires:
01/21/2022

275	12/23/2021	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	Sale (Full)	\$1,001 - \$15,000	--
276	12/23/2021	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	Sale (Full)	\$15,001 - \$50,000	--
277	12/27/2021	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	Sale (Full)	\$15,001 - \$50,000	--
278	12/29/2021	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale Strike price: \$185.00 Expires: 09/16/2022	Sale (Full)	\$15,001 - \$50,000	--
279	12/29/2021	Joint	QQQ	Invesco QQQ Trust, Series 1 Option Type: Put Strike price: \$285.00 Expires: 03/18/2022	Purchase	\$1,001 - \$15,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2020	Spouse	Revolving Charge	-	15.24% (NA)	\$10,001 - \$15,000	American Express New York, NY	n/a
2	2020	Self	Revolving Charge	-	15.74% (NA)	\$10,001 - \$15,000	American Express New York, NY	n/a
3	2012	Joint	Mortgage	0	LIBOR+1.25% (25 years)	\$500,001 - \$1,000,000	Bank of America Tampa, FL	n/a
4	2021	Self	Mortgage	0	2.5% (30 years)	\$500,001 - \$1,000,000	Prime Meridian Tallahassee, FL	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2015 to present	Other (Founding Member)	Tommy Tuberville Foundation Auburn, AL	Nonprofit Organization	n/a
2	Feb 2005 to present	Other (Member)	Tiger Farms, LLC Auburn, Alabama	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Dec 1998	Retirement Systems of Alabama Birmingham, AL	Continuing participation in an employee benefit plan	Pension plan from which the candidate is currently receiving monthly payments.	n/a
2	Jan 1986	University of Miami Miami, FL	Continuing participation in an employee benefit plan	Pension plan from which the candidate is not yet receiving payments or distributions.	n/a
3	Jul 2017	Walt Disney Company Burbank, CA	Continuing participation in an employee benefit plan	401k profit-sharing plan from which the candidate is not currently receiving income	n/a
4	Nov 2009	WB Studio Enterprises Inc. Burbank, CA	Royalty Agreement	Agreement to receive continuing royalties from movie appearance in The Blind Side	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

Financial Disclosures

Periodic Transaction Report for 02/14/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 02/14/2022 @ 4:11 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (65 transactions total) 4 Self 61 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
35	01/05/2022	Joint	<u>INTC</u>	Intel Corporation - Common Stock Option Type: Short Sale Strike price: \$52.50 Expires: 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
14	01/24/2022	Joint	<u>GOLD</u>	Barrick Gold Corporation Common Stock (BC)	Stock	Purchase	\$50,001 - \$100,000	--
2	01/14/2022	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary Option Type: Put Strike price: \$245.00 Expires: 01/21/2022	Stock Option	Purchase	\$15,001 - \$50,000	This transaction is called buying to cover, which is a way to close out a short sale. Per this transaction, the Senator is divested of BABA securities
53	01/14/2022	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Short Sale Strike price: \$170.00 Expires: 07/15/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
3	01/14/2022	Joint	<u>BABA</u>	Alibaba Group Holding Limited	Stock Option	Purchase	\$15,001 - \$50,000	This transaction

American
 Depositary
 Option Type: Put
 Strike price:
 \$230.00
 Expires:
 09/16/2022

is called
 buying to
 cover,
 which is a
 way to
 close out a
 short sale.
 Per this
 transaction,
 the Senator
 is divested
 of BABA
 securities

1	01/14/2022	Joint	<u>BABA</u>	Alibaba Group Holding Limited American Depositary Option Type: Put Strike price: \$230.00 Expires: 09/16/2022	Stock Option	Purchase	\$50,001 - \$100,000	This transaction is called buying to cover, which is a way to close out a short sale. Per this transaction, the Senator is divested of BABA securities
52	01/14/2022	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Short Sale Strike price: \$165.00 Expires: 01/20/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
23	01/14/2022	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$23.00 Expires: 07/15/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
22	01/14/2022	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$23.00 Expires: 01/21/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
60	01/13/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
34	01/12/2022	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock Option	Purchase	\$15,001 - \$50,000	--

Option Type:
Short Sale
Strike price:
\$55.00
Expires:
01/20/2023

63	01/12/2022	Joint	<u>WBA</u>	Walgreens Boots Alliance, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
61	01/12/2022	Joint	<u>VZ</u>	Verizon Communications Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
4	01/12/2022	Joint	<u>MMM</u>	3M Company Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
48	01/12/2022	Joint	<u>PFE</u>	Pfizer, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
37	01/12/2022	Joint	<u>IBM</u>	International Business Machines Corporat	Stock	Purchase	\$1,001 - \$15,000	--
33	01/12/2022	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
24	01/12/2022	Joint	<u>KO</u>	Coca-Cola Company (The) Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
20	01/12/2022	Joint	<u>CSCO</u>	Cisco Systems, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
17	01/12/2022	Joint	<u>CVX</u>	Chevron Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
27	01/12/2022	Joint	<u>DOW</u>	Dow Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
11	01/12/2022	Joint	<u>AMGN</u>	Amgen Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
36	01/05/2022	Joint	<u>INTC</u>	Intel Corporation - Common Stock Option Type: Short Sale Strike price: \$52.50 Expires: 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
21	01/04/2022	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Short Sale Strike price: \$22.00 Expires: 07/15/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
57	01/03/2022	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

56	01/03/2022	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
55	01/03/2022	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
58	01/03/2022	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/17/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
43	01/21/2022	Joint	--	New York NY General Obligation Municipal Bond <i>Rate/Coupon: 5</i> <i>Matures:</i> 12/01/2041	Municipal Security	Sale (Full)	\$50,001 - \$100,000	--
28	01/21/2022	Joint	<u>DUK</u>	Duke Energy Corporation (Holding Company) Common S	Stock	Sale (Full)	\$15,001 - \$50,000	--
13	01/26/2022	Self	--	APR 22 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
15	01/21/2022	Self	--	Call NOV 22 CBT SOYBEANS	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	Expire 10/21/22
45	01/21/2022	Self	--	NOV 22 CBT SOYBEANS	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
26	01/05/2022	Self	--	DEC 22 CBT CORN	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
40	01/21/2022	Joint	<u>MDT</u>	Medtronic plc. Ordinary Shares	Stock	Sale (Full)	\$15,001 - \$50,000	--
6	01/21/2022	Joint	<u>ABBV</u>	AbbVie Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
10	01/21/2022	Joint	<u>ABC</u>	AmerisourceBergen Corporation Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
19	01/21/2022	Joint	<u>CTAS</u>	Cintas Corporation - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
38	01/21/2022	Joint	<u>LMT</u>	Lockheed Martin Corporation Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
47	01/21/2022	Joint	<u>PEP</u>	PepsiCo, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
49	01/21/2022	Joint	<u>PM</u>	Philip Morris International Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--

Common Stock								
50	01/21/2022	Joint	<u>PONCX</u>	Pimco Income Fund Class C	Stock	Sale (Full)	\$15,001 - \$50,000	--
51	01/21/2022	Joint	<u>PG</u>	Procter & Gamble Company (The) Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
59	01/21/2022	Joint	<u>TSN</u>	Tyson Foods, Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
65	01/21/2022	Joint	<u>XEL</u>	Xcel Energy Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
5	01/13/2022	Joint	<u>ABQCX</u>	Ab Bond Fund Inc. - Ab Total Return Bond Portfo	Stock	Sale (Full)	\$15,001 - \$50,000	--
16	01/13/2022	Joint	<u>FUN</u>	Cedar Fair, L.P. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
25	01/13/2022	Joint	<u>CRWD</u>	CrowdStrike Holdings, Inc. - Class A Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
30	01/13/2022	Joint	<u>FGSCX</u>	Federated Mdt Mid Cap Growth Fund - C Shares	Stock	Sale (Full)	\$15,001 - \$50,000	--
31	01/13/2022	Joint	<u>GILD</u>	Gilead Sciences, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
32	01/13/2022	Joint	<u>CLOU</u>	Global X Cloud Computing ETF	Stock	Sale (Full)	\$15,001 - \$50,000	--
42	01/13/2022	Joint	<u>NWL</u>	Newell Brands Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
46	01/13/2022	Joint	<u>PEGA</u>	Pegasystems Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
62	01/13/2022	Joint	<u>VTRS</u>	Viatis Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
7	01/14/2022	Joint	<u>ACN</u>	Accenture plc Class A Ordinary Shares (Ireland)	Stock	Sale (Full)	\$15,001 - \$50,000	--
8	01/14/2022	Joint	<u>ADBE</u>	Adobe Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
9	01/14/2022	Joint	<u>AWK</u>	American Water Works Company, Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
12	01/14/2022	Joint	<u>AAPL</u>	Apple Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
18	01/14/2022	Joint	<u>CTAS</u>	Cintas Corporation - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
29	01/14/2022	Joint	<u>LLY</u>	Eli Lilly and Company Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
39	01/14/2022	Joint	<u>MA</u>	Mastercard Incorporated Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
41	01/14/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
44	01/14/2022	Joint		NextEra Energy,	Stock	Sale	\$15,001 -	--

			<u>NEE</u>	Inc. Common Stock		(Full)	\$50,000	
54	01/14/2022	Joint	<u>RTX</u>	Raytheon Technologies Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
64	01/14/2022	Joint	<u>WMT</u>	Walmart Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 03/11/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 03/11/2022 @ 3:04 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (25 transactions total) 3 Self 22 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
25	02/22/2022	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
24	02/17/2022	Joint	<u>ARKK</u>	Ark Innovation ETF Option Type: Short Sale <i>Strike price:</i> \$65.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
23	02/17/2022	Joint	<u>ARKK</u>	Ark Innovation ETF Option Type: Short Sale <i>Strike price:</i> \$65.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
22	02/10/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
21	02/10/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type:	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

Short Sale
Strike price:
 \$27.00
Expires:
 09/16/2022

20	02/10/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$27.00 Expires: 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
19	02/10/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
18	02/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale Strike price: \$140.00 Expires: 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
17	02/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale Strike price: \$140.00 Expires: 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
16	02/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale Strike price: \$135.00 Expires: 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
15	02/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
14	02/07/2022	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Short Sale Strike price: \$22.50 Expires: 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

13	02/07/2022	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.50 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
12	02/07/2022	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.50 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
11	02/07/2022	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.50 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
10	02/07/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
9	02/01/2022	Joint	<u>F</u>	Ford Motor Company Common Stock Option Type: Short Sale <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/20/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
8	02/01/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$20.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
7	02/01/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Short Sale <i>Strike price:</i> \$265.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--

6	02/01/2022	Joint	<u>MRO</u>	Marathon Oil Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$20.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
5	02/01/2022	Joint	<u>MRO</u>	Marathon Oil Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$20.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
4	02/01/2022	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.00 <i>Expires:</i> 01/20/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
3	02/01/2022	Self	--	Apr 22 CME Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
2	02/04/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
1	02/02/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 04/08/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 04/08/2022 @ 3:09 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (65 transactions total) 14 Self 51 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
65	03/29/2022	Self	--	Nov 22 CBT Soybeans	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
64	03/21/2022	Self	--	Call Nov 22 CBT Soybeans	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
63	03/21/2022	Self	--	Nov 22 CBT Soybeans	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
62	03/18/2022	Self	--	Call Nov 22 CBT Soybeans	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
61	03/15/2022	Self	--	Call May 22 CBT Soybeans	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
60	03/11/2022	Self	--	Call Jul 22 CBT Soybeans	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
59	03/10/2022	Self	--	Call Jul 22 CBT Soybeans	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
58	03/10/2022	Self	--	Call May 22 CBT Soybeans	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
57	03/04/2022	Self	--	Apr 22 CME Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
56	03/04/2022	Self	--	Call Jul 22 CBT Soybeans	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
55	03/04/2022	Self	--	Call May 22 CBT Soybeans	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
54	03/04/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
53	03/04/2022	Self	--	Jul 22 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
52	03/01/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
51	03/30/2022	Joint	<u>WST</u>	West Pharmaceutical Services, Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--

				Common Stock				
50	03/30/2022	Joint	<u>VEEV</u>	Veeva Systems Inc. Class A Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
49	03/30/2022	Joint	<u>VMW</u>	Vmware, Inc. Common stock, Class A	Stock	Sale (Full)	\$1,001 - \$15,000	--
48	03/30/2022	Joint	<u>SNA</u>	Snap-On Incorporated Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
47	03/30/2022	Joint	<u>SIVB</u>	SVB Financial Group - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
46	03/30/2022	Joint	<u>SPGI</u>	S&P Global Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
45	03/30/2022	Joint	<u>ROK</u>	Rockwell Automation, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
44	03/30/2022	Joint	<u>REGN</u>	Regeneron Pharmaceuticals, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
43	03/30/2022	Joint	<u>NFLX</u>	Netflix, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
42	03/30/2022	Joint	<u>LMST</u>	Limestone Bancorp, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
41	03/30/2022	Joint	<u>KEY</u>	KeyCorp Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
40	03/30/2022	Joint	<u>INTU</u>	Intuit Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
39	03/30/2022	Joint	<u>GNRC</u>	Generac Holdings Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
38	03/30/2022	Joint	<u>FGBI</u>	First Guaranty Bancshares, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
37	03/30/2022	Joint	<u>FITB</u>	Fifth Third Bancorp - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
36	03/30/2022	Joint	<u>EXP</u>	Eagle Materials Inc Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
35	03/30/2022	Joint	<u>DEO</u>	Diageo plc Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
34	03/30/2022	Joint	<u>CFG</u>	Citizens Financial Group, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
33	03/30/2022	Joint	<u>CE</u>	Celanese Corporation Celanese Corporation Common S	Stock	Sale (Full)	\$1,001 - \$15,000	--

32	03/30/2022	Joint	<u>CP</u>	Canadian Pacific Railway Ltd	Stock	Sale (Full)	\$1,001 - \$15,000	--
31	03/30/2022	Joint	<u>CABO</u>	Cable One, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
30	03/30/2022	Joint	<u>BKE</u>	Buckle, Inc. (The) Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
29	03/30/2022	Joint	<u>BIO</u>	Bio-Rad Laboratories, Inc. Class A Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
28	03/30/2022	Joint	<u>BMO</u>	Bank of Montreal	Stock	Purchase	\$1,001 - \$15,000	--
27	03/30/2022	Joint	<u>TMO</u>	Thermo Fisher Scientific Inc Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
26	03/30/2022	Joint	<u>RMD</u>	ResMed Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
25	03/30/2022	Joint	<u>RF</u>	Regions Financial Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
24	03/30/2022	Joint	<u>NOC</u>	Northrop Grumman Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
23	03/30/2022	Joint	<u>NDAQ</u>	Nasdaq, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
22	03/30/2022	Joint	<u>MRK</u>	Merck & Company, Inc. Common Stock (new)	Stock	Purchase	\$1,001 - \$15,000	--
21	03/30/2022	Joint	<u>MASI</u>	Masimo Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
20	03/30/2022	Joint	<u>MMC</u>	Marsh & McLennan Companies, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
19	03/30/2022	Joint	<u>MKSI</u>	MKS Instruments, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
18	03/30/2022	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
17	03/30/2022	Joint	<u>HON</u>	Honeywell International Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
16	03/30/2022	Joint	<u>GFI</u>	Gold Fields Limited American Depositary Shares	Stock	Purchase	\$1,001 - \$15,000	--
15	03/30/2022	Joint	<u>IT</u>	Gartner, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--

14	03/30/2022	Joint	<u>DHI</u>	D.R. Horton, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
13	03/30/2022	Joint	<u>CDNS</u>	Cadence Design Systems, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
12	03/30/2022	Joint	<u>AME</u>	AMETEK, Inc.	Stock	Purchase	\$1,001 - \$15,000	--
11	03/30/2022	Joint	<u>GOOG</u>	Alphabet Inc. - Class C Capital Stock	Stock	Purchase	\$1,001 - \$15,000	--
10	03/30/2022	Joint	<u>ABBV</u>	AbbVie Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
9	03/23/2022	Joint	<u>GOLD</u>	Barrick Gold Corporation Common Stock (BC) Option Type: Short Sale Strike price: \$27.00 Expires: 01/20/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
8	03/23/2022	Joint	<u>GOLD</u>	Barrick Gold Corporation Common Stock (BC) Option Type: Short Sale Strike price: \$23.00 Expires: 01/20/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
7	03/22/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Short Sale Strike price: \$265.00 Expires: 10/21/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
6	03/22/2022	Joint	<u>SCCO</u>	Southern Copper Corporation Common Stock Option Type: Put Strike price: \$55.00 Expires: 06/17/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
5	03/22/2022	Joint	<u>NU</u>	Nu Holdings Ltd. Class A Ordinary Shares Option Type: Short Sale Strike price: \$9.00 Expires:	Stock Option	Purchase	\$1,001 - \$15,000	--

12/16/22

4	03/21/2022	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
3	03/21/2022	Joint	<u>NU</u>	Nu Holdings Ltd. Class A Ordinary Shares	Stock	Purchase	\$15,001 - \$50,000	--
2	03/08/2022	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
1	03/02/2022	Joint	--	ARKK Option Type: Short Sale <i>Strike price:</i> \$65.00 <i>Expires:</i> 09/16/2022	Stock Option	Sale (Full)	\$15,001 - \$50,000	--

Financial Disclosures

Periodic Transaction Report for 05/13/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

 Filed 05/13/2022 @ 2:41 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

 **Transactions** (10 transactions total) 6 Self 4 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
10	04/11/2022	Self	<u>SQ</u>	Block, Inc. Class A Common Stock,	Stock	Sale (Full)	\$1,001 - \$15,000	--
9	04/11/2022	Self	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
8	04/29/2022	Self	--	Jun 22 CME Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
7	04/12/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
6	04/12/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Sale (Partial)	\$1,001 - \$15,000	--
5	04/07/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Sale (Partial)	\$1,001 - \$15,000	--
4	04/28/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Full)	\$50,001 - \$100,000	--
3	04/28/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Call <i>Strike price:</i> \$135.00 <i>Expires:</i> 09/16/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
2	04/28/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$125.00 <i>Expires:</i> 06/16/2023	Stock Option	Sale (Full)	\$50,001 - \$100,000	--
1	04/21/2022	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock	Stock	Purchase	\$100,001 - \$250,000	--

Financial Disclosures

Periodic Transaction Report for 06/13/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 06/13/2022 @ 4:33 PM

The following statements were checked before filing:

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☰ Transactions (7 transactions total) 2 Self 5 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
7	05/31/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Put Strike price: \$23.00 Expires: 01/20/2023	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
6	05/31/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Call Strike price: \$30.00 Expires: 01/20/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
5	05/31/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
4	05/20/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
3	05/19/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$250,001 - \$500,000	--
2	05/09/2022	Self	--	June 22 CME Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
1	05/04/2022	Self	--	Jun 22 CME Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 07/13/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 07/13/2022 @ 3:23 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
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Transactions (37 transactions total) 9 Self 28 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
37	06/17/2022	Joint	<u>BRK.B</u>	Berkshire Hathaway Inc. New Common Stock	Stock	Sale (Full)	\$100,001 - \$250,000	--
36	06/30/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
35	06/29/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
34	06/28/2022	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Short Sale Strike price: \$135.00 Expires: 06/16/2023	Stock Option	Sale (Partial)	\$50,001 - \$100,000	--
33	06/28/2022	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock	Stock	Sale (Partial)	\$250,001 - \$500,000	--
32	06/22/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$22.00 Expires: 09/16/2022	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
31	06/22/2022	Joint	<u>GOLD</u>	Barrick Gold Corporation Common Stock	Stock Option	Purchase	\$1,001 - \$15,000	--

(BC)
Option Type:
Call
Strike price:
\$27.00
Expires:
01/20/2023

30	06/22/2022	Joint	--	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 03/17/2023	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
29	06/22/2022	Joint	<u>GOLD</u>	Barrick Gold Corporation Common Stock (BC)	Stock	Sale (Full)	\$50,001 - \$100,000	--
28	06/21/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
27	06/21/2022	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
26	06/21/2022	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
25	06/17/2022	Joint	<u>SCCO</u>	Southern Copper Corporation Common Stock	Stock	Sale (Full)	\$100,001 - \$250,000	--
24	06/17/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
23	06/17/2022	Joint	<u>EVT</u>	Eaton Vance Tax Advantaged Dividend Income Fund Co	Stock	Sale (Full)	\$50,001 - \$100,000	--
22	06/17/2022	Joint	<u>CVX</u>	Chevron Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
21	06/16/2022	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock	Stock	Purchase	\$250,001 - \$500,000	--
20	06/16/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
19	06/15/2022	Joint	<u>NVDA</u>	NVIDIA Corporation - Common Stock	Stock Option	Sale (Full)	\$15,001 - \$50,000	--

Option Type:
Short Sale
Strike price:
\$150.00
Expires:
03/17/2023

18	06/15/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale <i>Strike price:</i> \$150.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Full)	\$50,001 - \$100,000	--
17	06/15/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$220.00 <i>Expires:</i> 06/17/2022	Stock Option	Purchase	\$50,001 - \$100,000	--
16	06/15/2022	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$130.00 <i>Expires:</i> 06/17/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
15	06/15/2022	Joint	<u>NVDA</u>	NVIDIA Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 06/17/2022	Stock Option	Purchase	\$15,001 - \$50,000	--
14	06/14/2022	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
13	06/09/2022	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
12	06/09/2022	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
11	06/08/2022	Joint	<u>NVDA</u>	NVIDIA Corporation - Common Stock Option Type: Short Sale <i>Strike price:</i> \$150.00	Stock Option	Purchase	\$1,001 - \$15,000	--

Expires:
12/16/2022

10	06/06/2022	Joint	<u>EVT</u>	Eaton Vance Tax Advantaged Dividend Income Fund Co	Stock	Purchase	\$15,001 - \$50,000	--
9	06/01/2022	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
8	06/30/2022	Self	--	Aug 22 CME Cattle	Commodities/Futures Contract	Sale (Partial)	\$1,001 - \$15,000	--
7	06/24/2022	Self	--	Call Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
6	06/21/2022	Self	--	Call Dec 22 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
5	06/08/2022	Self	--	Oct 22 CME Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
4	06/08/2022	Self	--	Jul 22 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
3	06/07/2022	Self	--	Oct 22 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
2	06/07/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Sale (Partial)	\$1,001 - \$15,000	--
1	06/07/2022	Self	--	Jul 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 08/15/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 08/15/2022 @ 12:45 PM

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☰ Transactions (8 transactions total) 6 Self 2 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
8	07/18/2022	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
7	07/14/2022	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
6	07/27/2022	Self	--	Call Sep 22 CBT Soybeans	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
5	07/27/2022	Self	--	Call Sep 22 CBT Soybeans	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
4	07/25/2022	Self	--	Call Sep 22 CBT Soybeans	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
3	07/08/2022	Self	--	Aug 22 Soybean Meal	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
2	07/07/2022	Self	--	Dec 22 Soybean Meal	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
1	07/01/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 09/15/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 09/15/2022 @ 12:53 PM

The following statements were checked before filing:

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Transactions (37 transactions total) 22 Self 15 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
37	08/01/2022	Self	<u>IVV</u>	iShares Core S&P 500 ETF	Stock	Sale (Full)	\$15,001 - \$50,000	--
36	08/01/2022	Self	<u>EFAV</u>	iShares MSCI EAFE Min Vol Factor ETF	Stock	Sale (Full)	\$15,001 - \$50,000	--
35	08/01/2022	Self	<u>XLY</u>	SPDR Select Sector Fund - Consumer Discretionary	Stock	Sale (Full)	\$15,001 - \$50,000	--
34	08/01/2022	Self	<u>VTRS</u>	Viatis Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
33	08/01/2022	Self	<u>ABNFX</u>	The Bond Fd of America Class F-2 Shares	Stock	Purchase	\$15,001 - \$50,000	--
32	08/01/2022	Self	<u>RSP</u>	Invesco S&P 500 Equal Weight ETF	Stock	Purchase	\$15,001 - \$50,000	--
31	08/01/2022	Self	<u>IDV</u>	iShares International Select Dividend ETF	Stock	Purchase	\$15,001 - \$50,000	--
30	08/01/2022	Self	<u>DHS</u>	Wisdomtree U.S. High Dividend Fund	Stock	Purchase	\$15,001 - \$50,000	--
29	08/01/2022	Self	<u>PCNNX</u>	Pimco Investment Grade Credit Bond Fund Class I-	Stock	Sale (Full)	\$15,001 - \$50,000	--
28	08/01/2022	Joint	<u>JHCBX</u>	John Hancock Bond Fund Cl C	Stock	Sale (Full)	\$15,001 - \$50,000	--
27	08/01/2022	Joint	<u>ORCL</u>	Oracle Corporation	Stock	Sale (Full)	\$1,001 - \$15,000	--

				Common Stock				
26	08/01/2022	Joint	<u>XLC</u>	The Communication Services Select Sector SPDR Fund	Stock	Sale (Full)	\$15,001 - \$50,000	--
25	08/01/2022	Joint	<u>TSCO</u>	Tractor Supply Company - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
24	08/01/2022	Joint	<u>MUB</u>	iShares National Muni Bond ETF	Stock	Purchase	\$50,001 - \$100,000	--
23	08/01/2022	Joint	<u>QC</u>	Owens Corning Inc Common Stock New	Stock	Purchase	\$15,001 - \$50,000	--
22	08/26/2022	Joint	<u>SSYS</u>	Stratasys, Ltd. - Common Stock	Stock	Sale (Full)	\$50,001 - \$100,000	--
21	08/25/2022	Joint	<u>ARKK</u>	Ark Innovation ETF	Stock	Purchase	\$50,001 - \$100,000	--
20	08/18/2022	Joint	<u>MRO</u>	Marathon Oil Corporation Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 09/16/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
19	08/12/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 09/16/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
18	08/11/2022	Joint	--	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$100,001 - \$250,000	--
17	08/05/2022	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
16	08/05/2022	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
15	08/03/2022	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.00 <i>Expires:</i>	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

06/16/2023

14	08/03/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale Strike price: \$125.00 Expires: 06/16/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
13	08/31/2022	Self	--	Jul 23 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
12	08/31/2022	Self	--	Mar 23 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
11	08/30/2022	Self	--	Call Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
10	08/24/2022	Self	--	Call Dec 22 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
9	08/23/2022	Self	--	Call Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
8	08/23/2022	Self	--	Dec 22 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
7	08/17/2022	Self	--	Call Dec 22 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
6	08/16/2022	Self	--	Oct 22 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
5	08/12/2022	Self	--	Call Dec 22 CBT Corn	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
4	08/10/2022	Self	--	Dec 22 CME Cattle	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
3	08/10/2022	Self	--	Oct 22 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
2	08/03/2022	Self	--	Aug 22 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
1	08/02/2022	Self	--	Call Dec 22 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 10/12/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 10/12/2022 @ 10:09 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
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☰ Transactions (12 transactions total) 1 Self 11 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
12	09/20/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Short Sale Strike price: \$265.00 Expires: 04/21/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
11	09/20/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$24.00 Expires: 04/21/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
10	09/19/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
9	09/19/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock	Stock	Purchase	\$250,001 - \$500,000	--
8	09/19/2022	Joint	<u>ARKK</u>	Ark Innovation ETF	Stock	Purchase	\$1,001 - \$15,000	--
7	09/12/2022	Joint	<u>F</u>	Ford Motor Company Common Stock Option Type: Short Sale Strike price: \$17.00	Stock Option	Sale (Full)	\$15,001 - \$50,000	--

Expires:
06/16/2023

6	09/12/2022	Joint	<u>F</u>	Ford Motor Company Common Stock Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 09/16/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
5	09/12/2022	Joint	<u>F</u>	Ford Motor Company Common Stock Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 09/16/2022	Stock Option	Purchase	\$1,001 - \$15,000	--
4	09/07/2022	Joint	<u>ECOM</u>	ChannelAdvisor Corporation Common Stock	Stock	Sale (Full)	\$500,001 - \$1,000,000	--
3	09/07/2022	Joint	<u>ARKK</u>	Ark Innovation ETF	Stock	Purchase	\$50,001 - \$100,000	--
2	09/06/2022	Joint	<u>NU</u>	Nu Holdings Ltd. Class A Ordinary Shares	Stock	Purchase	\$1,001 - \$15,000	--
1	09/07/2022	Self	--	Call DEC 22 CBT Corn	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 11/10/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 11/10/2022 @ 2:56 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (69 transactions total) 2 Self 67 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
69	10/28/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Short Sale Strike price: \$245.00 Expires: 06/16/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
68	10/31/2022	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
67	10/28/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Short Sale Strike price: \$245.00 Expires: 06/16/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
66	10/28/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Short Sale Strike price: \$245.00 Expires: 06/16/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
65	10/24/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
64	10/13/2022	Joint	<u>NU</u>	Nu Holdings Ltd. Class A Ordinary	Stock	Purchase	\$15,001 - \$50,000	--

				Shares				
63	10/13/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock	Stock	Purchase	\$250,001 - \$500,000	--
62	10/11/2022	Joint	<u>NU</u>	Nu Holdings Ltd. Class A Ordinary Shares	Stock	Purchase	\$1,001 - \$15,000	--
61	10/06/2022	Joint	<u>XOM</u>	Exxon Mobil Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$100.00 <i>Expires:</i> 06/16/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
60	10/06/2022	Joint	<u>CVX</u>	Chevron Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$155.00 <i>Expires:</i> 06/16/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
59	10/06/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/16/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
58	10/06/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/16/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
57	10/06/2022	Joint	<u>NU</u>	Nu Holdings Ltd. Class A Ordinary Shares	Stock	Purchase	\$1,001 - \$15,000	--
56	10/06/2022	Joint	<u>VCTR</u>	Victory Capital Holdings, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
55	10/06/2022	Joint	<u>VCTR</u>	Victory Capital Holdings, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
54	10/06/2022	Joint	<u>VCTR</u>	Victory Capital Holdings, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--

53	10/06/2022	Joint	<u>VRTX</u>	Vertex Pharmaceuticals Incorporated - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
52	10/06/2022	Joint	<u>TREX</u>	Trex Company, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
51	10/06/2022	Joint	<u>SCI</u>	Service Corporation International Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
50	10/06/2022	Joint	<u>RELX</u>	RELX PLC PLC American Depositary Shares (Each repr	Stock	Purchase	\$1,001 - \$15,000	--
49	10/06/2022	Joint	<u>RH</u>	RH Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
48	10/06/2022	Joint	<u>PHM</u>	PulteGroup, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
47	10/06/2022	Joint	<u>PAYC</u>	Paycom Software, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
46	10/06/2022	Joint	<u>GIS</u>	General Mills, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
45	10/06/2022	Joint	<u>GLPI</u>	Gaming and Leisure Properties, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
44	10/06/2022	Joint	<u>RACE</u>	Ferrari N.V. Common Shares	Stock	Purchase	\$1,001 - \$15,000	--
43	10/06/2022	Joint	<u>XOM</u>	Exxon Mobil Corporation Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
42	10/06/2022	Joint	<u>EXR</u>	Extra Space Storage Inc Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
41	10/06/2022	Joint	<u>ETSY</u>	Etsy, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
40	10/06/2022	Joint	<u>ELS</u>	Equity Lifestyle Properties, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
39	10/06/2022	Joint	<u>DQ</u>	DAQO New Energy Corp. American Depositary Shares,	Stock	Purchase	\$1,001 - \$15,000	--
38	10/06/2022	Joint	<u>CROX</u>	Crocs, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
37	10/06/2022	Joint	<u>CSCO</u>	Cisco Systems, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--

36	10/06/2022	Joint	<u>AMGN</u>	Amgen Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
35	10/05/2022	Joint	<u>AMT</u>	American Tower Corporation (REIT) Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
34	10/05/2022	Joint	<u>ZTS</u>	Zoetis Inc. Class A Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
33	10/05/2022	Joint	<u>WIT</u>	Wipro Limited Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
32	10/05/2022	Joint	<u>SEIC</u>	SEI Investments Company - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
31	10/05/2022	Joint	<u>RMD</u>	ResMed Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
30	10/05/2022	Joint	<u>TROW</u>	T. Rowe Price Group, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
29	10/05/2022	Joint	<u>NOC</u>	Northrop Grumman Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
28	10/05/2022	Joint	<u>NVDA</u>	NVIDIA Corporation - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
27	10/05/2022	Joint	<u>MNST</u>	Monster Beverage Corporation - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
26	10/05/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock	Stock	Sale (Partial)	\$100,001 - \$250,000	--
25	10/05/2022	Joint	<u>MASI</u>	Masimo Corporation - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
24	10/05/2022	Joint	<u>MKTX</u>	MarketAxess Holdings, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
23	10/05/2022	Joint	<u>IDXX</u>	IDEXX Laboratories, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
22	10/05/2022	Joint	<u>HON</u>	Honeywell International Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
21	10/05/2022	Joint	<u>GGG</u>	Graco Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
20	10/05/2022	Joint	<u>IT</u>	Gartner, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
19	10/05/2022	Joint	<u>GRMN</u>	Garmin Ltd. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
18	10/05/2022	Joint	<u>FAST</u>	Fastenal	Stock	Sale	\$1,001 -	--

				Company - Common Stock		(Full)	\$15,000	
17	10/05/2022	Joint	<u>EL</u>	Estee Lauder Companies, Inc. (The) Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
16	10/05/2022	Joint	<u>DHI</u>	D.R. Horton, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
15	10/05/2022	Joint	<u>CHD</u>	Church & Dwight Company, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
14	10/05/2022	Joint	--	Brown-Forman Corp Class B	Stock	Sale (Full)	\$1,001 - \$15,000	--
13	10/05/2022	Joint	<u>AMAT</u>	Applied Materials, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
12	10/05/2022	Joint	<u>ALGN</u>	Align Technology, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
11	10/05/2022	Joint	<u>APD</u>	Air Products and Chemicals, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
10	10/04/2022	Joint	<u>MRO</u>	Marathon Oil Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$23.00 <i>Expires:</i> 04/21/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
9	10/04/2022	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$60.00 <i>Expires:</i> 05/19/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
8	10/04/2022	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$60.00 <i>Expires:</i> 05/19/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
7	10/04/2022	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

Option Type:
Short Sale
Strike price:
\$60.00
Expires:
05/19/2023

6	10/04/2022	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$60.00 <i>Expires:</i> 05/19/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
5	10/25/2022	Self	<u>FTBFX</u>	Fidelity Total Bond	Stock	Sale (Full)	\$15,001 - \$50,000	--
4	10/25/2022	Joint	--	Verizon Communications Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
3	10/25/2022	Joint	--	SIEGY	Stock	Sale (Full)	\$15,001 - \$50,000	--
2	10/25/2022	Joint	<u>FDX</u>	FedEx Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
1	10/03/2022	Self	--	Feb 23 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 12/14/2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 12/14/2022 @ 2:51 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (22 transactions total) 3 Self 19 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
22	11/23/2022	Joint	<u>TSM</u>	Taiwan Semiconductor Manufacturing Company Ltd. Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
21	11/23/2022	Joint	<u>TSM</u>	Taiwan Semiconductor Manufacturing Company Ltd. Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
20	11/23/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$225.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
19	11/23/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock	Stock Option	Purchase	\$1,001 - \$15,000	--

Option Type:
Call
Strike price:
\$265.00
Expires:
04/21/2023

18	11/22/2022	Joint	<u>INTC</u>	Intel Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$30.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
17	11/22/2022	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock	Stock	Sale (Partial)	\$100,001 - \$250,000	--
16	11/22/2022	Joint	<u>F</u>	Ford Motor Company Common Stock	Stock	Sale (Full)	\$100,001 - \$250,000	--
15	11/17/2022	Joint	<u>F</u>	Ford Motor Company Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
14	11/09/2022	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
13	11/08/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$100.00 <i>Expires:</i> 01/19/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
12	11/08/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$100.00 <i>Expires:</i> 01/19/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
11	11/08/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type:	Stock Option	Sale (Full)	\$50,001 - \$100,000	--

Put
Strike price:
\$100.00
Expires:
01/19/2024

10	11/08/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$100,001 - \$250,000	--
9	11/08/2022	Joint	<u>ATVI</u>	Activision Blizzard, Inc - Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
8	11/07/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
7	11/03/2022	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
6	11/01/2022	Joint	<u>NU</u>	Nu Holdings Ltd. Class A Ordinary Shares	Stock	Purchase	\$1,001 - \$15,000	--
5	11/01/2022	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
4	11/08/2022	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Call <i>Strike price:</i> \$25.00 <i>Expires:</i> 04/21/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
3	11/23/2022	Self	--	DEC 22 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
2	11/22/2022	Self	--	DEC 22 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
1	11/15/2022	Self	--	FEB 23 CME CATTLE	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 01/13/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 01/13/2023 @ 2:43 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (24 transactions total) 3 Self 21 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
24	12/23/2022	Joint	<u>GOLD</u>	Barrick Gold Corporation Common Stock (BC)	Stock	Purchase	\$15,001 - \$50,000	--
23	12/19/2022	Joint	<u>NU</u>	Nu Holdings Ltd. Class A Ordinary Shares	Stock	Purchase	\$1,001 - \$15,000	--
22	12/15/2022	Joint	<u>DIS</u>	Walt Disney Company (The) Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
21	12/09/2022	Joint	<u>PG</u>	Procter & Gamble Company (The) Common Stock Option Type: Put Strike price: \$150.00 Expires: 07/21/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
20	12/09/2022	Joint	<u>KMB</u>	Kimberly-Clark Corporation Common Stock Option Type: Put	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

Strike
price:
\$135.00
Expires:
04/21/2023

19	12/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Put Strike price: \$100.00 Expires: 01/19/2024	Stock Option	Sale (Full)	\$100,001 - \$250,000	--
18	12/09/2022	Joint	<u>PG</u>	Procter & Gamble Company (The) Common Stock Option Type: Put Strike price: \$145.00 Expires: 06/16/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
17	12/09/2022	Joint	<u>CLX</u>	Clorox Company (The) Common Stock Option Type: Put Strike price: \$140.00 Expires: 05/19/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
16	12/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
15	12/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
14	12/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--

13	12/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
12	12/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
11	12/09/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$100,001 - \$250,000	--
10	12/08/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
9	12/06/2022	Joint	<u>GOLD</u>	Barrick Gold Corporation Common Stock (BC)	Stock	Purchase	\$50,001 - \$100,000	--
8	12/05/2022	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$250,001 - \$500,000	--
7	12/02/2022	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Put Strike price: \$140.00 Expires: 09/15/23	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
6	12/02/2022	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
5	12/01/2022	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
4	12/01/2022	Joint	<u>GOLD</u>	Barrick Gold Corporation Common Stock (BC)	Stock	Purchase	\$1,001 - \$15,000	--
3	12/28/2022	Self	--	MAR 23 CBT CORN	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
2	12/23/2022	Self	--	DEC 23 CBT	Commodities/Futures	Sale	\$1,001 -	--

				CORN	Contract	(Full)	\$15,000	
1	12/06/2022	Self	--	JUL 23 CBT CORN	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Annual Report for Calendar 2022

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 08/11/2023 @ 5:57 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- ☐ I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Pension	Retirement Systems of Alabama Montgomery, AL	\$43,246.32	n/a
2	Self	Royalties	WB Studio Enterprises Inc. Burbank, CA	\$1,750.55	n/a
3	Self	Awards (monetary)	The Peach Bowl Inc. Atlanta, GA	\$7,500.00	This award was made directly from the Peach Bowl Inc. to the Tommy Tuberville Foundation; the Senator did not receive any income.
4	Self	Other (Honoraria)	The Peach Bowl Inc. Atlanta, GA	\$5,000.00	This award was made directly from the Peach Bowl Inc. to the Tommy Tuberville Foundation; the Senator did not receive any income.

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

Asset	Asset Type	Owner	Value	Income Type	Income
-------	------------	-------	-------	-------------	--------

1	Hancock Whitney Bank (Santa Rosa Beach, FL) <i>Type: Checking,</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
2	Rental Property 1 <i>Description: Condominium</i> (Panama City Beach, FL)	Real Estate Residential	Joint	\$500,001 - \$1,000,000	Rent/Royalties,	\$50,001 - \$100,000
3	Prudential Variable Annuity <i>Provider: Prudential</i>	Annuity Variable Annuity	Self			
3.1	AST Preservation Asset Allocation Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.2	AST Moderate Multi- Asset Portfolio	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
3.3	AST J.P. Morgan Global Thematic	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.4	AST Prudential Growth Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.5	AST T. Rowe Price Asset Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.6	AST Balanced Asset Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.7	AST Investment Grade Bond	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4	Lincoln IRA	Retirement Plans IRA	Self			
4.1	Fidelity (FDRXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
4.2	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.3	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
4.4	<u>C</u> - Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.5	<u>NUE</u> - Nucor Corporation	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.6	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.7	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.8	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

						\$201)
4.9	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.10	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.11	<u>FSPSX</u> - Fidelity International Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.12	<u>FSMDX</u> - Fidelity Mid Cap Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.13	<u>SGIIX</u> - First Eagle Global Fund Class I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.14	<u>EIBLX</u> - Eaton Vance Floating - Rate Fund Institutional Cla	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.15	<u>PIPNX</u> - PIMCO Income Fund Class I-3	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.16	<u>TDIV</u> - First Trust NASDAQ Technology Dividend Index Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.17	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.18	<u>XLP</u> - Consumer Staples Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.19	<u>XLU</u> - Utilities Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.20	<u>XLV</u> - Health Care Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.21	<u>XAR</u> - SPDR S&P Aerospace & Defense ETF	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.22	<u>VIG</u> - Vanguard Dividend Appreciation Index Fund ETF Shar	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.23	<u>QQQ</u> - Invesco QQQ Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.24	<u>PFF</u> - iShares Preferred and Income Securities ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.25	<u>DTD</u> - WisdomTree U.S. Total Dividend Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.26	<u>BMJ</u> - Bristol-Myers Squibb Company Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

4.27	MTUM - iShares MSCI USA Momentum Factor ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	None,	None (or less than \$201)
4.28	IDV - iShares International Select Dividend ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.29	PayPal Holdings, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.30	WAB - WABTEC COM	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
4.31	ABNFX - The Bond Fund of America Class F2	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.32	RSP - Invesco S&P 500 Equal Weight ETF	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.33	DHS - Wisdomtree U.S. High Dividend Fund	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.34	XLC - Communications Services Select Sector SPDF Fund <i>Filer comment: Mutual Fund sold in 2022. No PTR required.</i>	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	None,	None (or less than \$201)
4.35	US Treas Bills Zero CPN <i>Rate/Coupon: None Matures: None</i>	Government Securities US Treasury/Agency Security	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
5	Lincoln Joint Brokerage Account	Brokerage/Managed Account	Joint			
5.1	Fidelity (SPAXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
5.2	<u>ABBV</u> - AbbVie Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.3	<u>ACN</u> - Accenture plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.4	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Capital Gains,	\$5,001 - \$15,000
5.5	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
5.6	<u>AWK</u> - American Water Works Company, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.7	<u>ABC</u> - AmerisourceBergen Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
5.8	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.9	<u>AAPL</u> - Apple Inc.	Corporate Securities	Joint	\$100,001 -	Dividends,	\$201 -

		Stock		\$250,000		\$1,000
5.10	<u>ADM</u> - Archer-Daniels-Midland Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.11	<u>FUN</u> - Cedar Fair, L.P.	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
5.12	<u>CTAS</u> - Cintas Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
5.13	<u>CSX</u> - CSX Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
5.14	<u>CVS</u> - CVS Health Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.15	<u>STZ</u> - Constellation Brands, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.16	<u>DEO</u> - Diageo plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.17	<u>DIS</u> - The Walt Disney Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Capital Gains,	None (or less than \$201)
5.18	<u>DUK</u> - Duke Energy Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.19	<u>FDX</u> - FedEx Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
5.20	<u>GILD</u> - Gilead Sciences, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
5.21	<u>GS</u> - The Goldman Sachs Group, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.22	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.23	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.24	<u>JPM</u> - JPMorgan Chase & Co.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.25	<u>KMB</u> - Kimberly-Clark Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.26	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
5.27	<u>LMT</u> - Lockheed Martin Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.28	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$2,501 - \$5,000
5.29	<u>MCD</u> - McDonald's Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.30	<u>MDT</u> - Medtronic plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.31	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
5.32	<u>NSRGY</u> - Nestlé S.A.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.33	<u>NEE</u> - NextEra Energy,	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000

	Inc.					
5.34	ORCL - Oracle Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$2,501 - \$5,000
5.35	PEP - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.36	PFE - Pfizer Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.37	PM - Philip Morris International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
5.38	PG - The Procter & Gamble Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.39	PRU - Prudential Financial, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.40	QCOM - QUALCOMM Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.41	SIEGY - Siemens Aktiengesellschaft	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
5.42	SLF - Sun Life Financial Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
5.43	TXN - Texas Instruments Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.44	TSCO - Tractor Supply Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.45	TRV - The Travelers Companies, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.46	TSN - Tyson Foods, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.47	UNP - Union Pacific Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.48	UPS - United Parcel Service, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.49	VZ - Verizon Communications Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$1,001 - \$2,500
5.50	WMT - Walmart Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.51	XEL - Xcel Energy Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
5.52	ALABAMA STATE UNIV REV GEN TUITION FEE REVENUE BOND (010632PC5) Rate/Coupon: 4.5% Matures: 09/01/2024	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest,	None (or less than \$201)
5.53	BIRMINGHAM ALA GO WTS SER (09088RJ31) Rate/Coupon: 5% Matures: 12/01/2043	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
5.54	NEW YORK NY GO BDS (64966MHL6) Rate/Coupon: 5.0% Matures: 12/01/2041	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest, Capital Gains,	\$2,501 - \$5,000
5.55	NPFCX - American Funds	Mutual Funds	Joint	None (or less	Excepted	\$201 -

	New Perspective Fund Class C	Mutual Fund		than \$1,001)	Investment Fund,	\$1,000
5.56	<u>FGSCX</u> - Federated MDT Mid Cap Growth Fund Class C Shares	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	None, Excepted Investment Fund,	None (or less than \$201)
5.57	<u>JHCBX</u> - John Hancock Bond Fund Class C	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	None, Excepted Investment Fund,	None (or less than \$201)
5.58	<u>PONCX</u> - PIMCO Income Fund Class C	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
5.59	<u>CARR</u> - Carrier Global Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5.60	<u>BMJ</u> - Bristol-Myers Squibb Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
5.61	<u>CTVA</u> - Corteva, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5.62	<u>OTIS</u> - Otis Worldwide Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5.63	<u>DGX</u> - Quest Diagnostics Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.64	<u>RTX</u> - Raytheon Technologies Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
5.65	<u>VTRS</u> - Viatris Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
5.66	<u>ABQCX</u> - AB Total Return Bond Portfolio Class C	Mutual Funds Mutual Fund	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
5.67	<u>CLOU</u> - Global X Cloud Computing ETF Global X Cloud Comput	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains, Excepted Investment Fund,	None (or less than \$201)
5.68	<u>XLC</u> - Communication Services Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
5.69	<u>NWL</u> - Newell Brands Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
5.70	<u>PEGA</u> - Pegasystems Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
5.71	<u>CRWD</u> - CrowdStrike Holdings, Inc. - Class A Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Capital Gains,	None (or less than \$201)
5.72	<u>OC</u> - Owens Corning Common Stock New	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
5.73	iShares National Muni Bond ETF	Mutual Funds Exchange Traded	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000

		Fund/Note				
6	Southern States Bank (Auburn, AL) Type: Checking,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
7	Fifth Third Bank (Cincinnati, OH) Type: Checking, Savings,	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
8	Alabama Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	Other, (Pension Payment)	Other \$43,246.32
9	University of Miami Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
10	Disney SIP	Retirement Plans IRA	Self			
10.1	BTC LifePath Ret F	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
11	LPL Financial Joint Brokerage Account	Brokerage/Managed Account	Joint			
11.1	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.2	<u>GOOG</u> - Alphabet Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.3	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends,	\$1,001 - \$2,500
11.4	<u>ANET</u> - Arista Networks, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.5	<u>ASML</u> - ASML Holding N.V.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.6	<u>ADP</u> - Automatic Data Processing, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.7	<u>BRK-B</u> - Berkshire Hathaway Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
11.8	<u>KO</u> - The Coca-Cola Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
11.9	<u>CSX</u> - CSX Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.10	<u>EW</u> - Edwards Lifesciences Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None, Capital Gains,	None (or less than \$201)
11.11	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.12	<u>FB</u> - Facebook, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.13	<u>FDS</u> - FactSet Research Systems Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

\$201)

11.14	<u>FLT</u> - FLEETCOR Technologies, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.15	<u>GRMN</u> - Garmin Ltd.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
11.16	<u>GNTX</u> - Gentex Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.17	<u>GGG</u> - Graco Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
11.18	<u>IEX</u> - IDEX Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.19	<u>ITW</u> - Illinois Tool Works Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.20	<u>INTC</u> - Intel Corporation	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends,	\$5,001 - \$15,000
11.21	<u>INTU</u> - Intuit Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
11.22	<u>JKHY</u> - Jack Henry & Associates, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.23	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
11.24	<u>KLAC</u> - KLA Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.25	<u>LRCX</u> - Lam Research Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.26	<u>MKTX</u> - MarketAxess Holdings Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
11.27	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.28	<u>MTD</u> - Mettler-Toledo International Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.29	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends, Capital Gains,	\$2,501 - \$5,000
11.30	<u>MNST</u> - Monster Beverage Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
11.31	<u>MCO</u> - Moody's Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.32	<u>NVDA</u> - NVIDIA Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
11.33	<u>PAYX</u> - Paychex, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than

\$201)

11.34	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.35	<u>TROW</u> - T. Rowe Price Group, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
11.36	<u>SPGI</u> - S&P Global Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
11.37	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.38	<u>UI</u> - Ubiquiti Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.39	<u>VEEV</u> - Veeva Systems Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
11.40	<u>VRSK</u> - Verisk Analytics, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.41	<u>V</u> - Visa Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.42	<u>VMW</u> - VMware, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.43	<u>XLNX</u> - Xilinx, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
11.44	<u>ZTS</u> - Zoetis Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
11.45	<u>EVT</u> - Eaton Vance Tax-Advantaged Dividend Income Fund	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Excepted Investment Fund,	None (or less than \$201)
11.46	<u>AMAT</u> - Applied Materials, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
11.47	<u>GOLD</u> - Barrick Gold Corporation	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
11.48	<u>CP</u> - Canadian Pacific Railway Limited	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
11.49	<u>CHD</u> - Church & Dwight Co., Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
11.50	<u>CPRT</u> - Copart, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.51	<u>FAST</u> - Fastenal Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
11.52	<u>INFY</u> - Infosys Limited	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.53	<u>NVO</u> - Novo Nordisk A/S	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

11.54	SCCO - Southern Copper Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.55	UNP - Union Pacific Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.56	WIT - Wipro Limited	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
11.57	SDOG - ALPS Sector Dividend Dogs ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.58	Goldman Sachs Bank USA (New York, New York) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	None (or less than \$201)
11.59	GOLD - Barrick Gold Corporation <i>Strike price: \$22.00 Put Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.60	APD - Air Products and Chemicals, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.61	ALGN	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.62	ALLE - Allegion plc Ordinary Shares	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.63	ADI - Analog Devices, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
11.64	BIO - Bio-Rad Laboratories, Inc. Class A Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Excepted Investment Fund,	None (or less than \$201)
11.65	BKE - Buckle, Inc. (The) Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.66	CABO - Cable One, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
11.67	CE - Celanese Corporation Celanese Corporation Common S	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Dividends,	None (or less than \$201)
11.68	ECOM - ChannelAdvisor Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.69	CTAS - Cintas Corporation - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.70	CLF - Cleveland-Cliffs Inc. Common Stock	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Capital Gains,	\$15,001 - \$50,000
11.71	CNR - Cornerstone Building Brands, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

11.72	<u>DEO</u> - Diageo plc Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
11.73	<u>EXP</u> - Eagle Materials Inc Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.74	<u>EL</u> - Estee Lauder Companies, Inc. (The) Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Dividends,	None (or less than \$201)
11.75	<u>GNRC</u> - Generac Holdings Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.76	<u>HSY</u> - The Hershey Company Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.77	<u>IDXX</u> - IDEXX Laboratories, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.78	<u>KEYS</u> - Keysight Technologies Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.79	<u>MPWR</u> - Monolithic Power Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.80	<u>NFLX</u> - Netflix, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.81	<u>OXY</u> - Occidental Petroleum Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.82	<u>ODFL</u> - Old Dominion Freight Line, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.83	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.84	<u>PG</u> - Procter & Gamble Company (The) Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.85	<u>QCOM</u> - QUALCOMM Incorporated - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
11.86	<u>REGN</u> - Regeneron Pharmaceuticals, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.87	<u>ROK</u> - Rockwell Automation, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
11.88	<u>SEIC</u> - SEI Investments Company - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.89	<u>SWKS</u> - Skyworks Solutions, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

11.90	<u>SNA</u> - Snap-On Incorporated Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
11.91	<u>SSBK</u> - Southern States Bancshares, Inc. - Common Stock	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
11.92	<u>SSYS</u> - Stratasys, Ltd. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Capital Gains,	None (or less than \$201)
11.93	<u>SIVB</u> - SVB Financial Group - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
11.94	<u>TER</u> - Teradyne, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.95	<u>X</u> - United States Steel Corporation Common Stock	Corporate Securities Stock	Joint	\$500,001 - \$1,000,000	Dividends,	\$2,501 - \$5,000
11.96	<u>WAT</u> - Waters Corporation Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.97	<u>WST</u> - West Pharmaceutical Services, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
11.98	<u>ECOM</u> - ChannelAdvisor Corporation Common Stock <i>Strike price: \$25.00 Call Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.99	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$27.00 Call Expires: 01/20/23</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.100	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$20.00 Call Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.101	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$23.00 Call Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.102	<u>INTC</u> - Intel Corporation - Common Stock <i>Strike price: \$60.00 Call Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.103	<u>OXY</u> - Occidental Petroleum Corporation Common Stock <i>Strike price: \$31.00 Call Expires: 02/18/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.104	<u>SCCO</u> - Southern Copper Corporation Common Stock <i>Strike price: \$65.00 Call Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

11.105	X - United States Steel Corporation Common Stock <i>Strike price: \$25.00 Call Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.106	BABA - Alibaba Group Holding Limited American Depositary <i>Strike price: \$230.00 Put Expires: 09/16/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None, Capital Gains,	None (or less than \$201)
11.107	BABA - Alibaba Group Holding Limited American Depositary <i>Strike price: \$245.00 Put Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None, Capital Gains,	None (or less than \$201)
11.108	CALX - Calix, Inc Common Stock <i>Strike price: \$40.00 Put Expires: 11/18/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.109	CALX - Calix, Inc Common Stock <i>Strike price: \$55.00 Put Expires: 11/18/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.110	ECOM - ChannelAdvisor Corporation Common Stock <i>Strike price: \$25.00 Put Expires: 03/18/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.111	ECOM - ChannelAdvisor Corporation Common Stock <i>Strike price: \$25.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.112	CLF - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$22.00 Put Expires: 01/20/23</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.113	CLF - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$20.00 Put Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.114	F - Ford Motor Company Common Stock <i>Strike price: \$15.00 Put Expires: 03/18/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.115	F - Ford Motor Company Common Stock <i>Strike price: \$17.00 Put Expires: 09/16/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.116	INTC - Intel Corporation - Common Stock <i>Strike price: \$52.50 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.117	INTC - Intel Corporation - Common Stock <i>Strike price: \$55.00 Put Expires: 01/21/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

11.118	NVDA - NVIDIA Corporation - Common Stock <i>Strike price: \$180.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
11.119	OXY - Occidental Petroleum Corporation Common Stock <i>Strike price: \$29.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.120	OXY - Occidental Petroleum Corporation Common Stock <i>Strike price: \$25.00 Put Expires: 02/18/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.121	PYPL - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$220.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.122	PYPL - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$185.00 Put Expires: 01/20/23</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.123	PYPL - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$185.00 Put Expires: 09/16/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.124	QCOM - QUALCOMM Incorporated - Common Stock <i>Strike price: \$130.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None, Capital Gains,	\$15,001 - \$50,000
11.125	SCCO - Southern Copper Corporation Common Stock <i>Strike price: \$55.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.126	X - United States Steel Corporation Common Stock <i>Strike price: \$22.00 Put Expires: 06/17/22</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.127	Goldman Sachs (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Dividends,	None (or less than \$201)
11.128	SSYS - Stratasys, Ltd. - Common Stock <i>Strike price: \$40.00 Call Expires: 03/18/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.129	AAPL - Apple Inc. - Common Stock <i>Strike price: \$102.50 Put Expires: 06/17/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.130	ADBE - Adobe Inc. - Common Stock	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

Strike price: \$400.00 | Put
Expires: 01/21/2022

11.131	CALX - Calix, Inc Common Stock Strike price: \$40.00 Put Expires: 01/21/2022	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.132	MSFT - Microsoft Corporation - Common Stock Strike price: \$210.00 Put Expires: 03/18/2022	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.133	QQQ - Invesco QQQ Trust, Series 1 Strike price: \$285.00 Put Expires: 03/18/2022	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.134	ABBV - AbbVie Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.135	AME - Ametek Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.136	AMGN - Amgen Inc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
11.137	AMT - American Tower Corp	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.138	ATVI - Activision Blizzard	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	None (or less than \$201)
11.139	BMO - Bank of Montreal	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.140	CROX - Crocs Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.141	CDNS - Cadence Design Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.142	CFG - Citizens Financial Group, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.143	CSCO - Cisco Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
11.144	DOW - Dow Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.145	DQ - DAQO New Energy Corp. American Depositary Shares,	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.146	ELS - Equity Lifestyle Properties	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.147	ETSY - Etsy Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.148	EXR - Extra Space Storage Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than

						\$201)
11.149	<u>FGBI</u> - First Guaranty Bancshares, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.150	<u>FITB</u> - Fifth Third Bancorp	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.151	<u>GFI</u> - Gold Fields Ltd ADR	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.152	<u>GIS</u> - General Mills	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.153	<u>GLPI</u> - Gaming and Leisure Properties, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.154	<u>GOOG</u> - Alphabet Inc. - Class C Capital Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.155	<u>HUMA</u> - Humacyte Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.156	<u>IBM</u> - International Business Machines Corporat	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.157	<u>KEY</u> - Keycorp	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.158	<u>MKSI</u> - Mks Instruments Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.159	<u>MMC</u> - Marsh & McLennan Companies	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.160	<u>MMM</u> - 3M Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.161	<u>MRK</u> - Merck & Co	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.162	<u>NDAQ</u> - Nasdaq Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.163	<u>NU</u> - Nu Holdings Ltd Cl A	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	None (or less than \$201)
11.164	<u>PFE</u> - Pfizer Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.165	<u>PAYC</u> - Paycom Software Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.166	<u>PHM</u> - Pultegroup	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
11.167	<u>RACE</u> - Ferrari N.V.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

11.168	<u>RELX</u> - Relx Plc ADR	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.169	<u>RF</u> - Regions Financial Corp	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.170	<u>RH</u> - Rh Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.171	<u>SCI</u> - Service Corp International	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.172	<u>LMST</u> - Limestone Bancorp, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.173	<u>TMO</u> - Thermo Fisher Scientific Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.174	<u>TREX</u> - Trex Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.175	<u>VCTR</u> - Victory Capital Holdings Inc Cl A	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.176	<u>VRTX</u> - Vertex Pharmaceutic	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.177	<u>VZ</u> - Verizon Communications Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.178	<u>WBA</u> - Walgreens Boots Alliance	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.179	<u>XOM</u> - Exxon Mobil Corp	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
11.180	<u>MSFT</u> - Microsoft Corporation - Common Stock <i>Strike price: \$265.00 Call Expires: 04/21/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.181	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$23.00 Call Expires: 07/15/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.182	<u>QCOM</u> - QUALCOMM Incorporated - Common Stock <i>Strike price: \$140.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.183	<u>GOLD</u> - Barrick Gold Corp <i>Strike price: \$27.00 Call Expires: 01/20/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.184	<u>INTC</u> - Intel Corporation - Common Stock <i>Strike price: \$30.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	Capital Gains,	None (or less than \$201)

11.185	<u>MSFT</u> - Microsoft Corporation - Common Stock <i>Strike price: \$225.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.186	<u>MSFT</u> - Microsoft Corporation - Common Stock <i>Strike price: \$265.00 Call Expires: 04/21/2023</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.187	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$135.00 Call Expires: 09/16/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.188	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$100.00 Put Expires: 01/19/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
11.189	<u>SSYS</u> - Stratasys, Ltd. - Common Stock <i>Strike price: \$25.00 Call Expires: 06/17/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.190	<u>X</u> - United States Steel Corporation Common Stock <i>Strike price: \$20.00 Put Expires: 09/16/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.191	<u>X</u> - United States Steel Corporation Common Stock <i>Strike price: \$30.00 Call Expires: 01/20/2023</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.192	<u>X</u> - United States Steel Corporation Common Stock <i>Strike price: \$25.00 Call Expires: 04/21/2023</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.193	<u>X</u> - United States Steel Corporation Common Stock <i>Strike price: \$23.00 Put Expires: 01/20/2023</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.194	<u>MRO</u> - Marathon Oil Corp <i>Strike price: \$20.00 Put Expires: 09/16/2022</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.195	<u>CVX</u> - Chevron Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
11.196	<u>NOC</u> - Northrop Grumman Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
11.197	<u>AMD</u> - Advanced Micro Devices, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.198	<u>ARKK</u> - Ark Innovation	Mutual Funds Exchange Traded	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)

	ETF	Fund/Note				\$201)
11.199	ARKW - Ark Next Generation Internet ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.200	IYE - iShares U.S. Energy ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
11.201	CLX - Clorox Company (The) Common Stock <i>Strike price: \$140.00 Put Expires: 05/19/2023</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.202	CVX - Chevron Corporation Common Stock <i>Strike price: \$155.00 Put Expires: 06/16/2023</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.203	F - Ford Motor Company <i>Strike price: \$17.00 Put Expires: 06/16/2023</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.204	Kimberly Clark Corp <i>Strike price: \$135.00 Put Expires: 04/21/2023</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.205	PG - Procter & Gamble Company <i>Strike price: \$145.00 Put Expires: 06/16/2023</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.206	PG - Procter & Gamble Company <i>Strike price: \$150.00 Put Expires: 07/21/2023</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.207	TSM - Taiwan Semiconductor Manufacturing Company Ltd. <i>Strike price: \$75.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.208	X - United States Steel Corporation Common Stock <i>Strike price: \$25.00 Call Expires: 03/17/2023</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
12	McVean Trading Brokerage Account	Brokerage/Managed Account	Self			
12.1	McVean Trading & Investments, LLC (Memphis, TN) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
12.2	APR 22 CME Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.3	Jul 22 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.4	Jul 22 Soybean Oil	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.5	Nov 22 CBT Soybeans	Commodities/Futures	Self	None (or less	Capital Gains,	\$5,001 -

		Contract		than \$1,001)		\$15,000
12.6	Aug 22 CME Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
12.7	Mar 22 CBT Soybeans	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
12.8	Dec 22 CME Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.9	Jun 22 CME Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
12.10	Put Jun 22 CME Cattle	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.11	Jul 22 Soybean Meal	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.12	Aug 22 Soybean Meal	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.13	Call 22 Sept Soybeans	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.14	Mar 23 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.15	Jul 23 CBT Corn	Commodities/Futures Contract	Self	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
12.16	Feb 23 CME Cattle	Commodities/Futures Contract	Self	\$1,001 - \$15,000	Capital Gains,	\$1,001 - \$2,500
12.17	Dec 23 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
13	Tiger Farms, LLC <i>Company:</i> Tiger Farms, LLC (Auburn, Alabama) <i>Description:</i> Real property holding company	Business Entity Limited Liability Company (LLC)	Joint			
13.1	Unimproved land <i>Description:</i> Unimproved land (Dadeville, Alabama)	Real Estate Unimproved Land	Joint	\$100,001 - \$250,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/03/2022	Joint	SSYS	Stratasys, Ltd. - Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	Sale (Full)	\$1,001 - \$15,000	--
2	01/03/2022	Joint	SSYS	Stratasys, Ltd. -	Sale	\$1,001 -	--

				Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	(Full)	\$15,000	
3	01/03/2022	Joint	SSYS	Stratasys, Ltd. - Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	Sale (Full)	\$1,001 - \$15,000	--
4	01/03/2022	Joint	SSYS	Stratasys, Ltd. - Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	Sale (Full)	\$1,001 - \$15,000	--
5	01/04/2022	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Short Sale Strike price: \$22.00 Expires: 07/15/2022	Sale (Full)	\$1,001 - \$15,000	--
6	01/05/2022	Self	--	DEC 22 CBT CORN	Purchase	\$1,001 - \$15,000	--
7	01/05/2022	Joint	INTC	Intel Corporation - Common Stock Option Type: Short Sale Strike price: \$52.50 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
8	01/05/2022	Joint	INTC	Intel Corporation - Common Stock Option Type: Short Sale Strike price: \$52.50 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
9	01/12/2022	Joint	WBA	Walgreens Boots Alliance, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
10	01/12/2022	Joint	INTC	Intel Corporation - Common Stock Option Type: Short Sale Strike price: \$55.00 Expires: 01/20/2023	Purchase	\$15,001 - \$50,000	--
11	01/12/2022	Joint	CSCO	Cisco Systems, Inc.	Purchase	\$1,001	

11	01/12/2022	Joint	CSOO	Cisco Systems, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	
12	01/12/2022	Joint	VZ	Verizon Communications Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
13	01/12/2022	Joint	PFE	Pfizer, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
14	01/12/2022	Joint	IBM	International Business Machines Corporat	Purchase	\$1,001 - \$15,000	--
15	01/12/2022	Joint	CVX	Chevron Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
16	01/12/2022	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
17	01/12/2022	Joint	DOW	Dow Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
18	01/12/2022	Joint	KO	Coca-Cola Company (The) Common Stock	Purchase	\$1,001 - \$15,000	--
19	01/12/2022	Joint	MMM	3M Company Common Stock	Purchase	\$1,001 - \$15,000	--
20	01/12/2022	Joint	AMGN	Amgen Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
21	01/13/2022	Joint	ABQCX	Ab Bond Fund Inc. - Ab Total Return Bond Portfo	Sale (Full)	\$15,001 - \$50,000	--
22	01/13/2022	Joint	VTRS	Viatis Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
23	01/13/2022	Joint	GILD	Gilead Sciences, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
24	01/13/2022	Joint	CLOU	Global X Cloud Computing ETF	Sale (Full)	\$15,001 - \$50,000	--
25	01/13/2022	Joint	FGSCX	Federated Mdt Mid Cap Growth Fund - C Shares	Sale (Full)	\$15,001 - \$50,000	--
26	01/13/2022	Joint	PEGA	Pegasystems Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
27	01/13/2022	Joint	NWL	Newell Brands Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
28	01/13/2022	Joint	CRWD	CrowdStrike Holdings, Inc. - Class A Common Stock	Sale (Full)	\$15,001 - \$50,000	--
29	01/13/2022	Joint	FUN	Cedar Fair, L.P. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
30	01/13/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$25.00 Expires: 06/17/2022	Sale (Full)	\$15,001 - \$50,000	--

31	01/14/2022	Joint	NEE	NextEra Energy, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
32	01/14/2022	Joint	AWK	American Water Works Company, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
33	01/14/2022	Joint	WMT	Walmart Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
34	01/14/2022	Joint	ACN	Accenture plc Class A Ordinary Shares (Ireland)	Sale (Full)	\$15,001 - \$50,000	--
35	01/14/2022	Joint	RTX	Raytheon Technologies Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
36	01/14/2022	Joint	MSFT	Microsoft Corporation - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
37	01/14/2022	Joint	AAPL	Apple Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
38	01/14/2022	Joint	ADBE	Adobe Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
39	01/14/2022	Joint	CTAS	Cintas Corporation - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
40	01/14/2022	Joint	LLY	Eli Lilly and Company Common Stock	Sale (Full)	\$15,001 - \$50,000	--
41	01/14/2022	Joint	MA	Mastercard Incorporated Common Stock	Sale (Full)	\$1,001 - \$15,000	--
42	01/14/2022	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$23.00 Expires: 01/21/2022	Purchase	\$1,001 - \$15,000	--
43	01/14/2022	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Short Sale Strike price: \$170.00 Expires: 07/15/2022	Sale (Full)	\$15,001 - \$50,000	--
44	01/14/2022	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$23.00 Expires: 07/15/2022	Sale (Full)	\$1,001 - \$15,000	--
45	01/14/2022	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Short Sale	Sale (Full)	\$15,001 - \$50,000	--

Strike price:
\$165.00
Expires:
01/20/2023

46	01/14/2022	Joint	BABA	Alibaba Group Holding Limited American Depositary Option Type: Put Strike price: \$230.00 Expires: 09/16/2022	Purchase	\$50,001 - \$100,000	This transaction is called buying to cover, which is a way to close out a short sale. Per this transaction, the Senator is divested of BABA securities
47	01/14/2022	Joint	BABA	Alibaba Group Holding Limited American Depositary Option Type: Put Strike price: \$245.00 Expires: 01/21/2022	Purchase	\$15,001 - \$50,000	This transaction is called buying to cover, which is a way to close out a short sale. Per this transaction, the Senator is divested of BABA securities
48	01/14/2022	Joint	BABA	Alibaba Group Holding Limited American Depositary Option Type: Put Strike price: \$230.00 Expires: 09/16/2022	Purchase	\$15,001 - \$50,000	This transaction is called buying to cover, which is a way to close out a short sale. Per this transaction, the Senator is divested of BABA securities
49	01/21/2022	Joint	PONCX	Pimco Income Fund Class C	Sale (Full)	\$15,001 - \$50,000	--
50	01/21/2022	Joint	--	New York NY General Obligation Municipal Bond Rate/Coupon: 5 Matures: 12/01/2041	Sale (Full)	\$50,001 - \$100,000	--
51	01/21/2022	Joint	XEL	Xcel Energy Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
52	01/21/2022	Joint	MDT	Medtronic plc. Ordinary Shares	Sale (Full)	\$15,001 - \$50,000	--
53	01/21/2022	Joint	ABBV	AbbVie Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
54	01/21/2022	Joint	CTAS	Cintas Corporation - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
55	01/21/2022	Joint	DUK	Duke Energy Corporation (Holding Company) Common S	Sale (Full)	\$15,001 - \$50,000	--
56	01/21/2022	Self	--	Call NOV 22 CBT SOYBEANS	Purchase	\$1,001 - \$15,000	Expire 10/21/22
57	01/21/2022	Self	--	NOV 22 CBT SOYBEANS	Purchase	\$1,001 - \$15,000	--
58	01/21/2022	Joint	LMT	Lockheed Martin Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--

59	01/21/2022	Joint	PG	Procter & Gamble Company (The) Common Stock	Sale (Full)	\$15,001 - \$50,000	--
60	01/21/2022	Joint	TSN	Tyson Foods, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
61	01/21/2022	Joint	PEP	PepsiCo, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
62	01/21/2022	Joint	PM	Philip Morris International Inc Common Stock	Sale (Full)	\$15,001 - \$50,000	--
63	01/21/2022	Joint	ABC	AmerisourceBergen Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--
64	01/24/2022	Joint	GOLD	Barrick Gold Corporation Common Stock (BC)	Purchase	\$50,001 - \$100,000	--
65	01/26/2022	Self	--	APR 22 CME CATTLE	Purchase	\$1,001 - \$15,000	--
66	02/01/2022	Joint	F	Ford Motor Company Common Stock Option Type: Short Sale Strike price: \$20.00 Expires: 01/20/2023	Sale (Full)	\$15,001 - \$50,000	--
67	02/01/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$20.00 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
68	02/01/2022	Self	--	Apr 22 CME Cattle	Sale (Full)	\$1,001 - \$15,000	--
69	02/01/2022	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Short Sale Strike price: \$22.00 Expires: 01/20/2023	Sale (Full)	\$15,001 - \$50,000	--
70	02/01/2022	Joint	MRO	Marathon Oil Corporation Common Stock Option Type: Short Sale Strike price: \$20.00 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
71	02/01/2022	Joint	MRO	Marathon Oil Corporation	Sale (Full)	\$1,001 - \$15,000	--

Common Stock
Option Type:
Short Sale
Strike price:
\$20.00
Expires:
09/16/2022

72	02/01/2022	Joint	MSFT	Microsoft Corporation - Common Stock Option Type: Short Sale <i>Strike price:</i> \$265.00 <i>Expires:</i> 09/16/2022	Sale (Full)	\$15,001 - \$50,000	--
73	02/02/2022	Self	--	Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
74	02/04/2022	Self	--	Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
75	02/07/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$50,001 - \$100,000	--
76	02/07/2022	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.50 <i>Expires:</i> 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
77	02/07/2022	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.50 <i>Expires:</i> 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
78	02/07/2022	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.50 <i>Expires:</i> 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
79	02/07/2022	Joint	ECOM	ChannelAdvisor Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$22.50 <i>Expires:</i> 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
80	02/09/2022	Joint	PYPL	PayPal Holdings,	Purchase	\$15,001 -	--

				Inc. - Common Stock		\$50,000	
81	02/09/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale Strike price: \$140.00 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
82	02/09/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale Strike price: \$135.00 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
83	02/09/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale Strike price: \$140.00 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
84	02/10/2022	Joint	X	United States Steel Corporation Common Stock	Purchase	\$100,001 - \$250,000	--
85	02/10/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$27.00 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
86	02/10/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$27.00 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
87	02/10/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$22.00 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
88	02/17/2022	Joint	ARKK	Ark Innovation ETF	Sale	\$1,001 -	--

				Option Type: Short Sale Strike price: \$65.00 Expires: 09/16/2022	(Full)	\$15,000	
89	02/17/2022	Joint	ARKK	Ark Innovation ETF Option Type: Short Sale Strike price: \$65.00 Expires: 09/16/2022	Sale (Full)	\$1,001 - \$15,000	--
90	02/22/2022	Joint	OXY	Occidental Petroleum Corporation Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
91	03/01/2022	Self	--	Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
92	03/02/2022	Joint	--	ARKK Option Type: Short Sale Strike price: \$65.00 Expires: 09/16/2022	Sale (Full)	\$15,001 - \$50,000	--
93	03/04/2022	Self	--	Call May 22 CBT Soybeans	Purchase	\$1,001 - \$15,000	--
94	03/04/2022	Self	--	Jul 22 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
95	03/04/2022	Self	--	Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
96	03/04/2022	Self	--	Call Jul 22 CBT Soybeans	Sale (Full)	\$1,001 - \$15,000	--
97	03/04/2022	Self	--	Apr 22 CME Cattle	Sale (Full)	\$1,001 - \$15,000	--
98	03/08/2022	Joint	ECOM	ChannelAdvisor Corporation Common Stock	Purchase	\$50,001 - \$100,000	--
99	03/10/2022	Self	--	Call May 22 CBT Soybeans	Sale (Full)	\$1,001 - \$15,000	--
100	03/10/2022	Self	--	Call Jul 22 CBT Soybeans	Purchase	\$1,001 - \$15,000	--
101	03/11/2022	Self	--	Call Jul 22 CBT Soybeans	Purchase	\$1,001 - \$15,000	--
102	03/15/2022	Self	--	Call May 22 CBT Soybeans	Sale (Full)	\$1,001 - \$15,000	--
103	03/18/2022	Self	--	Call Nov 22 CBT Soybeans	Sale (Full)	\$1,001 - \$15,000	--
104	03/21/2022	Self	--	Call Nov 22 CBT Soybeans	Purchase	\$1,001 - \$15,000	--
105	03/21/2022	Joint	ECOM	ChannelAdvisor Corporation Common Stock	Purchase	\$15,001 - \$50,000	--
106	03/21/2022	Self	--	Nov 22 CBT Soybeans	Sale (Full)	\$1,001 - \$15,000	--

107	03/21/2022	Joint	NU	Nu Holdings Ltd. Class A Ordinary Shares	Purchase	\$15,001 - \$50,000	--
108	03/22/2022	Joint	SCCO	Southern Copper Corporation Common Stock Option Type: Put Strike price: \$55.00 Expires: 06/17/2022	Purchase	\$1,001 - \$15,000	--
109	03/22/2022	Joint	NU	Nu Holdings Ltd. Class A Ordinary Shares Option Type: Short Sale Strike price: \$9.00 Expires: 12/16/22	Purchase	\$1,001 - \$15,000	--
110	03/22/2022	Joint	MSFT	Microsoft Corporation - Common Stock Option Type: Short Sale Strike price: \$265.00 Expires: 10/21/2022	Sale (Full)	\$15,001 - \$50,000	--
111	03/23/2022	Joint	GOLD	Barrick Gold Corporation Common Stock (BC) Option Type: Short Sale Strike price: \$23.00 Expires: 01/20/2023	Sale (Full)	\$1,001 - \$15,000	--
112	03/23/2022	Joint	GOLD	Barrick Gold Corporation Common Stock (BC) Option Type: Short Sale Strike price: \$27.00 Expires: 01/20/2023	Sale (Full)	\$1,001 - \$15,000	--
113	03/29/2022	Self	--	Nov 22 CBT Soybeans	Purchase	\$1,001 - \$15,000	--
114	03/30/2022	Joint	FGBI	First Guaranty Bancshares, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
115	03/30/2022	Joint	HUMA	Humacyte, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
116	03/30/2022	Joint	DEO	Diageo plc Common Stock	Sale (Full)	\$1,001 - \$15,000	--
117	03/30/2022	Joint	VMW	Vmware, Inc. Common stock,	Sale (Full)	\$1,001 - \$15,000	--

				Class A			
118	03/30/2022	Joint	NFLX	Netflix, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
119	03/30/2022	Joint	MKSI	MKS Instruments, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
120	03/30/2022	Joint	CFG	Citizens Financial Group, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
121	03/30/2022	Joint	GOOG	Alphabet Inc. - Class C Capital Stock	Purchase	\$1,001 - \$15,000	--
122	03/30/2022	Joint	ABBV	AbbVie Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
123	03/30/2022	Joint	HON	Honeywell International Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
124	03/30/2022	Joint	NOC	Northrop Grumman Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
125	03/30/2022	Joint	AME	AMETEK, Inc.	Purchase	\$1,001 - \$15,000	--
126	03/30/2022	Joint	GFI	Gold Fields Limited American Depository Shares	Purchase	\$1,001 - \$15,000	--
127	03/30/2022	Joint	MMC	Marsh & McLennan Companies, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
128	03/30/2022	Joint	MASI	Masimo Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
129	03/30/2022	Joint	CE	Celanese Corporation Celanese Corporation Common S	Sale (Full)	\$1,001 - \$15,000	--
130	03/30/2022	Joint	DHI	D.R. Horton, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
131	03/30/2022	Joint	IT	Gartner, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
132	03/30/2022	Joint	MRK	Merck & Company, Inc. Common Stock (new)	Purchase	\$1,001 - \$15,000	--
133	03/30/2022	Joint	SIVB	SVB Financial Group - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
134	03/30/2022	Joint	VEEV	Veeva Systems Inc. Class A Common Stock	Sale (Full)	\$1,001 - \$15,000	--
135	03/30/2022	Joint	NDAQ	Nasdaq, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
136	03/30/2022	Joint	FITB	Fifth Third Bancorp - Common Stock	Purchase	\$1,001 - \$15,000	--
137	03/30/2022	Joint	RF	Regions Financial Corporation	Purchase	\$1,001 - \$15,000	--

				Common Stock			
138	03/30/2022	Joint	RMD	ResMed Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
139	03/30/2022	Joint	BIO	Bio-Rad Laboratories, Inc. Class A Common Stock	Sale (Full)	\$1,001 - \$15,000	--
140	03/30/2022	Joint	KEY	KeyCorp Common Stock	Purchase	\$1,001 - \$15,000	--
141	03/30/2022	Joint	TMO	Thermo Fisher Scientific Inc Common Stock	Purchase	\$1,001 - \$15,000	--
142	03/30/2022	Joint	BKE	Buckle, Inc. (The) Common Stock	Sale (Full)	\$1,001 - \$15,000	--
143	03/30/2022	Joint	REGN	Regeneron Pharmaceuticals, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
144	03/30/2022	Joint	BMO	Bank of Montreal	Purchase	\$1,001 - \$15,000	--
145	03/30/2022	Joint	CABO	Cable One, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
146	03/30/2022	Joint	CP	Canadian Pacific Railway Ltd	Sale (Full)	\$1,001 - \$15,000	--
147	03/30/2022	Joint	EXP	Eagle Materials Inc Common Stock	Sale (Full)	\$1,001 - \$15,000	--
148	03/30/2022	Joint	INTU	Intuit Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
149	03/30/2022	Joint	LMST	Limestone Bancorp, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
150	03/30/2022	Joint	WST	West Pharmaceutical Services, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
151	03/30/2022	Joint	ROK	Rockwell Automation, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
152	03/30/2022	Joint	SPGI	S&P Global Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
153	03/30/2022	Joint	SNA	Snap-On Incorporated Common Stock	Sale (Full)	\$1,001 - \$15,000	--
154	03/30/2022	Joint	GNRC	Generac Holdings Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
155	03/30/2022	Joint	CDNS	Cadence Design Systems, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
156	04/07/2022	Self	--	Dec 22 CBT Corn	Sale (Partial)	\$1,001 - \$15,000	--
157	04/11/2022	Self	SQ	Block, Inc. Class A Common Stock,	Sale (Full)	\$1,001 - \$15,000	--
158	04/11/2022	Self	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--

159	04/12/2022	Self	--	Dec 22 CBT Corn	Sale (Partial)	\$1,001 - \$15,000	--
160	04/12/2022	Self	--	Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
161	04/21/2022	Joint	ECOM	ChannelAdvisor Corporation Common Stock	Purchase	\$100,001 - \$250,000	--
162	04/28/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Full)	\$50,001 - \$100,000	--
163	04/28/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale Strike price: \$125.00 Expires: 06/16/2023	Sale (Full)	\$50,001 - \$100,000	--
164	04/28/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Call Strike price: \$135.00 Expires: 09/16/2022	Purchase	\$1,001 - \$15,000	--
165	04/29/2022	Self	--	Jun 22 CME Cattle	Sale (Full)	\$1,001 - \$15,000	--
166	05/04/2022	Self	--	Jun 22 CME Cattle	Sale (Full)	\$1,001 - \$15,000	--
167	05/09/2022	Self	--	June 22 CME Cattle	Sale (Full)	\$1,001 - \$15,000	--
168	05/19/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$250,001 - \$500,000	--
169	05/20/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$50,001 - \$100,000	--
170	05/31/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Call Strike price: \$30.00 Expires: 01/20/2023	Sale (Full)	\$15,001 - \$50,000	--
171	05/31/2022	Joint	X	United States Steel Corporation Common Stock	Purchase	\$100,001 - \$250,000	--
172	05/31/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Put Strike price: \$23.00 Expires: 01/20/2023	Sale (Partial)	\$15,001 - \$50,000	--

173	06/01/2022	Joint	ECOM	ChannelAdvisor Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
174	06/06/2022	Joint	EVT	Eaton Vance Tax Advantaged Dividend Income Fund Co	Purchase	\$15,001 - \$50,000	--
175	06/07/2022	Self	--	Oct 22 CME Cattle	Purchase	\$1,001 - \$15,000	--
176	06/07/2022	Self	--	Jul 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
177	06/07/2022	Self	--	Dec 22 CBT Corn	Sale (Partial)	\$1,001 - \$15,000	--
178	06/08/2022	Self	--	Jul 22 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
179	06/08/2022	Joint	NVDA	NVIDIA Corporation - Common Stock Option Type: Short Sale Strike price: \$150.00 Expires: 12/16/2022	Purchase	\$1,001 - \$15,000	--
180	06/08/2022	Self	--	Oct 22 CME Cattle	Sale (Full)	\$1,001 - \$15,000	--
181	06/09/2022	Joint	SSYS	Stratasys, Ltd. - Common Stock	Purchase	\$15,001 - \$50,000	--
182	06/09/2022	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$15,001 - \$50,000	--
183	06/14/2022	Joint	SSYS	Stratasys, Ltd. - Common Stock	Purchase	\$1,001 - \$15,000	--
184	06/15/2022	Joint	NVDA	NVIDIA Corporation - Common Stock Option Type: Short Sale Strike price: \$150.00 Expires: 03/17/2023	Sale (Full)	\$15,001 - \$50,000	--
185	06/15/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Put Strike price: \$220.00 Expires: 06/17/2022	Purchase	\$50,001 - \$100,000	--
186	06/15/2022	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Put Strike price: \$130.00 Expires: 06/17/2022	Purchase	\$1,001 - \$15,000	--
187	06/15/2022	Joint	NVDA	NVIDIA Corporation - Common Stock	Purchase	\$15,001 - \$50,000	--

				Option Type: Put Strike price: \$180.00 Expires: 06/17/2022				
188	06/15/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Full)	\$50,001 - \$100,000	--	
				Option Type: Short Sale Strike price: \$150.00 Expires: 09/15/2023				
189	06/16/2022	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Purchase	\$250,001 - \$500,000	--	
190	06/16/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--	
191	06/17/2022	Joint	SCCO	Southern Copper Corporation Common Stock	Sale (Full)	\$100,001 - \$250,000	--	
192	06/17/2022	Joint	BRK.B	Berkshire Hathaway Inc. New Common Stock	Sale (Full)	\$100,001 - \$250,000	--	
193	06/17/2022	Joint	CVX	Chevron Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--	
194	06/17/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$100,001 - \$250,000	--	
195	06/17/2022	Joint	EVT	Eaton Vance Tax Advantaged Dividend Income Fund Co	Sale (Full)	\$50,001 - \$100,000	--	
196	06/21/2022	Joint	X	United States Steel Corporation Common Stock	Purchase	\$100,001 - \$250,000	--	
197	06/21/2022	Joint	SSYS	Stratasys, Ltd. - Common Stock	Purchase	\$1,001 - \$15,000	--	
198	06/21/2022	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$50,001 - \$100,000	--	
199	06/21/2022	Self	--	Call Dec 22 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--	
200	06/22/2022	Joint	GOLD	Barrick Gold Corporation Common Stock (BC)	Sale (Full)	\$50,001 - \$100,000	--	
201	06/22/2022	Joint	--	United States Steel Corporation Common Stock	Sale (Partial)	\$15,001 - \$50,000	--	
				Option Type: Short Sale Strike price: \$25.00 Expires: 03/17/2023				

202	06/22/2022	Joint	GOLD	Barrick Gold Corporation Common Stock (BC) Option Type: Call Strike price: \$27.00 Expires: 01/20/2023	Purchase	\$1,001 - \$15,000	--
203	06/22/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$22.00 Expires: 09/16/2022	Sale (Partial)	\$1,001 - \$15,000	--
204	06/24/2022	Self	--	Call Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
205	06/28/2022	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Sale (Partial)	\$250,001 - \$500,000	--
206	06/28/2022	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Short Sale Strike price: \$135.00 Expires: 06/16/2023	Sale (Partial)	\$50,001 - \$100,000	--
207	06/29/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$50,001 - \$100,000	--
208	06/30/2022	Self	--	Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
209	06/30/2022	Self	--	Aug 22 CME Cattle	Sale (Partial)	\$1,001 - \$15,000	--
210	07/01/2022	Self	--	Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
211	07/07/2022	Self	--	Dec 22 Soybean Meal	Sale (Full)	\$1,001 - \$15,000	--
212	07/08/2022	Self	--	Aug 22 Soybean Meal	Sale (Full)	\$1,001 - \$15,000	--
213	07/14/2022	Joint	ECOM	ChannelAdvisor Corporation Common Stock	Purchase	\$100,001 - \$250,000	--
214	07/18/2022	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$50,001 - \$100,000	--
215	07/25/2022	Self	--	Call Sep 22 CBT Soybeans	Purchase	\$1,001 - \$15,000	--
216	07/27/2022	Self	--	Call Sep 22 CBT Soybeans	Sale (Full)	\$1,001 - \$15,000	--
217	07/27/2022	Self	--	Call Sep 22 CBT Soybeans	Purchase	\$1,001 - \$15,000	--

218	08/01/2022	Self	VTRS	Viatis Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
219	08/01/2022	Self	EFAV	iShares MSCI EAFE Min Vol Factor ETF	Sale (Full)	\$15,001 - \$50,000	--
220	08/01/2022	Self	RSP	Invesco S&P 500 Equal Weight ETF	Purchase	\$15,001 - \$50,000	--
221	08/01/2022	Joint	OC	Owens Corning Inc Common Stock New	Purchase	\$15,001 - \$50,000	--
222	08/01/2022	Joint	XLC	The Communication Services Select Sector SPDR Fund	Sale (Full)	\$15,001 - \$50,000	--
223	08/01/2022	Joint	MUB	iShares National Muni Bond ETF	Purchase	\$50,001 - \$100,000	--
224	08/01/2022	Joint	TSCO	Tractor Supply Company - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
225	08/01/2022	Self	XLY	SPDR Select Sector Fund - Consumer Discretionary	Sale (Full)	\$15,001 - \$50,000	--
226	08/01/2022	Joint	ORCL	Oracle Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
227	08/01/2022	Joint	JHCBX	John Hancock Bond Fund Cl C	Sale (Full)	\$15,001 - \$50,000	--
228	08/01/2022	Self	ABNFX	The Bond Fd of America Class F-2 Shares	Purchase	\$15,001 - \$50,000	--
229	08/01/2022	Self	PCNNX	Pimco Investment Grade Credit Bond Fund Class I-	Sale (Full)	\$15,001 - \$50,000	--
230	08/01/2022	Self	DHS	Wisdomtree U.S. High Dividend Fund	Purchase	\$15,001 - \$50,000	--
231	08/01/2022	Self	IDV	iShares International Select Dividend ETF	Purchase	\$15,001 - \$50,000	--
232	08/01/2022	Self	IVV	iShares Core S&P 500 ETF	Sale (Full)	\$15,001 - \$50,000	--
233	08/02/2022	Self	--	Call Dec 22 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
234	08/03/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Short Sale Strike price: \$125.00 Expires: 06/16/2023	Sale (Full)	\$15,001 - \$50,000	--
235	08/03/2022	Self	--	Aug 22 CME Cattle	Purchase	\$1,001 - \$15,000	--
236	08/03/2022	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Short Sale Strike price:	Sale (Full)	\$1,001 - \$15,000	--

\$22.00
Expires:
06/16/2023

237	08/05/2022	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
238	08/05/2022	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$100,001 - \$250,000	--
239	08/10/2022	Self	--	Dec 22 CME Cattle	Sale (Full)	\$1,001 - \$15,000	--
240	08/10/2022	Self	--	Oct 22 CME Cattle	Purchase	\$1,001 - \$15,000	--
241	08/11/2022	Joint	--	PayPal Holdings, Inc. - Common Stock	Sale (Partial)	\$100,001 - \$250,000	--
242	08/12/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Put Strike price: \$20.00 Expires: 09/16/2022	Purchase	\$1,001 - \$15,000	--
243	08/12/2022	Self	--	Call Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
244	08/16/2022	Self	--	Oct 22 CME Cattle	Purchase	\$1,001 - \$15,000	--
245	08/17/2022	Self	--	Call Dec 22 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
246	08/18/2022	Joint	MRO	Marathon Oil Corporation Common Stock Option Type: Put Strike price: \$20.00 Expires: 09/16/2022	Purchase	\$1,001 - \$15,000	--
247	08/23/2022	Self	--	Dec 22 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
248	08/23/2022	Self	--	Call Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
249	08/24/2022	Self	--	Call Dec 22 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
250	08/25/2022	Joint	ARKK	Ark Innovation ETF	Purchase	\$50,001 - \$100,000	--
251	08/26/2022	Joint	SSYS	Stratasys, Ltd. - Common Stock	Sale (Full)	\$50,001 - \$100,000	--
252	08/30/2022	Self	--	Call Dec 22 CBT Corn	Purchase	\$1,001 - \$15,000	--
253	08/31/2022	Self	--	Jul 23 CBT Corn	Purchase	\$1,001 - \$15,000	--
254	08/31/2022	Self	--	Mar 23 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
255	09/06/2022	Joint	NU	Nu Holdings Ltd. Class A Ordinary	Purchase	\$1,001 - \$15,000	--

Shares

256	09/07/2022	Self	--	Call DEC 22 CBT Corn	Sale (Full)	\$1,001 - \$15,000	--
257	09/07/2022	Joint	ECOM	ChannelAdvisor Corporation Common Stock	Sale (Full)	\$500,001 - \$1,000,000	--
258	09/07/2022	Joint	ARKK	Ark Innovation ETF	Purchase	\$50,001 - \$100,000	--
259	09/12/2022	Joint	F	Ford Motor Company Common Stock Option Type: Put Strike price: \$17.00 Expires: 09/16/2022	Purchase	\$1,001 - \$15,000	--
260	09/12/2022	Joint	F	Ford Motor Company Common Stock Option Type: Short Sale Strike price: \$17.00 Expires: 06/16/2023	Sale (Full)	\$15,001 - \$50,000	--
261	09/12/2022	Joint	F	Ford Motor Company Common Stock Option Type: Put Strike price: \$17.00 Expires: 09/16/2022	Purchase	\$1,001 - \$15,000	--
262	09/19/2022	Joint	MSFT	Microsoft Corporation - Common Stock	Purchase	\$250,001 - \$500,000	--
263	09/19/2022	Joint	X	United States Steel Corporation Common Stock	Purchase	\$100,001 - \$250,000	--
264	09/19/2022	Joint	ARKK	Ark Innovation ETF	Purchase	\$1,001 - \$15,000	--
265	09/20/2022	Joint	MSFT	Microsoft Corporation - Common Stock Option Type: Short Sale Strike price: \$265.00 Expires: 04/21/2023	Sale (Partial)	\$1,001 - \$15,000	--
266	09/20/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$24.00 Expires: 04/21/2023	Sale (Partial)	\$1,001 - \$15,000	--
267	10/03/2022	Self	--	Feb 23 CME Cattle	Purchase	\$1,001 -	--

						\$15,000	
268	10/04/2022	Joint	MRO	Marathon Oil Corporation Common Stock Option Type: Short Sale Strike price: \$23.00 Expires: 04/21/2023	Sale (Full)	\$1,001 - \$15,000	--
269	10/04/2022	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Short Sale Strike price: \$60.00 Expires: 05/19/2023	Sale (Full)	\$1,001 - \$15,000	--
270	10/04/2022	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Short Sale Strike price: \$60.00 Expires: 05/19/2023	Sale (Full)	\$1,001 - \$15,000	--
271	10/04/2022	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Short Sale Strike price: \$60.00 Expires: 05/19/2023	Sale (Full)	\$1,001 - \$15,000	--
272	10/04/2022	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Short Sale Strike price: \$60.00 Expires: 05/19/2023	Purchase	\$1,001 - \$15,000	--
273	10/05/2022	Joint	TROW	T. Rowe Price Group, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
274	10/05/2022	Joint	CHD	Church & Dwight Company, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
275	10/05/2022	Joint	RMD	ResMed Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
276	10/05/2022	Joint	WIT	Wipro Limited	Sale	\$1,001 -	--

				Common Stock	(Full)	\$15,000	
277	10/05/2022	Joint	GRMN	Garmin Ltd. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
278	10/05/2022	Joint	MASI	Masimo Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
279	10/05/2022	Joint	IDXX	IDEXX Laboratories, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
280	10/05/2022	Joint	APD	Air Products and Chemicals, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
281	10/05/2022	Joint	ALGN	Align Technology, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
282	10/05/2022	Joint	--	Brown-Forman Corp Class B	Sale (Full)	\$1,001 - \$15,000	--
283	10/05/2022	Joint	GGG	Graco Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
284	10/05/2022	Joint	AMAT	Applied Materials, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
285	10/05/2022	Joint	HON	Honeywell International Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
286	10/05/2022	Joint	NVDA	NVIDIA Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
287	10/05/2022	Joint	ZTS	Zoetis Inc. Class A Common Stock	Sale (Full)	\$1,001 - \$15,000	--
288	10/05/2022	Joint	AMT	American Tower Corporation (REIT) Common Stock	Purchase	\$1,001 - \$15,000	--
289	10/05/2022	Joint	SEIC	SEI Investments Company - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
290	10/05/2022	Joint	DHI	D.R. Horton, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
291	10/05/2022	Joint	EL	Estee Lauder Companies, Inc. (The) Common Stock	Sale (Full)	\$1,001 - \$15,000	--
292	10/05/2022	Joint	FAST	Fastenal Company - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
293	10/05/2022	Joint	MKTX	MarketAxess Holdings, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
294	10/05/2022	Joint	MNST	Monster Beverage Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
295	10/05/2022	Joint	NOC	Northrop Grumman Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
296	10/05/2022	Joint	MSFT	Microsoft Corporation - Common Stock	Sale (Partial)	\$100,001 - \$250,000	--

297	10/05/2022	Joint	IT	Gartner, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
298	10/06/2022	Joint	VCTR	Victory Capital Holdings, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
299	10/06/2022	Joint	DQ	DAQO New Energy Corp. American Depositary Shares,	Purchase	\$1,001 - \$15,000	--
300	10/06/2022	Joint	GLPI	Gaming and Leisure Properties, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
301	10/06/2022	Joint	CROX	Crocs, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
302	10/06/2022	Joint	PHM	PulteGroup, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
303	10/06/2022	Joint	TREX	Trex Company, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
304	10/06/2022	Joint	VRTX	Vertex Pharmaceuticals Incorporated - Common Stock	Purchase	\$1,001 - \$15,000	--
305	10/06/2022	Joint	RELX	RELX PLC PLC American Depositary Shares (Each repr	Purchase	\$1,001 - \$15,000	--
306	10/06/2022	Joint	SCI	Service Corporation International Common Stock	Purchase	\$1,001 - \$15,000	--
307	10/06/2022	Joint	VCTR	Victory Capital Holdings, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
308	10/06/2022	Joint	VCTR	Victory Capital Holdings, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
309	10/06/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/16/2023	Sale (Full)	\$15,001 - \$50,000	--
310	10/06/2022	Joint	XOM	Exxon Mobil Corporation Common Stock Option Type: Short Sale <i>Strike price:</i> \$100.00 <i>Expires:</i> 06/16/2023	Sale (Full)	\$15,001 - \$50,000	--
311	10/06/2022	Joint	ELS	Equity Lifestyle Properties, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--

312	10/06/2022	Joint	RH	RH Common Stock	Purchase	\$1,001 - \$15,000	--
313	10/06/2022	Joint	XOM	Exxon Mobil Corporation Common Stock	Purchase	\$50,001 - \$100,000	--
314	10/06/2022	Joint	RACE	Ferrari N.V. Common Shares	Purchase	\$1,001 - \$15,000	--
315	10/06/2022	Joint	AMGN	Amgen Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
316	10/06/2022	Joint	CSCO	Cisco Systems, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
317	10/06/2022	Joint	ETSY	Etsy, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
318	10/06/2022	Joint	EXR	Extra Space Storage Inc Common Stock	Purchase	\$1,001 - \$15,000	--
319	10/06/2022	Joint	NU	Nu Holdings Ltd. Class A Ordinary Shares	Purchase	\$1,001 - \$15,000	--
320	10/06/2022	Joint	GIS	General Mills, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
321	10/06/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Short Sale Strike price: \$20.00 Expires: 06/16/2023	Sale (Full)	\$1,001 - \$15,000	--
322	10/06/2022	Joint	PAYC	Paycom Software, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
323	10/06/2022	Joint	CVX	Chevron Corporation Common Stock Option Type: Short Sale Strike price: \$155.00 Expires: 06/16/2023	Sale (Full)	\$15,001 - \$50,000	--
324	10/11/2022	Joint	NU	Nu Holdings Ltd. Class A Ordinary Shares	Purchase	\$1,001 - \$15,000	--
325	10/13/2022	Joint	NU	Nu Holdings Ltd. Class A Ordinary Shares	Purchase	\$15,001 - \$50,000	--
326	10/13/2022	Joint	MSFT	Microsoft Corporation - Common Stock	Purchase	\$250,001 - \$500,000	--
327	10/24/2022	Joint	MSFT	Microsoft Corporation - Common Stock	Purchase	\$15,001 - \$50,000	--
328	10/25/2022	Self	FTBFX	Fidelity Total Bond	Sale (Full)	\$15,001 - \$50,000	--
329	10/25/2022	Joint	FDX	FedEx Corporation	Sale	\$1,001 -	--

				Common Stock	(Full)	\$15,000	
330	10/25/2022	Joint	--	Verizon Communications Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
331	10/25/2022	Joint	--	SIEGY	Sale (Full)	\$15,001 - \$50,000	--
332	10/28/2022	Joint	MSFT	Microsoft Corporation - Common Stock Option Type: Short Sale <i>Strike price:</i> \$245.00 <i>Expires:</i> 06/16/2023	Sale (Full)	\$1,001 - \$15,000	--
333	10/28/2022	Joint	MSFT	Microsoft Corporation - Common Stock Option Type: Short Sale <i>Strike price:</i> \$245.00 <i>Expires:</i> 06/16/2023	Sale (Full)	\$1,001 - \$15,000	--
334	10/28/2022	Joint	MSFT	Microsoft Corporation - Common Stock Option Type: Short Sale <i>Strike price:</i> \$245.00 <i>Expires:</i> 06/16/2023	Sale (Full)	\$1,001 - \$15,000	--
335	10/31/2022	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
336	11/01/2022	Joint	NU	Nu Holdings Ltd. Class A Ordinary Shares	Purchase	\$1,001 - \$15,000	--
337	11/01/2022	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
338	11/03/2022	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$50,001 - \$100,000	--
339	11/07/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$100,001 - \$250,000	--
340	11/08/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$100.00 <i>Expires:</i> 01/19/2024	Sale (Full)	\$1,001 - \$15,000	--
341	11/08/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Partial)	\$100,001 - \$250,000	--
342	11/08/2022	Joint	ATVI	Activision Blizzard, Inc - Common	Purchase	\$100,001 - \$250,000	--

				Stock			
343	11/08/2022	Joint	X	United States Steel Corporation Common Stock Option Type: Call Strike price: \$25.00 Expires: 04/21/2023	Sale (Full)	\$1,001 - \$15,000	--
344	11/08/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Put Strike price: \$100.00 Expires: 01/19/2024	Sale (Full)	\$50,001 - \$100,000	--
345	11/08/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Put Strike price: \$100.00 Expires: 01/19/2024	Sale (Full)	\$1,001 - \$15,000	--
346	11/09/2022	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$100,001 - \$250,000	--
347	11/15/2022	Self	--	FEB 23 CME CATTLE	Sale (Full)	\$1,001 - \$15,000	--
348	11/17/2022	Joint	F	Ford Motor Company Common Stock	Purchase	\$100,001 - \$250,000	--
349	11/22/2022	Joint	MSFT	Microsoft Corporation - Common Stock	Sale (Partial)	\$100,001 - \$250,000	--
350	11/22/2022	Self	--	DEC 22 CME CATTLE	Purchase	\$1,001 - \$15,000	--
351	11/22/2022	Joint	F	Ford Motor Company Common Stock	Sale (Full)	\$100,001 - \$250,000	--
352	11/22/2022	Joint	INTC	Intel Corporation - Common Stock Option Type: Put Strike price: \$30.00 Expires: 09/15/2023	Sale (Full)	\$15,001 - \$50,000	--
353	11/23/2022	Joint	TSM	Taiwan Semiconductor Manufacturing Company Ltd. Option Type: Put Strike price: \$75.00 Expires: 09/15/2023	Sale (Full)	\$1,001 - \$15,000	--
354	11/23/2022	Self	--	DEC 22 CME CATTLE	Purchase	\$1,001 - \$15,000	--
355	11/23/2022	Joint	MSFT	Microsoft	Sale	\$15.001 -	--

				Corporation - Common Stock Option Type: Put Strike price: \$225.00 Expires: 09/15/2023	(Full)	\$50,000	
356	11/23/2022	Joint	TSM	Taiwan Semiconductor Manufacturing Company Ltd. Option Type: Put Strike price: \$75.00 Expires: 09/15/2023	Sale (Full)	\$1,001 - \$15,000	--
357	11/23/2022	Joint	MSFT	Microsoft Corporation - Common Stock Option Type: Call Strike price: \$265.00 Expires: 04/21/2023	Purchase	\$1,001 - \$15,000	--
358	12/01/2022	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Purchase	\$100,001 - \$250,000	--
359	12/01/2022	Joint	GOLD	Barrick Gold Corporation Common Stock (BC)	Purchase	\$1,001 - \$15,000	--
360	12/02/2022	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Put Strike price: \$140.00 Expires: 09/15/23	Sale (Full)	\$15,001 - \$50,000	--
361	12/02/2022	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
362	12/05/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$250,001 - \$500,000	--
363	12/06/2022	Joint	GOLD	Barrick Gold Corporation Common Stock (BC)	Purchase	\$50,001 - \$100,000	--
364	12/06/2022	Self	--	JUL 23 CBT CORN	Purchase	\$1,001 - \$15,000	--
365	12/08/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
366	12/09/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
367	12/09/2022	Joint	PYPL	PayPal Holdings, Inc. - Common	Sale (Partial)	\$1,001 - \$15,000	--

				Stock				
368	12/09/2022	Joint	CLX	Clorox Company (The) Common Stock Option Type: Put Strike price: \$140.00 Expires: 05/19/2023	Sale (Full)	\$1,001 - \$15,000	--	
369	12/09/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--	
370	12/09/2022	Joint	KMB	Kimberly-Clark Corporation Common Stock Option Type: Put Strike price: \$135.00 Expires: 04/21/2023	Sale (Full)	\$1,001 - \$15,000	--	
371	12/09/2022	Joint	PG	Procter & Gamble Company (The) Common Stock Option Type: Put Strike price: \$145.00 Expires: 06/16/2023	Sale (Full)	\$1,001 - \$15,000	--	
372	12/09/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--	
373	12/09/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Partial)	\$50,001 - \$100,000	--	
374	12/09/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Put Strike price: \$100.00 Expires: 01/19/2024	Sale (Full)	\$100,001 - \$250,000	--	
375	12/09/2022	Joint	PG	Procter & Gamble Company (The) Common Stock Option Type: Put Strike price: \$150.00 Expires: 07/21/2023	Sale (Full)	\$1,001 - \$15,000	--	
376	12/09/2022	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Partial)	\$100,001 - \$250,000	--	
377	12/15/2022	Joint	DIS	Walt Disney Company (The) Common Stock	Sale (Partial)	\$15,001 - \$50,000	--	
378	12/19/2022	Joint	NU	Nu Holdings Ltd. Class A Ordinary Shares	Purchase	\$1,001 - \$15,000	--	

379	12/23/2022	Self	--	DEC 23 CBT CORN	Sale (Full)	\$1,001 - \$15,000	--
380	12/23/2022	Joint	GOLD	Barrick Gold Corporation Common Stock (BC)	Purchase	\$15,001 - \$50,000	--
381	12/28/2022	Self	--	MAR 23 CBT CORN	Sale (Full)	\$1,001 - \$15,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2020	Spouse	Revolving Charge	-	15.24% (NA)	\$10,001 - \$15,000	American Express New York, NY	n/a
2	2020	Self	Revolving Charge	-	15.74% (NA)	\$10,001 - \$15,000	American Express New York, NY	n/a
3	2012	Joint	Mortgage	0	LIBOR+1.25% (25 years)	\$500,001 - \$1,000,000	Bank of America Tampa, FL	n/a
4	2021	Self	Mortgage	0	2.5% (30 years)	\$500,001 - \$1,000,000	Prime Meridian Tallahassee, FL	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2015 to present	Other (Founding Member)	Tommy Tuberville Foundation Auburn, AL	Nonprofit Organization	n/a
2	Feb 2005 to present	Other (Member)	Tiger Farms, LLC Auburn, Alabama	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Dec 1998	Retirement Systems of Alabama Birmingham, AL	Continuing participation in an employee benefit plan	Pension plan from which the Senator is currently receiving monthly payments.	n/a
2	Jan 1986	University of Miami Miami, FL	Continuing participation in an employee benefit plan	Pension plan from which the Senator is not yet receiving payments or distributions.	n/a
3	Jul 2017	Walt Disney Company Burbank, CA	Continuing participation in an employee benefit plan	401k profit-sharing plan from which the Senator is not currently receiving income	n/a
4	Nov 2009	WB Studio Enterprises Inc. Burbank, CA	Royalty Agreement	Agreement to receive continuing royalties from movie appearance in The Blind Side	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

Financial Disclosures

Periodic Transaction Report for 02/14/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 02/14/2023 @ 7:25 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (24 transactions total) 4 Self 20 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
24	01/23/2023	Joint	<u>GOLD</u>	Barrick Gold Corporation Common Stock (BC)	Stock	Purchase	\$1,001 - \$15,000	--
23	01/18/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$19.00 <i>Expires:</i> 07/21/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
22	01/18/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$23.00 <i>Expires:</i> 07/21/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
21	01/18/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
20	01/13/2023	Joint	<u>UAA</u>	Under Armour, Inc. Class A	Stock	Purchase	\$15,001 - \$50,000	--

				Common Stock				
19	01/12/2023	Joint	<u>CLF</u>	Cleveland- Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/16/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
18	01/11/2023	Joint	<u>V</u>	Visa Inc. Option Type: Put <i>Strike price:</i> \$210.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
17	01/11/2023	Joint	<u>KO</u>	Coca-Cola Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 12/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
16	01/11/2023	Joint	<u>AAPL</u>	Apple Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$125.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
15	01/11/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$170.00 <i>Expires:</i> 07/21/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
14	01/05/2023	Joint	<u>CLF</u>	Cleveland- Cliffs Inc.	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Common
Stock
Option
Type: Call
Strike
price:
\$18.00
Expires:
04/21/2023

13	01/05/2023	Joint	<u>CLF</u>	Cleveland- Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 Expires: 07/21/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
12	01/05/2023	Joint	<u>CLF</u>	Cleveland- Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 Expires: 07/21/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
11	01/05/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Put Strike price: \$23.00 Expires: 01/20/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
10	01/05/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
9	01/05/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
8	01/05/2023	Joint	<u>X</u>	United States Steel Corporation Common	Stock	Sale (Partial)	\$1,001 - \$15,000	--

Stock

7	01/05/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
6	01/05/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
5	01/05/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 Expires: 06/16/2023	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
4	01/30/2023	Self	--	FEB 23 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
3	01/25/2023	Self	--	FEB 23 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
2	01/18/2023	Self	--	CALL JUL 23 CBT CORN	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
1	01/10/2023	Self	--	CALL JUL 23 CBT CORN	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 03/14/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 03/14/2023 @ 12:08 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (2 transactions total) 2 Self 0 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
2	02/15/2023	Self	--	APR 23 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
1	02/07/2023	Self	--	PUT JUL 23 CBT CORN	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 04/12/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 04/12/2023 @ 8:07 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (17 transactions total) 3 Self 14 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
17	03/21/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$21.00 Expires: 10/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
16	03/21/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put Strike price: \$18.00 Expires: 10/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
15	03/21/2023	Joint	<u>TSM</u>	Taiwan Semiconductor Manufacturing Company Ltd. Option Type: Put Strike price: \$75.00 Expires: 09/15/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
14	03/21/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--

13	03/21/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
12	03/21/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
11	03/21/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
10	03/21/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
9	03/21/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
8	03/21/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
7	03/20/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
6	03/10/2023	Joint	<u>KMB</u>	Kimberly-Clark Corporation Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
5	03/06/2023	Joint	<u>BMO</u>	Bank of Montreal	Stock	Sale (Full)	\$1,001 - \$15,000	--
4	03/03/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put Strike price: \$20.00 Expires: 10/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
3	03/24/2023	Self	--	CALL MAY 23 CBT CORN	Commodities/Futures Contract	Sale (Partial)	\$1,001 - \$15,000	--
2	03/09/2023	Self	--	JUL 23 CBT CORN	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
1	03/09/2023	Self	--	MAY 23 CBT	Commodities/Futures	Purchase	\$1,001 -	--

CORN

Contract

\$15,000

Financial Disclosures

Periodic Transaction Report for 05/11/2023 (Amendment 1)

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 05/12/2023 @ 2:34 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (76 transactions total) 0 Self 76 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
76	04/28/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
75	04/28/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
74	04/28/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
73	04/28/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type:	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Call
Strike price:
\$17.00
Expires:
06/21/2024

72	04/28/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$17.00 Expires: 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
71	04/28/2023	Joint	<u>F</u>	Ford Motor Company Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
70	04/27/2023	Joint	<u>F</u>	Ford Motor Company Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
69	04/26/2023	Joint	<u>F</u>	Ford Motor Company Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
68	04/26/2023	Joint	<u>ATVI</u>	Activision Blizzard, Inc - Common Stock	Stock	Sale (Full)	\$100,001 - \$250,000	--
67	04/24/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$18.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
66	04/24/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put Strike price: \$16.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
65	04/24/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put Strike price: \$16.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

64	04/24/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
63	04/24/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$100,001 - \$250,000	--
62	04/24/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
61	04/18/2023	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
60	04/14/2023	Joint	<u>KMB</u>	Kimberly-Clark Corporation Common Stock Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 10/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
59	04/14/2023	Joint	<u>MMM</u>	3M Company Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
58	04/14/2023	Joint	<u>MRO</u>	Marathon Oil Corporation Common Stock Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
57	04/10/2023	Joint	<u>KR</u>	Kroger Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$48.00 <i>Expires:</i> 10/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
56	04/10/2023	Joint	<u>MDLZ</u>	Mondelez International, Inc. - Class A	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Common Stoc
Option Type:
Put
Strike price:
\$70.00
Expires:
01/19/2024

55	04/10/2023	Joint	<u>PARA</u>	Paramount Global - Class B Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
54	04/10/2023	Joint	<u>HPQ</u>	HP Inc. Common Stock Option Type: Put <i>Strike price:</i> \$29.00 <i>Expires:</i> 11/17/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
53	04/04/2023	Joint	<u>TSLA</u>	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
52	04/03/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 10/20/2023	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
51	04/03/2023	Joint	<u>XP</u>	XP Inc. - Class A Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
50	04/03/2023	Joint	<u>VCTR</u>	Victory Capital Holdings, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
49	04/03/2023	Joint	<u>VZ</u>	Verizon Communications Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
48	04/03/2023	Joint	<u>TREX</u>	Trex Company, Inc. Common	Stock	Sale (Full)	\$1,001 - \$15,000	--

				Stock				
47	04/03/2023	Joint	<u>MMM</u>	3M Company Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
46	04/03/2023	Joint	<u>TMO</u>	Thermo Fisher Scientific Inc Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
45	04/03/2023	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Put Strike price: \$250.00 Expires: 11/17/2023	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
44	04/03/2023	Joint	<u>TSLA</u>	Tesla, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
43	04/03/2023	Joint	<u>SKY</u>	Skyline Champion Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
42	04/03/2023	Joint	<u>SSD</u>	Simpson Manufacturing Company, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
41	04/03/2023	Joint	<u>SCI</u>	Service Corporation International Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
40	04/03/2023	Joint	<u>QSR</u>	Restaurant Brands International Inc	Stock	Purchase	\$1,001 - \$15,000	--
39	04/03/2023	Joint	<u>REGN</u>	Regeneron Pharmaceuticals, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
38	04/03/2023	Joint	<u>RRC</u>	Range Resources Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
37	04/03/2023	Joint	<u>RH</u>	RH Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
36	04/03/2023	Joint	<u>PHM</u>	PulteGroup, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
35	04/03/2023	Joint	<u>PAM</u>	Pampa Energia S.A. Pampa Energia S.A.	Stock	Purchase	\$1,001 - \$15,000	--
34	04/03/2023	Joint	<u>NSC</u>	Norfolk Southern Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--

33	04/03/2023	Joint	<u>NDSN</u>	Nordson Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
32	04/03/2023	Joint	<u>NVDA</u>	NVIDIA Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
31	04/03/2023	Joint	<u>MCO</u>	Moody's Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
30	04/03/2023	Joint	<u>MPWR</u>	Monolithic Power Systems, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
29	04/03/2023	Joint	<u>MPWR</u>	Monolithic Power Systems, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
28	04/03/2023	Joint	<u>MTD</u>	Mettler-Toledo International, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
27	04/03/2023	Joint	<u>MMC</u>	Marsh & McLennan Companies, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
26	04/03/2023	Joint	<u>MKSI</u>	MKS Instruments, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
25	04/03/2023	Joint	<u>LMST</u>	Limestone Bancorp, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
24	04/03/2023	Joint	<u>ITW</u>	Illinois Tool Works Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
23	04/03/2023	Joint	<u>IDXX</u>	IDEXX Laboratories, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
22	04/03/2023	Joint	<u>GFI</u>	Gold Fields Limited American Depositary Shares	Stock	Sale (Full)	\$1,001 - \$15,000	--
21	04/03/2023	Joint	<u>FLT</u>	FleetCor Technologies, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
20	04/03/2023	Joint	<u>META</u>	Meta Platforms, Inc. - Class A Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
19	04/03/2023	Joint	<u>ETSY</u>	Etsy, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--

18	04/03/2023	Joint	<u>EW</u>	Edwards Lifesciences Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
17	04/03/2023	Joint	<u>DQ</u>	DAQO New Energy Corp. American Depositary Shares,	Stock	Sale (Full)	\$1,001 - \$15,000	--
16	04/03/2023	Joint	<u>CROX</u>	Crocs, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
15	04/03/2023	Joint	<u>CSCO</u>	Cisco Systems, Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
14	04/03/2023	Joint	<u>ANET</u>	Arista Networks, Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
13	04/03/2023	Joint	<u>AMT</u>	American Tower Corporation (REIT) Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
12	04/03/2023	Joint	<u>ALLE</u>	Allegion plc Ordinary Shares	Stock	Sale (Full)	\$1,001 - \$15,000	--
11	04/03/2023	Joint	<u>ABBV</u>	AbbVie Inc. Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
10	04/03/2023	Joint	<u>GSK</u>	GSK plc American Depositary Shares (Each represent	Stock	Purchase	\$1,001 - \$15,000	--
9	04/03/2023	Joint	<u>GNTX</u>	Gentex Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
8	04/03/2023	Joint	<u>GRMN</u>	Garmin Ltd. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
7	04/03/2023	Joint	<u>DIOD</u>	Diodes Incorporated - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
6	04/03/2023	Joint	<u>CBSH</u>	Commerce Bancshares, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
5	04/03/2023	Joint	<u>CRUS</u>	Cirrus Logic, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
4	04/03/2023	Joint	<u>AVGO</u>	Broadcom Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
3	04/03/2023	Joint	<u>ADSK</u>	Autodesk, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
2	04/03/2023	Joint	<u>APH</u>	Amphenol Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--

1	04/03/2023	Joint	<u>A</u>	Agilent Technologies, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
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Financial Disclosures

Periodic Transaction Report for 05/11/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 05/11/2023 @ 6:06 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (76 transactions total) 0 Self 76 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
76	04/28/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
75	04/28/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
74	04/28/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
73	04/28/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type:	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Call
Strike price:
\$17.00
Expires:
06/21/2024

72	04/28/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$17.00 Expires: 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
71	04/28/2023	Joint	<u>F</u>	Ford Motor Company Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
70	04/27/2023	Joint	<u>F</u>	Ford Motor Company Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
69	04/26/2023	Joint	<u>F</u>	Ford Motor Company Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
68	04/26/2023	Joint	<u>ATVI</u>	Activision Blizzard, Inc - Common Stock	Stock	Sale (Full)	\$100,001 - \$250,000	--
67	04/24/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$18.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
66	04/24/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put Strike price: \$16.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
65	04/24/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put Strike price: \$16.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

64	04/24/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
63	04/24/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$100,001 - \$250,000	--
62	04/24/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
61	04/18/2023	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
60	04/14/2023	Joint	<u>KMB</u>	Kimberly-Clark Corporation Common Stock Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 10/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
59	04/14/2023	Joint	<u>MMM</u>	3M Company Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
58	04/14/2023	Joint	<u>MRO</u>	Marathon Oil Corporation Common Stock Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
57	04/10/2023	Joint	<u>KR</u>	Kroger Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$48.00 <i>Expires:</i> 10/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
56	04/10/2023	Joint	<u>MDLZ</u>	Mondelez International, Inc. - Class A	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Common Stoc
Option Type:
Put
Strike price:
\$70.00
Expires:
01/19/2024

55	04/10/2023	Joint	<u>PARA</u>	Paramount Global - Class B Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 09/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
54	04/10/2023	Joint	<u>HPQ</u>	HP Inc. Common Stock Option Type: Put <i>Strike price:</i> \$29.00 <i>Expires:</i> 11/17/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
53	04/04/2023	Joint	<u>TSLA</u>	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
52	04/03/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 10/20/2023	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
51	04/03/2023	Joint	<u>XP</u>	XP Inc. - Class A Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
50	04/03/2023	Joint	<u>VCTR</u>	Victory Capital Holdings, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
49	04/03/2023	Joint	<u>VZ</u>	Verizon Communications Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
48	04/03/2023	Joint	<u>TREX</u>	Trex Company, Inc. Common	Stock	Sale (Full)	\$1,001 - \$15,000	--

				Stock				
47	04/03/2023	Joint	<u>MMM</u>	3M Company Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
46	04/03/2023	Joint	<u>TMO</u>	Thermo Fisher Scientific Inc Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
45	04/03/2023	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Put Strike price: \$250.00 Expires: 11/17/2023	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
44	04/03/2023	Joint	<u>TSLA</u>	Tesla, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
43	04/03/2023	Joint	<u>SKY</u>	Skyline Champion Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
42	04/03/2023	Joint	<u>SSD</u>	Simpson Manufacturing Company, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
41	04/03/2023	Joint	<u>SCI</u>	Service Corporation International Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
40	04/03/2023	Joint	<u>QSR</u>	Restaurant Brands International Inc	Stock	Purchase	\$1,001 - \$15,000	--
39	04/03/2023	Joint	<u>REGN</u>	Regeneron Pharmaceuticals, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
38	04/03/2023	Joint	<u>RRC</u>	Range Resources Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
37	04/03/2023	Joint	<u>RH</u>	RH Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
36	04/03/2023	Joint	<u>PHM</u>	PulteGroup, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
35	04/03/2023	Joint	<u>PAM</u>	Pampa Energia S.A. Pampa Energia S.A.	Stock	Purchase	\$1,001 - \$15,000	--
34	04/03/2023	Joint	<u>NSC</u>	Norfolk Southern Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--

33	04/03/2023	Joint	<u>NDSN</u>	Nordson Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
32	04/03/2023	Joint	<u>NVDA</u>	NVIDIA Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
31	04/03/2023	Joint	<u>MCO</u>	Moody's Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
30	04/03/2023	Joint	<u>MPWR</u>	Monolithic Power Systems, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
29	04/03/2023	Joint	<u>MPWR</u>	Monolithic Power Systems, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
28	04/03/2023	Joint	<u>MTD</u>	Mettler-Toledo International, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
27	04/03/2023	Joint	<u>MMC</u>	Marsh & McLennan Companies, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
26	04/03/2023	Joint	<u>MKSI</u>	MKS Instruments, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
25	04/03/2023	Joint	<u>LMST</u>	Limestone Bancorp, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
24	04/03/2023	Joint	<u>ITW</u>	Illinois Tool Works Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
23	04/03/2023	Joint	<u>IDXX</u>	IDEXX Laboratories, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
22	04/03/2023	Joint	<u>GFI</u>	Gold Fields Limited American Depositary Shares	Stock	Sale (Full)	\$1,001 - \$15,000	--
21	04/03/2023	Joint	<u>FLT</u>	FleetCor Technologies, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
20	04/03/2023	Joint	<u>META</u>	Meta Platforms, Inc. - Class A Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
19	04/03/2023	Joint	<u>ETSY</u>	Etsy, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--

18	04/03/2023	Joint	<u>EW</u>	Edwards Lifesciences Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
17	04/03/2023	Joint	<u>DQ</u>	DAQO New Energy Corp. American Depositary Shares,	Stock	Sale (Full)	\$1,001 - \$15,000	--
16	04/03/2023	Joint	<u>CROX</u>	Crocs, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
15	04/03/2023	Joint	<u>CSCO</u>	Cisco Systems, Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
14	04/03/2023	Joint	<u>ANET</u>	Arista Networks, Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
13	04/03/2023	Joint	<u>AMT</u>	American Tower Corporation (REIT) Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
12	04/03/2023	Joint	<u>ALLE</u>	Allegion plc Ordinary Shares	Stock	Sale (Full)	\$1,001 - \$15,000	--
11	04/03/2023	Joint	<u>ABBV</u>	AbbVie Inc. Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
10	04/03/2023	Joint	<u>GSK</u>	GSK plc American Depositary Shares (Each represent	Stock	Purchase	\$1,001 - \$15,000	--
9	04/03/2023	Joint	<u>GNTX</u>	Gentex Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
8	04/03/2023	Joint	<u>GRMN</u>	Garmin Ltd. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
7	04/03/2023	Joint	<u>DIOD</u>	Diodes Incorporated - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
6	04/03/2023	Joint	<u>CBSH</u>	Commerce Bancshares, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
5	04/03/2023	Joint	<u>CRUS</u>	Cirrus Logic, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
4	04/03/2023	Joint	<u>AVGO</u>	Broadcom Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
3	04/03/2023	Joint	<u>ADSK</u>	Autodesk, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
2	04/03/2023	Joint	<u>APH</u>	Amphenol Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--

1	04/03/2022	Joint	<u>A</u>	Agilent Technologies, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
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Financial Disclosures

Periodic Transaction Report for 06/15/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 06/15/2023 @ 4:07 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (50 transactions total) 8 Self 42 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
50	05/25/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$18.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
49	05/25/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$17.00 Expires: 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
48	05/25/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$17.00 Expires: 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
47	05/25/2023	Joint	<u>CLF</u>	Cleveland-	Stock	Purchase	\$15,001 -	--

				Cliffs Inc. Common Stock			\$50,000	
46	05/24/2023	Joint	<u>V</u>	Visa Inc. Option Type: Put Strike price: \$210.00 Expires: 09/15/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
45	05/24/2023	Joint	<u>V</u>	Visa Inc. Option Type: Put Strike price: \$210.00 Expires: 09/15/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
44	05/24/2023	Joint	<u>CLF</u>	Cleveland- Cliffs Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
43	05/23/2023	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Call Strike price: \$77.50 Expires: 06/21/2024	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
42	05/23/2023	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Put Strike price: \$75.00 Expires: 06/21/2024	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
41	05/23/2023	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Put Strike price: \$55.00	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Expires:
06/21/2024

40	05/23/2023	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
39	05/23/2023	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Partial)	\$100,001 - \$250,000	--
38	05/22/2023	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option Type: Call Strike price: \$65.00 Expires: 11/17/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
37	05/22/2023	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
36	05/19/2023	Joint	<u>AAPL</u>	Apple Inc. - Common Stock Option Type: Put Strike price: \$165.00 Expires: 03/15/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
35	05/19/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Put Strike price: \$20.00 Expires: 10/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
34	05/19/2023	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Type: Put
Strike
price:
\$57.50
Expires:
11/17/2023

33	05/19/2023	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock Option Type: Put Strike price: \$57.50 Expires: 11/17/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
32	05/18/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$19.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
31	05/17/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock Option Type: Put Strike price: \$155.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
30	05/17/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock Option Type: Put Strike price: \$155.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
29	05/17/2023	Joint	<u>F</u>	Ford Motor Company Common Stock Option	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Type: Call
Strike
price:
\$14.35
Expires:
06/21/2024

28	05/17/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 Expires: 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
27	05/17/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 Expires: 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
26	05/11/2023	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$250,001 - \$500,000	--
25	05/10/2023	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Purchase	\$250,001 - \$500,000	--
24	05/08/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock Option Type: Put Strike price: \$160.00 Expires: 10/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
23	05/08/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock Option	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Type: Put
Strike
price:
\$160.00
Expires:
10/20/2023

22	05/08/2023	Joint	<u>PG</u>	Procter & Gamble Company (The) Common Stock Option Type: Put Strike price: \$150.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
21	05/08/2023	Joint	<u>PG</u>	Procter & Gamble Company (The) Common Stock Option Type: Put Strike price: \$150.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
20	05/08/2023	Joint	<u>PG</u>	Procter & Gamble Company (The) Common Stock Option Type: Put Strike price: \$150.00 Expires: 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
19	05/08/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Put Strike price: \$20.00 Expires: 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
18	05/08/2023	Joint	<u>GOLD</u>	Barrick Gold	Stock Option	Sale	\$1,001 -	--

				Corporation Common Stock (BC) Option Type: Call Strike price: \$22.00 Expires: 12/15/2023		(Partial)	\$15,000	
17	05/08/2023	Joint	<u>GOLD</u>	Barrick Gold Corporation Common Stock (BC) Option Type: Put Strike price: \$19.00 Expires: 12/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
16	05/08/2023	Joint	<u>WBA</u>	Walgreens Boots Alliance, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
15	05/08/2023	Joint	<u>RF</u>	Regions Financial Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
14	05/08/2023	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
13	05/08/2023	Joint	<u>NU</u>	Nu Holdings Ltd. Class A Ordinary Shares	Stock	Purchase	\$15,001 - \$50,000	--
12	05/08/2023	Joint	<u>KEY</u>	KeyCorp Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
11	05/08/2023	Joint	<u>FGBI</u>	First Guaranty Bancshares, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
10	05/08/2023	Joint	<u>FITB</u>	Fifth Third Bancorp - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
9	05/08/2023	Joint	<u>CFG</u>	Citizens Financial Group, Inc. Common	Stock	Purchase	\$1,001 - \$15,000	--

Stock

8	05/31/2023	Self	--	DEC 23 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
7	05/26/2023	Self	--	CALL SEP 23 BEAN MEAL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
6	05/18/2023	Self	--	CALL SEP 23 SOYBEAN OIL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
5	05/16/2023	Self	--	CALL SEP 23 BEAN MEAL	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
4	05/17/2023	Self	--	PUT JUN 23 CBOT SD CORN	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
3	05/15/2023	Self	--	CALL SEP 23 BEAN MEAL	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
2	05/04/2023	Self	--	Jun 23 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
1	05/01/2023	Self	--	Jun 23 CME Cattle	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 07/14/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 07/14/2023 @ 12:55 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (43 transactions total) 14 Self 29 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
43	06/29/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$17.00 Expires: 09/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
42	06/20/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Full)	\$50,001 - \$100,000	--
41	06/20/2023	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock	Stock	Sale (Full)	\$250,001 - \$500,000	--
40	06/20/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
39	06/14/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Call Strike price: \$25.00	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Expires:
06/21/2024

38	06/14/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Put <i>Strike price:</i> \$23.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Partial)	\$15,001 - \$50,000	--
37	06/13/2023	Joint	<u>CCL</u>	Carnival Corporation Common Stock Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
36	06/13/2023	Joint	<u>CCL</u>	Carnival Corporation Common Stock Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 01/19/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
35	06/13/2023	Joint	<u>TSLA</u>	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
34	06/13/2023	Joint	<u>WBA</u>	Walgreens Boots Alliance, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
33	06/13/2023	Joint	<u>UAA</u>	Under Armour, Inc. Class A Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
32	06/12/2023	Joint	<u>DVA</u>	DaVita Inc.	Stock Option	Sale	\$1,001 -	--

				Common Stock Option Type: Put Strike price: \$92.50 Expires: 01/19/2024		(Partial)	\$15,000	
31	06/12/2023	Joint	<u>CVX</u>	Chevron Corporation Common Stock Option Type: Put Strike price: \$155.00 Expires: 06/16/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
30	06/12/2023	Joint	<u>WBA</u>	Walgreens Boots Alliance, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
29	06/12/2023	Joint	<u>PFE</u>	Pfizer, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
28	06/12/2023	Joint	<u>KEY</u>	KeyCorp Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
27	06/12/2023	Joint	<u>FGBI</u>	First Guaranty Bancshares, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
26	06/12/2023	Joint	<u>FGBI</u>	First Guaranty Bancshares, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
25	06/12/2023	Joint	<u>FITB</u>	Fifth Third Bancorp - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
24	06/12/2023	Joint	<u>CFG</u>	Citizens Financial Group, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
23	06/09/2023	Joint	<u>COF</u>	Capital One Financial Corporation Common	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--

Stock
Option
Type: Put
Strike
price:
\$110.00
Expires:
01/19/2024

22	06/08/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock Option Type: Put Strike price: \$20.00 Expires: 06/21/2024	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
21	06/08/2023	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
20	06/06/2023	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
19	06/05/2023	Joint	<u>CVX</u>	Chevron Corporation Common Stock Option Type: Put Strike price: \$150.00 Expires: 12/15/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
18	06/05/2023	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Call Strike price: \$125.00 Expires: 10/20/2023	Stock Option	Sale (Partial)	\$1,001 - \$15,000	--
17	06/02/2023	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
16	06/01/2023	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common	Stock	Purchase	\$100,001 - \$250,000	--

				Stock				
15	06/01/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
14	06/30/2023	Self	--	CALL SEP 23 BEAN MEAL	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
13	06/30/2023	Self	--	CALL SEP 23 BEAN MEAL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
12	06/29/2023	Self	--	CALL SEP 23 BEAN MEAL	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
11	06/27/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
10	06/22/2023	Self	--	NOV 23 CBT SOYBEANS	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
9	06/22/2023	Self	--	DEC 23 CBT CORN	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
8	06/15/2023	Self	--	CALL AUG 23 SOYBEAN OIL	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
7	06/15/2023	Self	--	AUG 23 SOYBEAN OIL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
6	06/14/2023	Self	--	JUN 23 CME CATTLE	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
5	06/07/2023	Self	--	OCT 23 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
4	06/07/2023	Self	--	AUG 23 CME CATTLE	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
3	06/06/2023	Self	--	CALL SEP 23 SOYBEAN OIL	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
2	06/06/2023	Self	--	SEP 23 SOYBEAN OIL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
1	06/01/2023	Self	--	DEC 23 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 08/14/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 08/14/2023 @ 3:13 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (33 transactions total) 16 Self 17 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
33	07/27/2023	Joint	<u>CCL</u>	Carnival Corporation Common Stock Option Type: Put Strike price: \$17.50 Expires: 06/21/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
32	07/25/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$18.00 Expires: 10/20/2023	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
31	07/25/2023	Joint	<u>WBA</u>	Walgreens Boots Alliance, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
30	07/25/2023	Joint	<u>VZ</u>	Verizon Communications Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
29	07/25/2023	Joint	<u>UAA</u>	Under Armour, Inc. Class A Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
28	07/25/2023	Joint	<u>MMM</u>	3M Company	Stock	Purchase	\$1,001 - \$15,000	--
27	07/25/2023	Joint	<u>PFE</u>	Pfizer, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
26	07/25/2023	Joint	<u>KEY</u>	KeyCorp	Stock	Purchase	\$1,001 - \$15,000	--

				Common Stock			\$15,000	
25	07/25/2023	Joint	<u>FGBI</u>	First Guaranty Bancshares, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
24	07/25/2023	Joint	<u>FGBI</u>	First Guaranty Bancshares, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
23	07/25/2023	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
22	07/25/2023	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
21	07/25/2023	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
20	07/24/2023	Joint	<u>PG</u>	Procter & Gamble Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$145.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
19	07/24/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc	Stock	Purchase	\$50,001 - \$100,000	--
18	07/19/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
17	07/13/2023	Joint	<u>LPX</u>	Louisiana-Pacific Corporation Common Stock Option Type: Put <i>Strike price:</i> \$77.50 <i>Expires:</i> 02/16/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
16	07/27/2023	Self	--	OCT 23 SOYBEAN MEAL	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
15	07/26/2023	Self	--	CALL SEP 23 MGE SPRING WHT	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
14	07/25/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
13	07/24/2023	Self	--	CALL SEP 23	Commodities/Futures	Purchase	\$1,001 -	--

				CBT SOYBEANS	Contract		\$15,000	
12	07/24/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
11	07/19/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
10	07/19/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
9	07/14/2023	Self	--	CALL SEP 23 BEAN MEAL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
8	07/12/2023	Self	--	OCT 23 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
7	07/06/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
6	07/05/2023	Self	--	CALL SEP 23 BEAN MEAL	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
5	07/05/2023	Self	--	CALL SEP 23 CBOT SD BEANS	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
4	07/03/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
3	07/03/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
2	07/03/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
1	07/03/2023	Self	--	CALL SEP 23 CBOT SD BEANS	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 09/15/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 09/15/2023 @ 1:35 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (19 transactions total) 15 Self 4 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
19	08/31/2023	Joint	<u>QCOM</u>	Qualcomm Inc	Stock	Purchase	\$100,001 - \$250,000	--
18	08/18/2023	Joint	<u>INTC</u>	Intel Corp Option Type: Put Strike price: \$30.00 Expires: 09/15/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
17	08/14/2023	Joint	<u>F</u>	Ford Motor Company Option Type: Call Strike price: \$14.35 Expires: 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
16	08/14/2023	Joint	<u>F</u>	Ford Motor Company	Stock	Sale (Full)	\$100,001 - \$250,000	--
15	08/23/2023	Self	--	FEB 24 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
14	08/23/2023	Self	--	OCT 23 CME CATTLE	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
13	08/23/2023	Self	--	CALL OCT 23 CBT	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--

CORN

12	08/21/2023	Self	--	CALL OCT 23 CBT CORN	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
11	08/16/2023	Self	--	OCT 23 CME CATTLE	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
10	08/08/2023	Self	--	DEC 23 SOYBEAN MEAL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
9	08/08/2023	Self	--	SEP 23 SOYBEAN MEAL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
8	08/04/2023	Self	--	DEC 23 SOYBEAN MEAL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
7	08/04/2023	Self	--	SEP 23 SOYBEAN MEAL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
6	08/03/2023	Self	--	DEC 23 SOYBEAN MEAL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
5	08/03/2023	Self	--	SEP 23 SOYBEAN MEAL	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
4	08/03/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--
3	08/03/2023	Self	--	CALL SEP 23 CBOT SD BEANS	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
2	08/01/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Purchase	\$1,001 - \$15,000	--
1	08/01/2023	Self	--	CALL SEP 23 CBOT SD BEANS	Commodities/Futures Contract	Sale (Full)	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 10/13/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 10/13/2023 @ 2:31 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (25 transactions total) 1 Self 24 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
25	09/08/2023	Joint	<u>LLY</u>	Eli Lilly and Company Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
24	09/07/2023	Joint	<u>PRU</u>	Prudential Financial, Inc. Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
23	09/07/2023	Joint	<u>TSN</u>	Tyson Foods, Inc. Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
22	09/07/2023	Self	<u>AAPL</u>	Apple Inc. - Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
21	09/28/2023	Joint	<u>KR</u>	Kroger Company (The) Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
20	09/20/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
19	09/18/2023	Joint	<u>FITB</u>	Fifth Third Bancorp - Common Stock	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

Option
Type: Call
Strike
price:
\$30.00
Expires:
05/17/2024

18	09/18/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type: Call <i>Strike</i> <i>price:</i> \$180.00 <i>Expires:</i> 04/19/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
17	09/18/2023	Joint	<u>LPX</u>	Louisiana-Pacific Corporation Common Stock Option Type: Call <i>Strike</i> <i>price:</i> \$70.00 <i>Expires:</i> 05/17/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
16	09/18/2023	Joint	<u>PARA</u>	Paramount Global - Class B Common Stock Option Type: Call <i>Strike</i> <i>price:</i> \$17.50 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
15	09/18/2023	Joint	<u>PARA</u>	Paramount Global - Class B Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
14	09/18/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
13	09/15/2023	Joint	<u>KMB</u>	Kimberly-	Stock	Purchase	\$15,001 -	--

				Clark Corporation Common Stock			\$50,000	
12	09/14/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
11	09/14/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
10	09/13/2023	Joint	<u>INTC</u>	Intel Corporation - Common Stock Option Type: Call Strike price: \$45.00 Expires: 04/19/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
9	09/13/2023	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Put Strike price: \$110.00 Expires: 06/21/2024	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
8	09/13/2023	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Call Strike price: \$125.00 Expires: 03/15/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
7	09/13/2023	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock	Stock	Sale (Full)	\$250,001 - \$500,000	--
6	09/13/2023	Joint	<u>KMB</u>	Kimberly-Clark Corporation Common	Stock	Purchase	\$50,001 - \$100,000	--

				Stock				
5	09/12/2023	Joint	<u>LPX</u>	Louisiana-Pacific Corporation Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
4	09/08/2023	Joint	<u>KMB</u>	Kimberly-Clark Corporation Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
3	09/08/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
2	09/07/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
1	09/01/2023	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Call Strike price: \$125.00 Expires: 03/15/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 11/16/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 11/16/2023 @ 3:10 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (87 transactions total) 0 Self 87 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
87	10/31/2023	Joint	<u>KMB</u>	Kimberly-Clark Corporation Common Stock Option Type: Put <i>Strike price:</i> \$115.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
86	10/31/2023	Joint	<u>CLX</u>	Clorox Company Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Full)	\$50,001 - \$100,000	--
85	10/31/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
84	10/31/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$17.00	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

Expires:
06/21/2024

83	10/31/2023	Joint	<u>KMB</u>	Kimberly-Clark Corporation Common Stock	Stock	Sale (Full)	\$100,001 - \$250,000	--
82	10/31/2023	Joint	<u>HPQ</u>	HP Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
81	10/31/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
80	10/31/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
79	10/31/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
78	10/31/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
77	10/31/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
76	10/31/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock	Stock	Sale (Partial)	\$100,001 - \$250,000	--
75	10/30/2023	Joint	<u>HPQ</u>	HP Inc. Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
74	10/25/2023	Joint	<u>CLX</u>	Clorox Company (The) Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
73	10/23/2023	Joint	<u>HPQ</u>	HP Inc. Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
72	10/23/2023	Joint	<u>HPQ</u>	HP Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
71	10/23/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
70	10/18/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
69	10/17/2023	Joint	<u>AMAT</u>	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$140.00 <i>Expires:</i> 04/19/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
68	10/17/2023	Joint	<u>AMAT</u>	Applied	Stock	Sale	\$1,001 -	--

				Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$140.00 <i>Expires:</i> 04/19/2024	Option	(Full)	\$15,000	
67	10/17/2023	Joint	<u>TSLA</u>	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
66	10/17/2023	Joint	<u>TSLA</u>	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
65	10/17/2023	Joint	<u>TSLA</u>	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
64	10/17/2023	Joint	<u>KO</u>	Coca-Cola Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 12/15/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
63	10/17/2023	Joint	<u>KO</u>	Coca-Cola Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 12/15/2023	Stock Option	Purchase	\$1,001 - \$15,000	--
62	10/17/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock Option	Purchase	\$1,001 - \$15,000	--

Option Type:
Put
Strike price:
\$150.00
Expires:
06/21/2024

61	10/17/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
60	10/17/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
59	10/17/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
58	10/17/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$15,001 - \$50,000	--
57	10/17/2023	Joint	<u>WU</u>	Western Union Company (The) Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
56	10/17/2023	Joint	<u>UNP</u>	Union Pacific Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
55	10/17/2023	Joint	<u>MMM</u>	3M Company Common Stock	Stock	Purchase	\$15,001 - \$50,000	--

54	10/17/2023	Joint	<u>TSLA</u>	Tesla, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
53	10/17/2023	Joint	<u>SCCO</u>	Southern Copper Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
52	10/17/2023	Joint	<u>SWKS</u>	Skyworks Solutions, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
51	10/17/2023	Joint	<u>ROK</u>	Rockwell Automation, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
50	10/17/2023	Joint	<u>RMD</u>	ResMed Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
49	10/17/2023	Joint	<u>PHM</u>	PulteGroup, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
48	10/17/2023	Joint	<u>NXPI</u>	NXP Semiconductors N.V. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
47	10/17/2023	Joint	<u>MCO</u>	Moody's Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
46	10/17/2023	Joint	<u>MNST</u>	Monster Beverage Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
45	10/17/2023	Joint	<u>MPWR</u>	Monolithic Power Systems, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
44	10/17/2023	Joint	<u>MCHP</u>	Microchip Technology Incorporated - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
43	10/17/2023	Joint	<u>MMC</u>	Marsh & McLennan Companies, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
42	10/17/2023	Joint	<u>ITW</u>	Illinois Tool Works Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
41	10/17/2023	Joint	<u>GGG</u>	Graco Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
40	10/17/2023	Joint	<u>FTNT</u>	Fortinet, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
39	10/17/2023	Joint	<u>META</u>	Meta Platforms, Inc. - Class A Common Stock	Stock	Purchase	\$1,001 - \$15,000	--

38	10/17/2023	Joint	<u>KO</u>	Coca-Cola Company (The) Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
37	10/17/2023	Joint	<u>BMJ</u>	Bristol-Myers Squibb Company Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
36	10/17/2023	Joint	<u>AMAT</u>	Applied Materials, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
35	10/17/2023	Joint	<u>AON</u>	AON Plc	Stock	Purchase	\$1,001 - \$15,000	--
34	10/17/2023	Joint	<u>WMS</u>	Advanced Drainage Systems, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
33	10/17/2023	Joint	<u>ABBV</u>	AbbVie Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
32	10/16/2023	Joint	<u>SKY</u>	Skyline Champion Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
31	10/16/2023	Joint	<u>RRC</u>	Range Resources Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
30	10/16/2023	Joint	<u>ODFL</u>	Old Dominion Freight Line, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
29	10/16/2023	Joint	<u>NSC</u>	Norfolk Southern Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
28	10/16/2023	Joint	<u>MRK</u>	Merck & Company, Inc. Common Stock (new)	Stock	Sale (Full)	\$1,001 - \$15,000	--
27	10/16/2023	Joint	<u>JNJ</u>	Johnson & Johnson Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
26	10/16/2023	Joint	<u>JKHY</u>	Jack Henry & Associates, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
25	10/16/2023	Joint	<u>GSK</u>	Gsk Plc ADR	Stock	Sale (Full)	\$1,001 - \$15,000	--
24	10/16/2023	Joint	<u>GIS</u>	General Mills, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--

23	10/16/2023	Joint	<u>DIOD</u>	Diodes Incorporated - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
22	10/16/2023	Joint	<u>CBSH</u>	Commerce Bancshares, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
21	10/16/2023	Joint	<u>CRUS</u>	Cirrus Logic, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
20	10/16/2023	Joint	<u>CSX</u>	CSX Corporation - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
19	10/16/2023	Joint	<u>ADP</u>	Automatic Data Processing, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
18	10/16/2023	Joint	<u>A</u>	Agilent Technologies	Stock	Sale (Partial)	\$1,001 - \$15,000	--
17	10/11/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type: Call <i>Strike price:</i> \$180.00 <i>Expires:</i> 04/19/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
16	10/11/2023	Joint	<u>X</u>	United States Steel Corp Option Type: Call <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
15	10/11/2023	Joint	<u>LPX</u>	Louisiana-Pacific Corporation Common Stock Option Type: Call <i>Strike price:</i> \$70.00 <i>Expires:</i> 05/17/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
14	10/11/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock Option Type:	Stock Option	Sale (Full)	\$15,001 - \$50,000	--

Put
Strike price:
\$150.00
Expires:
06/21/2024

13	10/11/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
12	10/11/2023	Joint	<u>X</u>	United States Steel Corporation Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
11	10/11/2023	Joint	<u>LPX</u>	Louisiana-Pacific Corporation Common Stock Option Type: Put <i>Strike price:</i> \$52.50 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
10	10/11/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
9	10/11/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
8	10/11/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
7	10/11/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
6	10/11/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
5	10/11/2023	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
4	10/11/2023	Joint	<u>NU</u>	Nu Holdings Ltd Cl A	Stock	Sale (Full)	\$100,001 - \$250,000	--
3	10/11/2023	Joint	<u>LPX</u>	Louisiana-Pacific	Stock	Sale (Full)	\$100,001 -	--

				Corporation Common Stock			\$250,000	
2	10/11/2023	Joint	<u>KR</u>	Kroger Company	Stock	Sale (Full)	\$50,001 - \$100,000	--
1	10/03/2023	Joint	<u>KR</u>	Kroger Company	Stock	Purchase	\$50,001 - \$100,000	--

Financial Disclosures

Periodic Transaction Report for 12/15/2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 12/15/2023 @ 12:07 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (13 transactions total) 0 Self 13 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
13	11/22/2023	Joint	<u>MDLZ</u>	Mondelez International, Inc. - Class A Common Stock Option Type: Put Strike price: \$70.00 Expires: 01/19/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
12	11/21/2023	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call Strike price: \$20.00 Expires: 07/19/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
11	11/21/2023	Joint	<u>KEY</u>	KeyCorp Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
10	11/21/2023	Joint	<u>FGBI</u>	First Gury Banc	Stock	Sale (Full)	\$15,001 - \$50,000	--
9	11/20/2023	Joint	<u>ABBV</u>	Abbvie Inc Option Type: Put Strike	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

price:
\$130.00
Expires:
09/20/2024

8	11/16/2023	Joint	<u>BMY</u>	Bristol-Myers Squibb Company Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
7	11/15/2023	Joint	<u>AMAT</u>	Applied Materials Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 09/20/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
6	11/15/2023	Joint	<u>MCHP</u>	Microchip Technology Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 10/18/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
5	11/14/2023	Joint	<u>OXY</u>	Occidental Petroleum Corp Option Type: Call <i>Strike price:</i> \$65.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
4	11/14/2023	Joint	<u>OXY</u>	Occidental Petroleum Corp Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 05/17/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
3	11/09/2023	Joint	<u>CLF</u>	Cleveland-	Stock	Sale	\$1,001 -	--

				Cliffs Inc Option Type: Call <i>Strike</i> <i>price:</i> \$20.00 <i>Expires:</i> 01/17/2025	Option	(Full)	\$15,000	
2	11/09/2023	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock Option Type: Put <i>Strike</i> <i>price:</i> \$305.00 <i>Expires:</i> 09/20/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
1	11/07/2023	Joint	<u>X</u>	United States Steel Corp Option Type: Put <i>Strike</i> <i>price:</i> \$20.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 01/12/2024

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 01/12/2024 @ 12:31 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (15 transactions total) 0 Self 15 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
15	12/26/2023	Joint	<u>PARA</u>	Paramount Global CI B	Stock	Purchase	\$15,001 - \$50,000	--
14	12/26/2023	Joint	<u>VZ</u>	Verizon Communications Inc	Stock	Sale (Partial)	\$15,001 - \$50,000	--
13	12/26/2023	Joint	<u>RF</u>	Regions Financial Corp	Stock	Sale (Full)	\$15,001 - \$50,000	--
12	12/26/2023	Joint	<u>KEY</u>	Keycorp	Stock	Purchase	\$15,001 - \$50,000	--
11	12/19/2023	Joint	<u>UAA</u>	Under Armour	Stock	Sale (Full)	\$15,001 - \$50,000	--
10	12/18/2023	Joint	<u>CVX</u>	Chevron Corp Option Type: Call <i>Strike price:</i> \$160.00 <i>Expires:</i> 06/21/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
9	12/18/2023	Joint	<u>GOLD</u>	Barrick Gold Corp Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
8	12/18/2023	Joint	<u>GOLD</u>	Barrick Gold Corp Option Type:	Stock Option	Sale (Full)	\$15,001 - \$50,000	--

Call
Strike price:
\$20.00
Expires:
01/17/2025

7	12/18/2023	Joint	<u>CVX</u>	Chevron Corp Option Type: Put <i>Strike price:</i> \$145.00 <i>Expires:</i> 09/20/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
6	12/18/2023	Joint	<u>GOLD</u>	Barrick Gold Corp	Stock	Purchase	\$50,001 - \$100,000	--
5	12/14/2023	Joint	<u>CVX</u>	Chevron Corp	Stock	Purchase	\$50,001 - \$100,000	--
4	12/13/2023	Joint	<u>CVX</u>	Chevron Corp	Stock	Purchase	\$100,001 - -\$250,000	--
3	12/12/2023	Joint	<u>DVA</u>	Davita Healthcare Partners Inc Option Type: Put <i>Strike price:</i> \$100.00 <i>Expires:</i> 07/19/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
2	12/12/2023	Joint	<u>GOLD</u>	Barrick Gold Corp	Stock	Purchase	\$15,001 - \$50,000	--
1	12/11/2023	Joint	<u>GOLD</u>	Barrick Gold Corp	Stock	Purchase	\$1,001 - \$15,000	--

Annual Report for Calendar 2023

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 07/31/2024 @ 9:25 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☐ I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse’s behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Pension	Retirement Systems of Alabama Montgomery, AL	\$47,071.32	n/a
2	Self	Royalties	WB Studio Enterprises Inc. Burbank, CA	\$1,659.16	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Hancock Whitney Bank (Santa Rosa Beach, FL) <i>Type: Checking,</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
2	Rental Property 1 <i>Description: Condominium (Panama City Beach, FL) Filer comment: Asset</i>	Real Estate Residential	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000

sold 7/5/2023

3	Prudential Variable Annuity <i>Provider: Prudential</i>	Annuity Variable Annuity	Self			
3.1	AST Preservation Asset Allocation Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.2	AST J.P. Morgan Global Thematic	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.3	AST Prudential Growth Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.4	AST T. Rowe Price Asset Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.5	AST Balanced Asset Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.6	AST Investment Grade Bond	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
4	Lincoln IRA	Retirement Plans IRA	Self			
4.1	Fidelity (FDRXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
4.2	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
4.3	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
4.4	<u>C</u> - Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.5	<u>NUE</u> - Nucor Corporation	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.6	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.7	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.8	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.9	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.10	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

4.11	<u>FSPSX</u> - Fidelity International Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.12	<u>FSMDX</u> - Fidelity Mid Cap Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.13	<u>SGIIX</u> - First Eagle Global Fund Class I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.14	<u>EIBLX</u> - Eaton Vance Floating - Rate Fund Institutional Cla	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.15	<u>PIPNX</u> - PIMCO Income Fund Class I-3	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
4.16	<u>TDIV</u> - First Trust NASDAQ Technology Dividend Index Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.17	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.18	<u>XLP</u> - Consumer Staples Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.19	<u>XLU</u> - Utilities Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.20	<u>XLV</u> - Health Care Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.21	<u>XAR</u> - SPDR S&P Aerospace & Defense ETF	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.22	<u>VIG</u> - Vanguard Dividend Appreciation Index Fund ETF Shar	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.23	<u>QQQ</u> - Invesco QQQ Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.24	<u>PFF</u> - iShares Preferred and Income Securities ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.25	<u>DTD</u> - WisdomTree U.S. Total Dividend Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.26	<u>BMJ</u> - Bristol-Myers Squibb Company Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.27	<u>IDV</u> - iShares International Select Dividend ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.28	PayPal Holdings, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

4.29	WAB - WABTEC COM	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
4.30	ABNFX - The Bond Fund of America Class F2	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.31	RSP - Invesco S&P 500 Equal Weight ETF	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.32	DHS - Wisdomtree U.S. High Dividend Fund	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.33	US Treas Bills Zero CPN <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Self	None (or less than \$1,001)	None,	None (or less than \$201)
4.34	<u>FSMSX</u> - FS Multi- Strategy Alternatives Fund Class I	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4.35	<u>PQIIX</u> - Pimco Dividend and Income Fund Class Instl	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
4.36	Federal Home LN MTG Corp MTN 5.2000% <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
5	Lincoln Joint Brokerage Account	Brokerage/Managed Account	Joint			
5.1	Fidelity (SPAXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Dividends,	\$5,001 - \$15,000
5.2	<u>ABBV</u> - AbbVie Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
5.3	<u>ACN</u> - Accenture plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
5.4	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
5.5	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
5.6	<u>AWK</u> - American Water Works Company, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.7	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.8	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$201 - \$1,000
5.9	<u>ADM</u> - Archer-Daniels- Midland Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.10	<u>CVS</u> - CVS Health Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

5.11	<u>STZ</u> - Constellation Brands, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.12	<u>DEO</u> - Diageo plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.13	<u>DUK</u> - Duke Energy Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
5.14	<u>GS</u> - The Goldman Sachs Group, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.15	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.16	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.17	<u>JPM</u> - JPMorgan Chase & Co.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.18	<u>KMB</u> - Kimberly-Clark Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.19	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
5.20	<u>LMT</u> - Lockheed Martin Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.21	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
5.22	<u>MCD</u> - McDonald's Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.23	<u>MDT</u> - Medtronic plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.24	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
5.25	<u>NSRGY</u> - Nestlé S.A.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.26	<u>NEE</u> - NextEra Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.27	<u>ORCL</u> - Oracle Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.28	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.29	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.30	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
5.31	<u>PG</u> - The Procter & Gamble Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.32	<u>PRU</u> - Prudential Financial, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
5.33	<u>QCOM</u> - QUALCOMM Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.34	<u>SLF</u> - Sun Life Financial Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
5.35	<u>TXN</u> - Texas Instruments	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500

Incorporated						
5.36	<u>TSCO</u> - Tractor Supply Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.37	<u>TRV</u> - The Travelers Companies, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.38	<u>TSN</u> - Tyson Foods, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
5.39	<u>UNP</u> - Union Pacific Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.40	<u>UPS</u> - United Parcel Service, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.41	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.42	BIRMINGHAM ALA GO WTS SER (09088RJ31) <i>Rate/Coupon: 5%</i> <i>Matures: 12/01/2043</i>	Government Securities Municipal Security	Joint	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
5.43	<u>CARR</u> - Carrier Global Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5.44	<u>BMJ</u> - Bristol-Myers Squibb Company	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
5.45	<u>CTVA</u> - Corteva, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5.46	<u>OTIS</u> - Otis Worldwide Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5.47	<u>DGX</u> - Quest Diagnostics Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
5.48	<u>OC</u> - Owens Corning Common Stock New	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
5.49	iShares National Muni Bond ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Dividends,	\$201 - \$1,000
5.50	<u>CSX</u> - CSX Corp	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
6	Southern States Bank (Auburn, AL) <i>Type: Checking,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
7	Fifth Third Bank (Cincinnati, OH) <i>Type: Checking, Savings,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
8	Alabama Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	Other, (Pension Payment)	Other \$47,071.32
9	University of Miami Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
10	Disney SIP	Retirement Plans IRA	Self			
10.1	BTC LifePath Ret F	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than

\$201)

11	LPL Financial Joint Brokerage Account	Brokerage/Managed Account	Joint			
11.1	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.2	<u>GOOG</u> - Alphabet Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.3	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$250,001 - \$500,000	Dividends,	\$1,001 - \$2,500
11.4	<u>ANET</u> - Arista Networks, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.5	<u>ASML</u> - ASML Holding N.V.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.6	<u>ADP</u> - Automatic Data Processing, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
11.7	<u>KO</u> - The Coca-Cola Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.8	<u>CSX</u> - CSX Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.9	<u>EW</u> - Edwards Lifesciences Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Capital Gains,	\$5,001 - \$15,000
11.10	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
11.11	<u>META</u> META Platforms Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.12	<u>FDS</u> - FactSet Research Systems Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.13	<u>FLT</u> - FLEETCOR Technologies, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Capital Gains,	\$2,501 - \$5,000
11.14	<u>GRMN</u> - Garmin Ltd.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.15	<u>GNTX</u> - Gentex Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
11.16	<u>GGG</u> - Graco Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.17	<u>IEX</u> - IDEX Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.18	<u>ITW</u> - Illinois Tool Works Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$5,001 - \$15,000
11.19	<u>INTC</u> - Intel Corporation	Corporate Securities Stock	Joint	\$500,001 - \$1,000,000	Dividends,	\$5,001 - \$15,000
11.20	<u>JKHY</u> - Jack Henry & Associates, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
11.21	<u>JNJ</u> - Johnson &	Corporate Securities	Joint	None (or less	Dividends,	\$2,501 -

	Johnson	Stock		than \$1,001)	Capital Gains,	\$5,000
11.22	<u>KLAC</u> - KLA Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.23	<u>LRCX</u> - Lam Research Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.24	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.25	<u>MTD</u> - Mettler-Toledo International Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	None, Capital Gains,	\$5,001 - \$15,000
11.26	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
11.27	<u>MNST</u> - Monster Beverage Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.28	<u>MCO</u> - Moody's Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$5,001 - \$15,000
11.29	<u>NVDA</u> - NVIDIA Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.30	<u>PAYX</u> - Paychex, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.31	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.32	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
11.33	<u>UI</u> - Ubiquiti Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.34	<u>VRSK</u> - Verisk Analytics, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.35	<u>V</u> - Visa Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.36	<u>AMAT</u> - Applied Materials, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.37	<u>GOLD</u> - Barrick Gold Corporation	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
11.38	<u>CPRT</u> - Copart, Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.39	<u>INFY</u> - Infosys Limited	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.40	<u>NVO</u> - Novo Nordisk A/S	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.41	<u>SCCO</u> - Southern Copper Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than

						\$201)
11.42	<u>UNP</u> - Union Pacific Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.43	Goldman Sachs Bank USA (New York, New York) Type: Brokerage Sweep Account,	Bank Deposit	Joint	\$250,001 - \$500,000	Interest,	None (or less than \$201)
11.44	<u>ALLE</u> - Allegion plc Ordinary Shares	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
11.45	<u>ADI</u> - Analog Devices, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
11.46	<u>CTAS</u> - Cintas Corporation - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.47	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock	Corporate Securities Stock	Joint	\$1,000,001 - \$5,000,000	Dividends, Capital Gains,	None (or less than \$201)
11.48	<u>HSY</u> - The Hershey Company Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.49	<u>IDXX</u> - IDEXX Laboratories, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.50	<u>KEYS</u> - Keysight Technologies Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.51	<u>MPWR</u> - Monolithic Power Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$2,501 - \$5,000
11.52	<u>OXY</u> - Occidental Petroleum Corporation Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
11.53	<u>ODFL</u> - Old Dominion Freight Line, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.54	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends, Capital Gains,	None (or less than \$201)
11.55	<u>PG</u> - Procter & Gamble Company (The) Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.56	<u>QCOM</u> - QUALCOMM Incorporated - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
11.57	<u>REGN</u> - Regeneron Pharmaceuticals, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.58	<u>ROK</u> - Rockwell Automation, Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.59	<u>SWKS</u> - Skyworks	Corporate Securities	Joint	None (or less	Dividends,	\$2,501 -

	Solutions, Inc. - Common Stock	Stock		than \$1,001)	Capital Gains,	\$5,000
11.60	SSBK - Southern States Bancshares, Inc. - Common Stock	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
11.61	TER - Teradyne, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.62	X - United States Steel Corporation Common Stock	Corporate Securities Stock	Joint	\$500,001 - \$1,000,000	Dividends, Rent/Royalties,	\$100,001 - \$1,000,000
11.63	WAT - Waters Corporation Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
11.64	CLF - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$27.00 Call Expires: 01/20/23</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.65	CLF - Cleveland-Cliffs Inc. Common Stock <i>Strike price: \$22.00 Put Expires: 01/20/23</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None, Capital Gains,	None (or less than \$201)
11.66	Goldman Sachs (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	\$100,001 - \$250,000	Dividends,	None (or less than \$201)
11.67	ABBV - AbbVie Inc. Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$5,001 - \$15,000
11.68	AME - Ametek Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.69	AMGN - Amgen Inc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
11.70	AMT - American Tower Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.71	ATVI - Activision Blizzard	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
11.72	BMO - Bank of Montreal	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.73	CROX - Crocs Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.74	CDNS - Cadence Design Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.75	CFG - Citizens Financial Group, Inc. Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
11.76	CSCO - Cisco Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.77	DOW - Dow Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.78	DQ - DAQO New Energy Corp. American	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000

Depository Shares,

11.79	<u>ELS</u> - Equity Lifestyle Properties	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.80	<u>ETSY</u> - Etsy Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.81	<u>EXR</u> - Extra Space Storage Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.82	<u>FGBI</u> - First Guaranty Bancshares, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.83	<u>FITB</u> - Fifth Third Bancorp	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
11.84	<u>GFI</u> - Gold Fields Ltd ADR	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.85	<u>GIS</u> - General Mills	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.86	<u>GLPI</u> - Gaming and Leisure Properties, Inc. - Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.87	<u>GOOG</u> - Alphabet Inc. - Class C Capital Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.88	<u>HUMA</u> - Humacyte Inc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
11.89	<u>IBM</u> - International Business Machines Corporat	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.90	<u>KEY</u> - Keycorp	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
11.91	<u>MKSI</u> - Mks Instruments Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
11.92	<u>MMC</u> - Marsh & McLennan Companies	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$5,001 - \$15,000
11.93	<u>MMM</u> - 3M Company	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
11.94	<u>MRK</u> - Merck & Co	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.95	<u>NDAQ</u> - Nasdaq Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.96	<u>NU</u> - Nu Holdings Ltd Cl A	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
11.97	<u>PFE</u> - Pfizer Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.98	<u>PAYC</u> - Paycom Software Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.99	<u>PHM</u> - Pultegroup	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$2,501 - \$5,000
11.100	<u>RACE</u> - Ferrari N.V.	Corporate Securities	Joint	\$1,001 -	Dividends,	None (or

		Stock		\$15,000		less than \$201)
11.101	<u>RELX</u> - Relx Plc ADR	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.102	<u>RF</u> - Regions Financial Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.103	<u>RH</u> - Rh Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.104	<u>SCI</u> - Service Corp International	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.105	<u>LMST</u> - Limestone Bancorp, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.106	<u>TMO</u> - Thermo Fisher Scientific Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.107	<u>TREX</u> - Trex Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.108	<u>VCTR</u> - Victory Capital Holdings Inc Cl A	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.109	<u>VRTX</u> - Vertex Pharmaceutic	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.110	<u>VZ</u> - Verizon Communications Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
11.111	<u>WBA</u> - Walgreens Boots Alliance	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
11.112	<u>XOM</u> - Exxon Mobil Corp	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
11.113	<u>QCOM</u> - QUALCOMM Incorporated - Common Stock <i>Strike price: \$140.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None,	None (or less than \$201)
11.114	<u>INTC</u> - Intel Corporation - Common Stock <i>Strike price: \$30.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.115	<u>MSFT</u> - Microsoft Corporation - Common Stock <i>Strike price: \$225.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.116	<u>MSFT</u> - Microsoft Corporation - Common Stock <i>Strike price: \$265.00 Call Expires: 04/21/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None, Capital Gains,	\$2,501 - \$5,000
11.117	<u>X</u> - United States Steel Corporation Common Stock <i>Strike price: \$30.00 Call Expires: 01/20/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.118	<u>X</u> - United States Steel	Corporate Securities	Joint	None (or less	Capital Gains,	\$2,501 -

	Corporation Common Stock <i>Strike price: \$25.00 Call Expires: 04/21/2023</i>	Stock Option		than \$1,001)		\$5,000
11.119	X - United States Steel Corporation Common Stock <i>Strike price: \$23.00 Put Expires: 01/20/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.120	CVX - Chevron Corporation Common Stock	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends,	None (or less than \$201)
11.121	AMD - Advanced Micro Devices, Inc. - Common Stock	Corporate Securities Stock	Joint	\$15,001 - \$50,000	None,	None (or less than \$201)
11.122	ARKK - Ark Innovation ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$250,001 - \$500,000	None,	None (or less than \$201)
11.123	ARKW - Ark Next Generation Internet ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	None, Capital Gains,	\$50,001 - \$100,000
11.124	IYE - iShares U.S. Energy ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
11.125	CLX - Clorox Company (The) Common Stock <i>Strike price: \$140.00 Put Expires: 05/19/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.126	CVX - Chevron Corporation Common Stock <i>Strike price: \$155.00 Put Expires: 06/16/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.127	F - Ford Motor Company <i>Strike price: \$17.00 Put Expires: 06/16/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None, Capital Gains,	None (or less than \$201)
11.128	Kimberly Clark Corp <i>Strike price: \$135.00 Put Expires: 04/21/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None, Capital Gains,	\$1,001 - \$2,500
11.129	PG - Procter & Gamble Company <i>Strike price: \$145.00 Put Expires: 06/16/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None, Capital Gains,	\$2,501 - \$5,000
11.130	PG - Procter & Gamble Company <i>Strike price: \$150.00 Put Expires: 07/21/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	None, Capital Gains,	\$2,501 - \$5,000
11.131	TSM - Taiwan Semiconductor Manufacturing Company Ltd. <i>Strike price: \$75.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.132	X - United States Steel Corporation Common	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000

Stock
Strike price: \$25.00 | Call
Expires: 03/17/2023

11.133	XBI SPDR Series Trust S&P Biotech ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$50,001 - \$100,000	None,	None (or less than \$201)
11.134	NLR Vaneck Uranium + Nuclear Energy ETF	Mutual Funds Exchange Traded Fund/Note	Joint	\$15,001 - \$50,000	None, Dividends,	\$2,501 - \$5,000
11.135	WMS Advanced Drain System Inc DEL	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.136	APH AMPHENOL CORP CLASS A NEW	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.137	AON AON PLC CL A	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.138	ADSK Autodesk Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.139	BMJ BRISTOL MYERS SQUIBB COMPANY	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.140	AVGO BROADCOM INC	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.141	FTNT FORTINET INC	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.142	PAM PAMPA ENERGIA S A SPON ADR LEVEL 1	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.143	PARA Paramount Global CL B	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	None (or less than \$201)
11.144	MCHP Microchip Technology Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.145	NDSN Nordson Corp	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.146	NXPI NXP Semiconductors N V	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.147	RMD RESMED INC	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.148	QSR Restaurant Brands INTL Inc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.149	SSD Simpson Manufacturing Co	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.150	WU Western Union Co	Corporate Securities	Joint	\$1,001 -	Dividends,	\$201 -

		Stock		\$15,000		\$1,000
11.151	XP XP Inc CL A	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
11.152	<u>A</u> - Agilent Technologies	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.153	Cleveland Cliffs Inc <i>Strike price: \$18.00 Call Expires: 04/21/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.154	Cleveland Cliffs Inc <i>Strike price: \$20.00 Call Expires: 07/21/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.155	Cleveland Cliffs Inc <i>Strike price: \$20.00 Call Expires: 06/16/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.156	Cleveland Cliffs Inc <i>Strike price: \$23.00 Call Expires: 07/21/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.157	Cleveland Cliffs Inc <i>Strike price: \$17.00 Call Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.158	Cleveland Cliffs Inc <i>Strike price: \$18.00 Call Expires: 10/20/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.159	Cleveland Cliffs Inc <i>Strike price: \$21.00 Call Expires: 10/20/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.160	<u>GOLD</u> - Barrick Gold Corp <i>Strike price: \$22.00 Call Expires: 12/15/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.161	Louisiana Pacific <i>Strike price: \$70.00 Call Expires: 05/17/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
11.162	<u>OXY</u> - Occidental Petroleum Corp <i>Strike price: \$65.00 Call Expires: 11/17/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
11.163	<u>QCOM</u> - Qualcomm Inc <i>Strike price: \$125.00 Call Expires: 03/15/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
11.164	<u>QCOM</u> - Qualcomm Inc <i>Strike price: \$125.00 Call Expires: 10/20/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.165	<u>TXN</u> - Texas Instruments <i>Strike price: \$180.00 Call Expires: 04/19/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
11.166	<u>AAPL</u> - Apple Inc <i>Strike price: \$125.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.167	<u>XBI</u> - SPDR Series Trust SPDR S&P Biotech ETF <i>Strike price: \$95.00 Call Expires: 01/19/24</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.168	<u>MDLZ</u> - Mondelez Intl Inc	Corporate Securities	Joint	None (or less	Capital Gains,	\$5,001 -

	<i>Strike price: \$70.00 Put Expires: 01/19/2024</i>	Stock Option		than \$1,001)		\$15,000
11.169	<u>MRO</u> - Marathon Oil Corp <i>Strike price: \$25.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.170	<u>MSFT</u> - Microsoft Corp <i>Strike price: \$250.00 Put Expires: 11/17/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.171	<u>MRO</u> - Marathon Oil Corp <i>Strike price: \$23.00 Put Expires: 04/21/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.172	<u>NVDA</u> - Nvidia Corp <i>Strike price: \$150.00 Put Expires: 03/17/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.173	<u>OXY</u> - Occidental Petroleum Corp <i>Strike price: \$60.00 Put Expires: 05/19/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.174	<u>OXY</u> - Occidental Petroleum Corp <i>Strike price: \$57.50 Put Expires: 11/17/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.175	<u>QQQ</u> - Invesco QQQ Trust, Series 1 <i>Strike price: \$275.00 Put Expires: 03/15/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.176	<u>TSLA</u> - Tesla Inc <i>Strike price: \$190.00 Put Expires: 12/15/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
11.177	<u>TXN</u> - Texas Instruments <i>Strike price: \$170.00 Put Expires: 07/21/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.178	<u>V</u> - Visa Inc <i>Strike price: \$210.00 Put Expires: 09/15/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.179	<u>US Steel Corp</u> <i>Strike price: \$20.00 Put Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.180	<u>US Steel Corp</u> <i>Strike price: \$20.00 Put Expires: 10/20/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.181	<u>XOM</u> - Exxon Mobil Corp <i>Strike price: \$100.00 Put Expires: 06/16/2023</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.182	<u>CRUS</u> - Cirrus Logic Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.183	<u>CLX</u> - Clorox Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
11.184	<u>CBSH</u> - Commerce Bancshares	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.185	<u>DIOD</u> - Diodes Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.186	<u>F</u> - Ford Motor Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000

11.187	<u>GSK</u> - Gsk Plc ADR	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.188	Kimberly Clark Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
11.189	<u>KR</u> - Kroger Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
11.190	ISHS US ENGY SCTR	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
11.191	<u>HPQ</u> - HP Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
11.192	<u>LPX</u> - Louisiana-Pacific Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
11.193	<u>NSC</u> - Norfolk Southern Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.194	<u>RRC</u> - Range Resources Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.195	<u>RF</u> - Regions Financial Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.196	<u>SKY</u> - Skyline Champion Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
11.197	<u>UAA</u> - Under Armour	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
11.198	<u>GOLD</u> - Barrick Gold Corp <i>Strike price: \$20.00 Call Expires: 01/17/2025</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	Capital Gains,	None (or less than \$201)
11.199	<u>CVX</u> - Chevron Corp <i>Strike price: \$160.00 Call Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.200	<u>AAPL</u> - Apple Inc <i>Strike price: \$165.00 Put Expires: 03/15/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.201	<u>ABBV</u> - Abbvie Inc <i>Strike price: \$130.00 Put Expires: 09/20/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.202	<u>AMAT</u> - Applied Materials <i>Strike price: \$135.00 Put Expires: 09/20/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.203	<u>AMAT</u> - Applied Materials <i>Strike price: \$140.00 Put Expires: 04/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.204	<u>BMJ</u> - Bristol-Myers Squibb Company <i>Strike price: \$50.00 Put Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.205	<u>CCL</u> - Carnival Corp <i>Strike price: \$15.00 Put Expires: 01/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.206	<u>CCL</u> - Carnival Corp	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than

	<i>Strike price: \$17.50 Put Expires: 06/21/2024</i>					\$201)
11.207	CLF - Cleveland-Cliffs Inc <i>Strike price: \$16.00 Put Expires: 01/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.208	CLF - Cleveland-Cliffs Inc <i>Strike price: \$20.00 Call Expires: 07/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.209	CLF - Cleveland-Cliffs Inc <i>Strike price: \$19.00 Call Expires: 01/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.210	CLF - Cleveland-Cliffs Inc <i>Strike price: \$18.00 Call Expires: 01/19/2024</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	Capital Gains,	None (or less than \$201)
11.211	CLF - Cleveland-Cliffs Inc <i>Strike price: \$20.00 Call Expires: 01/17/2025</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.212	CLF - Cleveland-Cliffs Inc <i>Strike price: \$17.00 Call Expires: 01/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.213	CLF - Cleveland-Cliffs Inc <i>Strike price: \$15.00 Put Expires: 01/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.214	CLF - Cleveland-Cliffs Inc <i>Strike price: \$17.00 Call Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	Capital Gains,	None (or less than \$201)
11.215	CLF - Cleveland-Cliffs Inc <i>Strike price: \$20.00 Call Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.216	CVX - Chevron Corp <i>Strike price: \$145.00 Put Expires: 09/20/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.217	FITBO - Fifth Third Bancorp <i>Strike price: \$30.00 Call Expires: 05/17/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.218	INTC - Intel Corp <i>Strike price: \$45.00 Call Expires: 04/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.219	OXY - Occidental Petroleum Corp <i>Strike price: \$65.00 Call Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.220	OXY - Occidental Petroleum Corp <i>Strike price: \$60.00 Put Expires: 05/17/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)

11.221	<u>OXY</u> - Occidental Petroleum Corp <i>Strike price: \$60.00 Put Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.222	<u>PARA</u> - Paramount Global CI B <i>Strike price: \$17.50 Call Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.223	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$77.50 Call Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	Capital Gains,	None (or less than \$201)
11.224	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$55.00 Put Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.225	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price: \$75.00 Put Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	Capital Gains,	None (or less than \$201)
11.226	<u>GOLD</u> - Barrick Gold Corp <i>Strike price: \$17.00 Put Expires: 01/17/2025</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.227	<u>COF</u> - Capital One Financial Corp <i>Strike price: \$110.00 Put Expires: 01/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.228	<u>CLX</u> - Clorox Company <i>Strike price: \$135.00 Put Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$50,001 - \$100,000	Capital Gains,	None (or less than \$201)
11.229	<u>DVA</u> - DaVita Inc. Common Stock <i>Strike price: \$100.00 Put Expires: 07/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.230	<u>DVA</u> - DaVita Inc. Common Stock <i>Strike price: \$92.50 Put Expires: 01/19/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.231	<u>KMB</u> - Kimberly-Clark Corporation Common Stock <i>Strike price: \$115.00 Put Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.232	<u>LPX</u> - Louisiana-Pacific Corporation Common Stock <i>Strike price: \$52.50 Put Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.233	<u>MCHP</u> - Microchip Technology <i>Strike price: \$80.00 Put Expires: 10/18/2024</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.234	<u>MSFT</u> - Microsoft Corp <i>Strike price: \$305.00 Put</i>	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than

Expires: 09/20/2024

\$201)

11.235	PG - Procter & Gamble Company Strike price: \$145.00 Put Expires: 06/21/2024	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.236	PG - Procter & Gamble Company Strike price: \$150.00 Put Expires: 01/19/2024	Corporate Securities Stock Option	Joint	\$1,001 - \$15,000	Capital Gains,	None (or less than \$201)
11.237	QCOM - QUALCOMM Incorporated - Common Stock Strike price: \$110.00 Put Expires: 06/21/2024	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	Capital Gains,	None (or less than \$201)
11.238	X - United States Steel Corp Strike price: \$23.00 Put Expires: 06/21/2024	Corporate Securities Stock Option	Joint	\$15,001 - \$50,000	Capital Gains,	None (or less than \$201)
12	McVean Trading Brokerage Account	Brokerage/Managed Account	Self			
12.1	McVean Trading & Investments, LLC (Memphis, TN) Type: Brokerage Sweep Account,	Bank Deposit	Self	None (or less than \$1,001)	None,	None (or less than \$201)
12.2	JUL 23 CBT Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.3	JAN 23 FDR CATTLE	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.4	AUG 23 CME CATTLE	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.5	JUN 23 CBOT SD Corn	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.6	MAR 23 CBT CORN	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.7	MAY 23 CBT CORN	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
12.8	APR 23 CME CATTLE	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.9	JUN 23 CME CATTLE	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.10	SEP 23 BEAN MEAL	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.11	SEP 23 SOYBEAN OIL	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
12.12	AUG 23 SOYBEAN OIL	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
12.13	OCT 23 CME CATTLE	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
12.14	DEC 23 CBT CORN	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
12.15	NOV 23 CBT SOYBEANS	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

12.16	SEP 23 CBT SOYBEANS	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
12.17	SEP 23 CBOT SD BEANS	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.18	SEP 23 SOYBEAN MEAL	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.19	DEC 23 SOYBEAN MEAL	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
12.20	OCT 23 CBT CORN	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
12.21	FEB 24 CME CATTLE	Commodities/Futures Contract	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
13	Tiger Farms, LLC <i>Company:</i> Tiger Farms, LLC (Auburn, Alabama) <i>Description:</i> Real property holding company	Business Entity Limited Liability Company (LLC)	Joint			
13.1	Unimproved land <i>Description:</i> Unimproved land (Dadeville, Alabama)	Real Estate Unimproved Land	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
14	Rental Property 2 <i>Description:</i> House (Santa Rosa Beach, FL)	Real Estate Residential	Joint	\$500,001 - \$1,000,000	Rent/Royalties,	\$15,001 - \$50,000

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transaction Date	Owner	Tick-er	Asset Name	Type	Amount	Comment
1	01/05/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
2	01/05/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
3	01/05/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/16/2023	Sale (Partial)	\$15,001 - \$50,000	--
4	01/05/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$18.00	Sale (Partial)	\$1,001 - \$15,000	--

Expires:
04/21/2023

5	01/05/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 07/21/2023	Sale (Partial)	\$1,001 - \$15,000	--
6	01/05/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 07/21/2023	Sale (Partial)	\$1,001 - \$15,000	--
7	01/05/2023	Joint	X	United States Steel Corporation Common Stock Option Type: Put <i>Strike price:</i> \$23.00 <i>Expires:</i> 01/20/2023	Purchase	\$1,001 - \$15,000	--
8	01/05/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
9	01/05/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
10	01/05/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
11	01/10/2023	Self	--	CALL JUL 23 CBT CORN	Sale (Full)	\$1,001 - \$15,000	--
12	01/11/2023	Joint	KO	Coca-Cola Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 12/15/2023	Sale (Partial)	\$1,001 - \$15,000	--
13	01/11/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock Option Type: Put	Sale (Partial)	\$1,001 - \$15,000	--

Strike price:
\$170.00
Expires:
07/21/2023

14	01/11/2023	Joint	V	Visa Inc. Option Type: Put Strike price: \$210.00 Expires: 09/15/2023	Sale (Partial)	\$1,001 - \$15,000	--
15	01/11/2023	Joint	AAPL	Apple Inc. - Common Stock Option Type: Put Strike price: \$125.00 Expires: 09/15/2023	Sale (Partial)	\$1,001 - \$15,000	--
16	01/12/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put Strike price: \$20.00 Expires: 06/16/2023	Sale (Partial)	\$1,001 - \$15,000	--
17	01/13/2023	Joint	UAA	Under Armour, Inc. Class A Common Stock	Purchase	\$15,001 - \$50,000	--
18	01/18/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$100,001 - \$250,000	--
19	01/18/2023	Self	--	CALL JUL 23 CBT CORN	Purchase	\$1,001 - \$15,000	--
20	01/18/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$23.00 Expires: 07/21/2023	Sale (Partial)	\$1,001 - \$15,000	--
21	01/18/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put Strike price: \$19.00 Expires: 07/21/2023	Sale (Partial)	\$1,001 - \$15,000	--
22	01/23/2023	Joint	GOLD	Barrick Gold Corporation Common Stock (BC)	Purchase	\$1,001 - \$15,000	--
23	01/25/2023	Self	--	FEB 23 CME	Purchase	\$1,001 -	--

				CATTLE		\$15,000	
24	01/30/2023	Self	--	FEB 23 CME CATTLE	Purchase	\$1,001 - \$15,000	--
25	02/07/2023	Self	--	PUT JUL 23 CBT CORN	Purchase	\$1,001 - \$15,000	--
26	02/15/2023	Self	--	APR 23 CME CATTLE	Purchase	\$1,001 - \$15,000	--
27	03/03/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 10/20/2023	Sale (Partial)	\$1,001 - \$15,000	--
28	03/06/2023	Joint	BMO	Bank of Montreal	Sale (Full)	\$1,001 - \$15,000	--
29	03/09/2023	Self	--	JUL 23 CBT CORN	Sale (Full)	\$1,001 - \$15,000	--
30	03/09/2023	Self	--	MAY 23 CBT CORN	Purchase	\$1,001 - \$15,000	--
31	03/10/2023	Joint	KMB	Kimberly-Clark Corporation Common Stock	Purchase	\$15,001 - \$50,000	--
32	03/20/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
33	03/21/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
34	03/21/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$21.00 <i>Expires:</i> 10/20/2023	Sale (Partial)	\$1,001 - \$15,000	--
35	03/21/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
36	03/21/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
37	03/21/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
38	03/21/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Sale (Partial)	\$1,001 - \$15,000	--

Option Type:
Put
Strike price:
\$18.00
Expires:
10/20/2023

39	03/21/2023	Joint	TSM	Taiwan Semiconductor Manufacturing Company Ltd. Option Type: Put Strike price: \$75.00 Expires: 09/15/2023	Purchase	\$1,001 - \$15,000	--
40	03/21/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$50,001 - \$100,000	--
41	03/21/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
42	03/21/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
43	03/24/2023	Self	--	CALL MAY 23 CBT CORN	Sale (Partial)	\$1,001 - \$15,000	--
44	04/03/2023	Joint	NSC	Norfolk Southern Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
45	04/03/2023	Joint	NDSN	Nordson Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
46	04/03/2023	Joint	NVDA	NVIDIA Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
47	04/03/2023	Joint	MCO	Moody's Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
48	04/03/2023	Joint	MPWR	Monolithic Power Systems, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
49	04/03/2023	Joint	MPWR	Monolithic Power Systems, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
50	04/03/2023	Joint	MTD	Mettler-Toledo International, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
51	04/03/2023	Joint	MMC	Marsh & McLennan Companies, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
52	04/03/2023	Joint	MKSI	MKS Instruments, Inc.	Sale (Full)	\$1,001 - \$15,000	--

- Common Stock

53	04/03/2023	Joint	MSFT	Microsoft Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$250.00 <i>Expires:</i> 11/17/2023	Sale (Partial)	\$15,001 - \$50,000	--
54	04/03/2023	Joint	GNTX	Gentex Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
55	04/03/2023	Joint	A	Agilent Technologies, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
56	04/03/2023	Joint	APH	Amphenol Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
57	04/03/2023	Joint	ADSK	Autodesk, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
58	04/03/2023	Joint	AVGO	Broadcom Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
59	04/03/2023	Joint	CRUS	Cirrus Logic, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
60	04/03/2023	Joint	CBSH	Commerce Bancshares, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
61	04/03/2023	Joint	DIOD	Diodes Incorporated - Common Stock	Purchase	\$1,001 - \$15,000	--
62	04/03/2023	Joint	LMST	Limestone Bancorp, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
63	04/03/2023	Joint	ITW	Illinois Tool Works Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
64	04/03/2023	Joint	IDXX	IDEXX Laboratories, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
65	04/03/2023	Joint	GFI	Gold Fields Limited American Depositary Shares	Sale (Full)	\$1,001 - \$15,000	--
66	04/03/2023	Joint	FLT	FleetCor Technologies, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
67	04/03/2023	Joint	META	Meta Platforms, Inc. - Class A Common Stock	Sale (Full)	\$1,001 - \$15,000	--
68	04/03/2023	Joint	ETSY	Etsy, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--

69	04/03/2023	Joint	EW	Edwards Lifesciences Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
70	04/03/2023	Joint	DQ	DAQO New Energy Corp. American Depositary Shares,	Sale (Full)	\$1,001 - \$15,000	--
71	04/03/2023	Joint	CROX	Crocs, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
72	04/03/2023	Joint	CSCO	Cisco Systems, Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
73	04/03/2023	Joint	ANET	Arista Networks, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
74	04/03/2023	Joint	AMT	American Tower Corporation (REIT) Common Stock	Sale (Full)	\$1,001 - \$15,000	--
75	04/03/2023	Joint	ALLE	Allegion plc Ordinary Shares	Sale (Full)	\$1,001 - \$15,000	--
76	04/03/2023	Joint	ABBV	AbbVie Inc. Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
77	04/03/2023	Joint	GSK	GSK plc American Depositary Shares (Each represent	Purchase	\$1,001 - \$15,000	--
78	04/03/2023	Joint	GRMN	Garmin Ltd. - Common Stock	Purchase	\$1,001 - \$15,000	--
79	04/03/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price: \$180.00</i> <i>Expires: 10/20/2023</i>	Sale (Partial)	\$15,001 - \$50,000	--
80	04/03/2023	Joint	XP	XP Inc. - Class A Common Stock	Purchase	\$1,001 - \$15,000	--
81	04/03/2023	Joint	VCTR	Victory Capital Holdings, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
82	04/03/2023	Joint	VZ	Verizon Communications Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
83	04/03/2023	Joint	TREX	Trex Company, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
84	04/03/2023	Joint	MMM	3M Company Common Stock	Purchase	\$1,001 - \$15,000	--

85	04/03/2023	Joint	TMO	Thermo Fisher Scientific Inc Common Stock	Sale (Full)	\$1,001 - \$15,000	--
86	04/03/2023	Joint	TSLA	Tesla, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
87	04/03/2023	Joint	SKY	Skyline Champion Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
88	04/03/2023	Joint	SSD	Simpson Manufacturing Company, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
89	04/03/2023	Joint	SCI	Service Corporation International Common Stock	Sale (Full)	\$1,001 - \$15,000	--
90	04/03/2023	Joint	QSR	Restaurant Brands International Inc	Purchase	\$1,001 - \$15,000	--
91	04/03/2023	Joint	REGN	Regeneron Pharmaceuticals, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
92	04/03/2023	Joint	RRC	Range Resources Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
93	04/03/2023	Joint	RH	RH Common Stock	Sale (Full)	\$1,001 - \$15,000	--
94	04/03/2023	Joint	PHM	PulteGroup, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
95	04/03/2023	Joint	PAM	Pampa Energia S.A. Pampa Energia S.A.	Purchase	\$1,001 - \$15,000	--
96	04/04/2023	Joint	TSLA	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Sale (Partial)	\$15,001 - \$50,000	--
97	04/10/2023	Joint	KR	Kroger Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$48.00 <i>Expires:</i> 10/20/2023	Sale (Partial)	\$1,001 - \$15,000	--
98	04/10/2023	Joint	HPQ	HP Inc. Common Stock Option Type: Put <i>Strike price:</i>	Sale (Partial)	\$1,001 - \$15,000	--

\$29.00
Expires:
11/17/2023

99	04/10/2023	Joint	PARA	Paramount Global - Class B Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 09/15/2023	Sale (Partial)	\$1,001 - \$15,000	--
100	04/10/2023	Joint	MDLZ	Mondelez International, Inc. - Class A Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
101	04/14/2023	Joint	MRO	Marathon Oil Corporation Common Stock Option Type: Put <i>Strike price:</i> \$25.00 <i>Expires:</i> 09/15/2023	Sale (Partial)	\$1,001 - \$15,000	--
102	04/14/2023	Joint	MMM	3M Company Common Stock	Purchase	\$1,001 - \$15,000	--
103	04/14/2023	Joint	KMB	Kimberly-Clark Corporation Common Stock Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 10/20/2023	Sale (Partial)	\$1,001 - \$15,000	--
104	04/18/2023	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
105	04/24/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$18.00 <i>Expires:</i>	Sale (Partial)	\$1,001 - \$15,000	--

01/19/2024

106	04/24/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
107	04/24/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$100,001 - \$250,000	--
108	04/24/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
109	04/24/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$16.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
110	04/24/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$16.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
111	04/26/2023	Joint	ATVI	Activision Blizzard, Inc - Common Stock	Sale (Full)	\$100,001 - \$250,000	--
112	04/26/2023	Joint	F	Ford Motor Company Common Stock	Purchase	\$15,001 - \$50,000	--
113	04/27/2023	Joint	F	Ford Motor Company Common Stock	Purchase	\$100,001 - \$250,000	--
114	04/28/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
115	04/28/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
116	04/28/2023	Joint	F	Ford Motor	Purchase	\$15,001 -	--

				Company Common Stock		\$50,000	
117	04/28/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
118	04/28/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
119	04/28/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
120	05/01/2023	Self	--	Jun 23 CME Cattle	Purchase	\$1,001 - \$15,000	--
121	05/04/2023	Self	--	Jun 23 CME Cattle	Purchase	\$1,001 - \$15,000	--
122	05/08/2023	Joint	FITB	Fifth Third Bancorp - Common Stock	Purchase	\$1,001 - \$15,000	--
123	05/08/2023	Joint	CLX	Clorox Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$160.00 <i>Expires:</i> 10/20/2023	Sale (Partial)	\$1,001 - \$15,000	--
124	05/08/2023	Joint	CLX	Clorox Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$160.00 <i>Expires:</i> 10/20/2023	Sale (Partial)	\$1,001 - \$15,000	--
125	05/08/2023	Joint	PG	Procter & Gamble Company (The) Common Stock Option Type:	Sale (Partial)	\$1,001 - \$15,000	--

				Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 01/19/2024			
126	05/08/2023	Joint	PG	Procter & Gamble Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
127	05/08/2023	Joint	PG	Procter & Gamble Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
128	05/08/2023	Joint	X	United States Steel Corporation Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
129	05/08/2023	Joint	GOLD	Barrick Gold Corporation Common Stock (BC) Option Type: Call <i>Strike price:</i> \$22.00 <i>Expires:</i> 12/15/2023	Sale (Partial)	\$1,001 - \$15,000	--
130	05/08/2023	Joint	GOLD	Barrick Gold Corporation Common Stock (BC) Option Type: Put <i>Strike price:</i> \$19.00 <i>Expires:</i> 12/15/2023	Sale (Partial)	\$1,001 - \$15,000	--
131	05/08/2023	Joint	WBA	Walgreens Boots Alliance, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
132	05/08/2023	Joint	RF	Regions Financial	Purchase	\$1,001 - \$15,000	--

				Corporation Common Stock			
133	05/08/2023	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Purchase	\$1,001 - \$15,000	--
134	05/08/2023	Joint	NU	Nu Holdings Ltd. Class A Ordinary Shares	Purchase	\$15,001 - \$50,000	--
135	05/08/2023	Joint	KEY	KeyCorp Common Stock	Purchase	\$1,001 - \$15,000	--
136	05/08/2023	Joint	FGBI	First Guaranty Bancshares, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
137	05/08/2023	Joint	CFG	Citizens Financial Group, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
138	05/10/2023	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$250,001 - \$500,000	--
139	05/11/2023	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Purchase	\$250,001 - \$500,000	--
140	05/15/2023	Self	--	CALL SEP 23 BEAN MEAL	Purchase	\$1,001 - \$15,000	--
141	05/16/2023	Self	--	CALL SEP 23 BEAN MEAL	Purchase	\$1,001 - \$15,000	--
142	05/17/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
143	05/17/2023	Self	--	PUT JUN 23 CBOT SD CORN	Sale (Full)	\$1,001 - \$15,000	--
144	05/17/2023	Joint	CLX	Clorox Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$155.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
145	05/17/2023	Joint	CLX	Clorox Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$155.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
146	05/17/2023	Joint	F	Ford Motor	Sale	\$1,001 -	--

				Company Common Stock Option Type: Call <i>Strike price:</i> \$14.35 <i>Expires:</i> 06/21/2024	(Partial)	\$15,000	
147	05/17/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
148	05/18/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$19.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
149	05/18/2023	Self	--	CALL SEP 23 SOYBEAN OIL	Sale (Full)	\$1,001 - \$15,000	--
150	05/19/2023	Joint	AAPL	Apple Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$165.00 <i>Expires:</i> 03/15/2024	Sale (Partial)	\$1,001 - \$15,000	--
151	05/19/2023	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Put <i>Strike price:</i> \$57.50 <i>Expires:</i> 11/17/2023	Sale (Partial)	\$1,001 - \$15,000	--
152	05/19/2023	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Put <i>Strike price:</i> \$57.50 <i>Expires:</i> 11/17/2023	Sale (Partial)	\$1,001 - \$15,000	--
153	05/19/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$1,001 - \$15,000	--

				Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 10/20/2023				
154	05/22/2023	Joint	OXY	Occidental Petroleum Corporation Common Stock Option Type: Call <i>Strike price:</i> \$65.00 <i>Expires:</i> 11/17/2023	Sale (Partial)	\$1,001 - \$15,000	--	
155	05/22/2023	Joint	OXY	Occidental Petroleum Corporation Common Stock	Purchase	\$15,001 - \$50,000	--	
156	05/23/2023	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$15,001 - \$50,000	--	
157	05/23/2023	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Partial)	\$100,001 - \$250,000	--	
158	05/23/2023	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Partial)	\$50,001 - \$100,000	--	
159	05/23/2023	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$55.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--	
160	05/23/2023	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Call <i>Strike price:</i> \$77.50 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$15,001 - \$50,000	--	
161	05/24/2023	Joint	V	Visa Inc. Option Type: Put <i>Strike price:</i> \$210.00 <i>Expires:</i> 09/15/2023	Purchase	\$1,001 - \$15,000	--	

162	05/24/2023	Joint	V	Visa Inc. Option Type: Put <i>Strike price:</i> \$210.00 <i>Expires:</i> 09/15/2023	Purchase	\$1,001 - \$15,000	--
163	05/24/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
164	05/25/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$18.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
165	05/25/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
166	05/25/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
167	05/25/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
168	05/26/2023	Self	--	CALL SEP 23 BEAN MEAL	Sale (Full)	\$1,001 - \$15,000	--
169	05/31/2023	Self	--	DEC 23 CME CATTLE	Purchase	\$1,001 - \$15,000	--
170	06/01/2023	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Purchase	\$100,001 - \$250,000	--
171	06/01/2023	Self	--	DEC 23 CME CATTLE	Purchase	\$1,001 - \$15,000	--
172	06/01/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
173	06/02/2023	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Purchase	\$100,001 - \$250,000	--
174	06/05/2023	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--

Option Type:
Call
Strike price:
\$125.00
Expires:
10/20/2023

175	06/05/2023	Joint	CVX	Chevron Corporation Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 12/15/2023	Sale (Partial)	\$1,001 - \$15,000	--
176	06/06/2023	Self	--	CALL SEP 23 SOYBEAN OIL	Purchase	\$1,001 - \$15,000	--
177	06/06/2023	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Purchase	\$50,001 - \$100,000	--
178	06/06/2023	Self	--	SEP 23 SOYBEAN OIL	Sale (Full)	\$1,001 - \$15,000	--
179	06/07/2023	Self	--	AUG 23 CME CATTLE	Sale (Full)	\$1,001 - \$15,000	--
180	06/07/2023	Self	--	OCT 23 CME CATTLE	Purchase	\$1,001 - \$15,000	--
181	06/08/2023	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Purchase	\$1,001 - \$15,000	--
182	06/08/2023	Joint	X	United States Steel Corporation Common Stock Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
183	06/09/2023	Joint	COF	Capital One Financial Corporation Common Stock Option Type: Put <i>Strike price:</i> \$110.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
184	06/12/2023	Joint	PFE	Pfizer, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
185	06/12/2023	Joint	KEY	KeyCorp Common Stock	Purchase	\$1,001 - \$15,000	--
186	06/12/2023	Joint	FGBI	First Guaranty Bancshares, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
187	06/12/2023	Joint	FGBI	First Guaranty	Purchase	\$1,001 -	--

				Bancshares, Inc. - Common Stock		\$15,000	
188	06/12/2023	Joint	FITB	Fifth Third Bancorp - Common Stock	Purchase	\$1,001 - \$15,000	--
189	06/12/2023	Joint	CFG	Citizens Financial Group, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
190	06/12/2023	Joint	DVA	DaVita Inc. Common Stock Option Type: Put <i>Strike price:</i> \$92.50 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
191	06/12/2023	Joint	WBA	Walgreens Boots Alliance, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
192	06/12/2023	Joint	CVX	Chevron Corporation Common Stock Option Type: Put <i>Strike price:</i> \$155.00 <i>Expires:</i> 06/16/2023	Purchase	\$1,001 - \$15,000	--
193	06/13/2023	Joint	TSLA	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Sale (Partial)	\$1,001 - \$15,000	--
194	06/13/2023	Joint	UAA	Under Armour, Inc. Class A Common Stock	Purchase	\$1,001 - \$15,000	--
195	06/13/2023	Joint	CCL	Carnival Corporation Common Stock Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
196	06/13/2023	Joint	CCL	Carnival Corporation Common Stock Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 01/19/2024	Sale (Partial)	\$1,001 - \$15,000	--
197	06/13/2023	Joint	WBA	Walgreens Boots	Purchase	\$15,001 -	--

				Alliance, Inc. - Common Stock		\$50,000	
198	06/14/2023	Joint	X	United States Steel Corporation Common Stock Option Type: Call <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$1,001 - \$15,000	--
199	06/14/2023	Joint	X	United States Steel Corporation Common Stock Option Type: Put <i>Strike price:</i> \$23.00 <i>Expires:</i> 06/21/2024	Sale (Partial)	\$15,001 - \$50,000	--
200	06/14/2023	Self	--	JUN 23 CME CATTLE	Sale (Full)	\$1,001 - \$15,000	--
201	06/15/2023	Self	--	AUG 23 SOYBEAN OIL	Sale (Full)	\$1,001 - \$15,000	--
202	06/15/2023	Self	--	CALL AUG 23 SOYBEAN OIL	Purchase	\$1,001 - \$15,000	--
203	06/20/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
204	06/20/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Full)	\$50,001 - \$100,000	--
205	06/20/2023	Joint	MSFT	Microsoft Corporation - Common Stock	Sale (Full)	\$250,001 - \$500,000	--
206	06/22/2023	Self	--	NOV 23 CBT SOYBEANS	Sale (Full)	\$1,001 - \$15,000	--
207	06/22/2023	Self	--	DEC 23 CBT CORN	Purchase	\$1,001 - \$15,000	--
208	06/27/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Purchase	\$1,001 - \$15,000	--
209	06/29/2023	Self	--	CALL SEP 23 BEAN MEAL	Purchase	\$1,001 - \$15,000	--
210	06/29/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 09/15/2023	Sale (Partial)	\$1,001 - \$15,000	--
211	06/30/2023	Self	--	CALL SEP 23 BEAN MEAL	Sale (Full)	\$1,001 - \$15,000	--

212	06/30/2023	Self	--	CALL SEP 23 BEAN MEAL	Purchase	\$1,001 - \$15,000	--
213	07/03/2023	Self	--	CALL SEP 23 CBOT SD BEANS	Purchase	\$1,001 - \$15,000	--
214	07/03/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Sale (Full)	\$1,001 - \$15,000	--
215	07/03/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Purchase	\$1,001 - \$15,000	--
216	07/03/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Purchase	\$1,001 - \$15,000	--
217	07/05/2023	Self	--	CALL SEP 23 CBOT SD BEANS	Sale (Full)	\$1,001 - \$15,000	--
218	07/05/2023	Self	--	CALL SEP 23 BEAN MEAL	Purchase	\$1,001 - \$15,000	--
219	07/06/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Purchase	\$1,001 - \$15,000	--
220	07/12/2023	Self	--	OCT 23 CME CATTLE	Purchase	\$1,001 - \$15,000	--
221	07/13/2023	Joint	LPX	Louisiana-Pacific Corporation Common Stock Option Type: Put <i>Strike price:</i> \$77.50 <i>Expires:</i> 02/16/2024	Sale (Full)	\$1,001 - \$15,000	--
222	07/14/2023	Self	--	CALL SEP 23 BEAN MEAL	Sale (Full)	\$1,001 - \$15,000	--
223	07/19/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 06/21/2024	Sale (Full)	\$1,001 - \$15,000	--
224	07/19/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Purchase	\$1,001 - \$15,000	--
225	07/19/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Sale (Full)	\$1,001 - \$15,000	--
226	07/24/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Sale (Full)	\$1,001 - \$15,000	--
227	07/24/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Purchase	\$1,001 - \$15,000	--
228	07/24/2023	Joint	PG	Procter & Gamble Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$145.00 <i>Expires:</i>	Sale (Full)	\$1,001 - \$15,000	--

06/21/2024

229	07/24/2023	Joint	CLF	Cleveland-Cliffs Inc	Purchase	\$50,001 - \$100,000	--
230	07/25/2023	Joint	HUMA	Humacyte, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
231	07/25/2023	Joint	FGBI	First Guaranty Bancshares, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
232	07/25/2023	Joint	FGBI	First Guaranty Bancshares, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
233	07/25/2023	Joint	KEY	KeyCorp Common Stock	Purchase	\$1,001 - \$15,000	--
234	07/25/2023	Joint	PFE	Pfizer, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
235	07/25/2023	Joint	MMM	3M Company	Purchase	\$1,001 - \$15,000	--
236	07/25/2023	Joint	UAA	Under Armour, Inc. Class A Common Stock	Purchase	\$1,001 - \$15,000	--
237	07/25/2023	Joint	VZ	Verizon Communications Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
238	07/25/2023	Joint	WBA	Walgreens Boots Alliance, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
239	07/25/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$18.00 Expires: 10/20/2023	Sale (Full)	\$1,001 - \$15,000	--
240	07/25/2023	Joint	HUMA	Humacyte, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
241	07/25/2023	Joint	HUMA	Humacyte, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
242	07/25/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Sale (Full)	\$1,001 - \$15,000	--
243	07/26/2023	Self	--	CALL SEP 23 MGE SPRING WHT	Purchase	\$1,001 - \$15,000	--
244	07/27/2023	Self	--	OCT 23 SOYBEAN MEAL	Purchase	\$1,001 - \$15,000	--
245	07/27/2023	Joint	CCL	Carnival Corporation Common Stock Option Type: Put Strike price: \$17.50 Expires: 06/21/2024	Sale (Full)	\$1,001 - \$15,000	--

246	08/01/2023	Self	--	CALL SEP 23 CBOT SD BEANS	Sale (Full)	\$1,001 - \$15,000	--
247	08/01/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Purchase	\$1,001 - \$15,000	--
248	08/03/2023	Self	--	CALL SEP 23 CBOT SD BEANS	Purchase	\$1,001 - \$15,000	--
249	08/03/2023	Self	--	CALL SEP 23 CBT SOYBEANS	Sale (Full)	\$1,001 - \$15,000	--
250	08/03/2023	Self	--	SEP 23 SOYBEAN MEAL	Sale (Full)	\$1,001 - \$15,000	--
251	08/03/2023	Self	--	DEC 23 SOYBEAN MEAL	Sale (Full)	\$1,001 - \$15,000	--
252	08/04/2023	Self	--	SEP 23 SOYBEAN MEAL	Sale (Full)	\$1,001 - \$15,000	--
253	08/04/2023	Self	--	DEC 23 SOYBEAN MEAL	Sale (Full)	\$1,001 - \$15,000	--
254	08/08/2023	Self	--	DEC 23 SOYBEAN MEAL	Sale (Full)	\$1,001 - \$15,000	--
255	08/08/2023	Self	--	SEP 23 SOYBEAN MEAL	Sale (Full)	\$1,001 - \$15,000	--
256	08/14/2023	Joint	F	Ford Motor Company Option Type: Call <i>Strike price:</i> \$14.35 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
257	08/14/2023	Joint	F	Ford Motor Company	Sale (Full)	\$100,001 - \$250,000	--
258	08/16/2023	Self	--	OCT 23 CME CATTLE	Purchase	\$1,001 - \$15,000	--
259	08/18/2023	Joint	INTC	Intel Corp Option Type: Put <i>Strike price:</i> \$30.00 <i>Expires:</i> 09/15/2023	Purchase	\$1,001 - \$15,000	--
260	08/21/2023	Self	--	CALL OCT 23 CBT CORN	Purchase	\$1,001 - \$15,000	--
261	08/23/2023	Self	--	CALL OCT 23 CBT CORN	Sale (Full)	\$1,001 - \$15,000	--
262	08/23/2023	Self	--	OCT 23 CME CATTLE	Sale (Full)	\$1,001 - \$15,000	--
263	08/23/2023	Self	--	FEB 24 CME CATTLE	Purchase	\$1,001 - \$15,000	--
264	08/31/2023	Joint	QCOM	Qualcomm Inc	Purchase	\$100,001 - \$250,000	--
265	09/01/2023	Joint	QCOM	QUALCOMM Incorporated -	Sale (Full)	\$1,001 - \$15,000	--

Common Stock

Option Type:
Call
Strike price:
\$125.00
Expires:
03/15/2024

266	09/07/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$50,001 - \$100,000	--
267	09/07/2023	Joint	PRU	Prudential Financial, Inc. Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
268	09/07/2023	Joint	TSN	Tyson Foods, Inc. Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
269	09/07/2023	Self	AAPL	Apple Inc. - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
270	09/08/2023	Joint	LLY	Eli Lilly and Company Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
271	09/08/2023	Joint	KMB	Kimberly-Clark Corporation Common Stock	Purchase	\$15,001 - \$50,000	--
272	09/08/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$50,001 - \$100,000	--
273	09/12/2023	Joint	LPX	Louisiana-Pacific Corporation Common Stock	Purchase	\$100,001 - \$250,000	--
274	09/13/2023	Joint	KMB	Kimberly-Clark Corporation Common Stock	Purchase	\$50,001 - \$100,000	--
275	09/13/2023	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Sale (Full)	\$250,001 - \$500,000	--
276	09/13/2023	Joint	INTC	Intel Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
				Option Type: Call <i>Strike price:</i> \$45.00 <i>Expires:</i> 04/19/2024			
277	09/13/2023	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
				Option Type: Put <i>Strike price:</i> \$110.00 <i>Expires:</i> 06/21/2024			
278	09/13/2023	Joint	QCOM	QUALCOMM Incorporated - Common Stock	Purchase	\$1,001 - \$15,000	--
				Option Type: Call <i>Strike price:</i>			

\$125.00
Expires:
 03/15/2024

279	09/14/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Purchase	\$50,001 - \$100,000	--
280	09/14/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
281	09/15/2023	Joint	KMB	Kimberly-Clark Corporation Common Stock	Purchase	\$15,001 - \$50,000	--
282	09/18/2023	Joint	PARA	Paramount Global - Class B Common Stock Option Type: Call <i>Strike price:</i> \$17.50 <i>Expires:</i> 06/21/2024	Sale (Full)	\$1,001 - \$15,000	--
283	09/18/2023	Joint	PARA	Paramount Global - Class B Common Stock	Purchase	\$50,001 - \$100,000	--
284	09/18/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Purchase	\$100,001 - \$250,000	--
285	09/18/2023	Joint	LPX	Louisiana-Pacific Corporation Common Stock Option Type: Call <i>Strike price:</i> \$70.00 <i>Expires:</i> 05/17/2024	Sale (Full)	\$1,001 - \$15,000	--
286	09/18/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock Option Type: Call <i>Strike price:</i> \$180.00 <i>Expires:</i> 04/19/2024	Sale (Full)	\$1,001 - \$15,000	--
287	09/18/2023	Joint	FITB	Fifth Third Bancorp - Common Stock Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 05/17/2024	Sale (Full)	\$1,001 - \$15,000	--
288	09/20/2023	Joint	CLX	Clorox Company (The) Common	Purchase	\$100,001 -	--

				Stock		\$250,000	
289	09/28/2023	Joint	KR	Kroger Company (The) Common Stock	Purchase	\$15,001 - \$50,000	--
290	10/03/2023	Joint	KR	Kroger Company	Purchase	\$50,001 - \$100,000	--
291	10/11/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 06/21/2024	Sale (Full)	\$15,001 - \$50,000	--
292	10/11/2023	Joint	KR	Kroger Company	Sale (Full)	\$50,001 - \$100,000	--
293	10/11/2023	Joint	LPX	Louisiana-Pacific Corporation Common Stock	Sale (Full)	\$100,001 - \$250,000	--
294	10/11/2023	Joint	NU	Nu Holdings Ltd Cl A	Sale (Full)	\$100,001 - \$250,000	--
295	10/11/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
296	10/11/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
297	10/11/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
298	10/11/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
299	10/11/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
300	10/11/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
301	10/11/2023	Joint	LPX	Louisiana-Pacific Corporation Common Stock Option Type: Put <i>Strike price:</i> \$52.50 <i>Expires:</i> 06/21/2024	Sale (Full)	\$1,001 - \$15,000	--
302	10/11/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Sale (Full)	\$15,001 - \$50,000	--

302	10/11/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
303	10/11/2023	Joint	X	United States Steel Corporation Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
304	10/11/2023	Joint	LPX	Louisiana-Pacific Corporation Common Stock Option Type: Call <i>Strike price:</i> \$70.00 <i>Expires:</i> 05/17/2024	Purchase	\$1,001 - \$15,000	--
305	10/11/2023	Joint	X	United States Steel Corp Option Type: Call <i>Strike price:</i> \$25.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
306	10/11/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock Option Type: Call <i>Strike price:</i> \$180.00 <i>Expires:</i> 04/19/2024	Purchase	\$1,001 - \$15,000	--
307	10/16/2023	Joint	A	Agilent Technologies	Sale (Partial)	\$1,001 - \$15,000	--
308	10/16/2023	Joint	ADP	Automatic Data Processing, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
309	10/16/2023	Joint	CSX	CSX Corporation - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
310	10/16/2023	Joint	CRUS	Cirrus Logic, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
311	10/16/2023	Joint	CBSH	Commerce Bancshares, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
312	10/16/2023	Joint	DIOD	Diodes Incorporated - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
313	10/16/2023	Joint	GIS	General Mills, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
314	10/16/2023	Joint	GSK	Gsk Plc ADR	Sale (Full)	\$1,001 - \$15,000	--
315	10/16/2023	Joint	JKHY	Jack Henry & Associates, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--

316	10/16/2023	Joint	JNJ	Johnson & Johnson Common Stock	Sale (Full)	\$1,001 - \$15,000	--
317	10/16/2023	Joint	MRK	Merck & Company, Inc. Common Stock (new)	Sale (Full)	\$1,001 - \$15,000	--
318	10/16/2023	Joint	NSC	Norfolk Southern Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
319	10/16/2023	Joint	ODFL	Old Dominion Freight Line, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
320	10/16/2023	Joint	SKY	Skyline Champion Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
321	10/16/2023	Joint	RRC	Range Resources Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
322	10/17/2023	Joint	MCO	Moody's Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
323	10/17/2023	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$140.00 <i>Expires:</i> 04/19/2024	Sale (Full)	\$1,001 - \$15,000	--
324	10/17/2023	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$140.00 <i>Expires:</i> 04/19/2024	Sale (Full)	\$1,001 - \$15,000	--
325	10/17/2023	Joint	TSLA	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Purchase	\$1,001 - \$15,000	--
326	10/17/2023	Joint	TSLA	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Purchase	\$1,001 - \$15,000	--

327	10/17/2023	Joint	TSLA	Tesla, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$190.00 <i>Expires:</i> 12/15/2023	Purchase	\$1,001 - \$15,000	--
328	10/17/2023	Joint	KO	Coca-Cola Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 12/15/2023	Purchase	\$1,001 - \$15,000	--
329	10/17/2023	Joint	KO	Coca-Cola Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 12/15/2023	Purchase	\$1,001 - \$15,000	--
330	10/17/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
331	10/17/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
332	10/17/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
333	10/17/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock	Purchase	\$1,001 - \$15,000	--

Option Type:
Put
Strike price:
\$180.00
Expires:
06/21/2024

334	10/17/2023	Joint	TXN	Texas Instruments Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 06/21/2024	Purchase	\$15,001 - \$50,000	--
335	10/17/2023	Joint	WU	Western Union Company (The) Common Stock	Purchase	\$1,001 - \$15,000	--
336	10/17/2023	Joint	UNP	Union Pacific Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
337	10/17/2023	Joint	MMM	3M Company Common Stock	Purchase	\$15,001 - \$50,000	--
338	10/17/2023	Joint	TSLA	Tesla, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
339	10/17/2023	Joint	SCCO	Southern Copper Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
340	10/17/2023	Joint	SWKS	Skyworks Solutions, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
341	10/17/2023	Joint	ROK	Rockwell Automation, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
342	10/17/2023	Joint	RMD	ResMed Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
343	10/17/2023	Joint	PHM	PulteGroup, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
344	10/17/2023	Joint	NXPI	NXP Semiconductors N.V. - Common Stock	Purchase	\$1,001 - \$15,000	--
345	10/17/2023	Joint	MNST	Monster Beverage Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
346	10/17/2023	Joint	MPWR	Monolithic Power Systems, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
347	10/17/2023	Joint	MCHP	Microchip Technology Incorporated - Common Stock	Purchase	\$1,001 - \$15,000	--
348	10/17/2023	Joint	MMC	Marsh & McLennan Companies, Inc.	Purchase	\$1,001 - \$15,000	--

Common Stock

349	10/17/2023	Joint	ITW	Illinois Tool Works Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
350	10/17/2023	Joint	GGG	Graco Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
351	10/17/2023	Joint	FTNT	Fortinet, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
352	10/17/2023	Joint	META	Meta Platforms, Inc. - Class A Common Stock	Purchase	\$1,001 - \$15,000	--
353	10/17/2023	Joint	KO	Coca-Cola Company (The) Common Stock	Sale (Full)	\$1,001 - \$15,000	--
354	10/17/2023	Joint	BMJ	Bristol-Myers Squibb Company Common Stock	Purchase	\$1,001 - \$15,000	--
355	10/17/2023	Joint	AMAT	Applied Materials, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
356	10/17/2023	Joint	AON	AON Plc	Purchase	\$1,001 - \$15,000	--
357	10/17/2023	Joint	WMS	Advanced Drainage Systems, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
358	10/17/2023	Joint	ABBV	AbbVie Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
359	10/18/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
360	10/23/2023	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
361	10/23/2023	Joint	HPQ	HP Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
362	10/23/2023	Joint	HPQ	HP Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
363	10/25/2023	Joint	CLX	Clorox Company (The) Common Stock	Purchase	\$100,001 - \$250,000	--
364	10/30/2023	Joint	HPQ	HP Inc. Common Stock	Purchase	\$15,001 - \$50,000	--
365	10/31/2023	Joint	CLX	Clorox Company (The) Common Stock	Sale (Partial)	\$100,001 - \$250,000	--
366	10/31/2023	Joint	CLX	Clorox Company (The) Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
367	10/31/2023	Joint	CLX	Clorox Company (The) Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
368	10/31/2023	Joint	CLX	Clorox Company (The) Common	Sale (Partial)	\$15,001 - \$50,000	--

				Stock			
369	10/31/2023	Joint	CLX	Clorox Company (The) Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
370	10/31/2023	Joint	CLX	Clorox Company (The) Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
371	10/31/2023	Joint	HPQ	HP Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
372	10/31/2023	Joint	KMB	Kimberly-Clark Corporation Common Stock	Sale (Full)	\$100,001 - \$250,000	--
373	10/31/2023	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Sale (Full)	\$1,001 - \$15,000	--
374	10/31/2023	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Sale (Full)	\$1,001 - \$15,000	--
375	10/31/2023	Joint	CLX	Clorox Company Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 06/21/2024	Sale (Full)	\$50,001 - \$100,000	--
376	10/31/2023	Joint	KMB	Kimberly-Clark Corporation Common Stock Option Type: Put <i>Strike price:</i> \$115.00 <i>Expires:</i> 06/21/2024	Sale (Full)	\$1,001 - \$15,000	--
377	11/07/2023	Joint	X	United States Steel Corp Option Type: Put <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
378	11/09/2023	Joint	MSFT	Microsoft Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$305.00	Sale (Full)	\$1,001 - \$15,000	--

Expires:
09/20/2024

379	11/09/2023	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$1,001 - \$15,000	--
380	11/14/2023	Joint	OXY	Occidental Petroleum Corp Option Type: Put <i>Strike price:</i> \$60.00 <i>Expires:</i> 05/17/2024	Sale (Full)	\$1,001 - \$15,000	--
381	11/14/2023	Joint	OXY	Occidental Petroleum Corp Option Type: Call <i>Strike price:</i> \$65.00 <i>Expires:</i> 06/21/2024	Sale (Full)	\$1,001 - \$15,000	--
382	11/15/2023	Joint	AMAT	Applied Materials Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 09/20/2024	Sale (Full)	\$1,001 - \$15,000	--
383	11/15/2023	Joint	MCHP	Microchip Technology Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 10/18/2024	Sale (Full)	\$1,001 - \$15,000	--
384	11/16/2023	Joint	BMJ	Bristol-Myers Squibb Company Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 06/21/2024	Sale (Full)	\$1,001 - \$15,000	--
385	11/20/2023	Joint	ABBV	Abbvie Inc Option Type: Put <i>Strike price:</i> \$130.00 <i>Expires:</i> 09/20/2024	Sale (Full)	\$1,001 - \$15,000	--
386	11/21/2023	Joint	FGBI	First Gurty Banc	Sale (Full)	\$15,001 - \$50,000	--

387	11/21/2023	Joint	KEY	KeyCorp Common Stock	Purchase	\$15,001 - \$50,000	--
388	11/21/2023	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 07/19/2024	Sale (Full)	\$1,001 - \$15,000	--
389	11/22/2023	Joint	MDLZ	Mondelez International, Inc. - Class A Common Stoc Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 01/19/2024	Purchase	\$1,001 - \$15,000	--
390	12/11/2023	Joint	GOLD	Barrick Gold Corp	Purchase	\$1,001 - \$15,000	--
391	12/12/2023	Joint	DVA	Davita Healthcare Partners Inc Option Type: Put <i>Strike price:</i> \$100.00 <i>Expires:</i> 07/19/2024	Sale (Full)	\$1,001 - \$15,000	--
392	12/12/2023	Joint	GOLD	Barrick Gold Corp	Purchase	\$15,001 - \$50,000	--
393	12/13/2023	Joint	CVX	Chevron Corp	Purchase	\$100,001 - \$250,000	--
394	12/14/2023	Joint	CVX	Chevron Corp	Purchase	\$50,001 - \$100,000	--
395	12/18/2023	Joint	CVX	Chevron Corp Option Type: Call <i>Strike price:</i> \$160.00 <i>Expires:</i> 06/21/2024	Sale (Full)	\$1,001 - \$15,000	--
396	12/18/2023	Joint	GOLD	Barrick Gold Corp Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$1,001 - \$15,000	--
397	12/18/2023	Joint	GOLD	Barrick Gold Corp Option Type: Call <i>Strike price:</i> \$20.00	Sale (Full)	\$15,001 - \$50,000	--

Expires:
01/17/2025

398	12/18/2023	Joint	CVX	Chevron Corp Option Type: Put <i>Strike price:</i> \$145.00 <i>Expires:</i> 09/20/2024	Sale (Full)	\$1,001 - \$15,000	--
399	12/18/2023	Joint	GOLD	Barrick Gold Corp	Purchase	\$50,001 - \$100,000	--
400	12/19/2023	Joint	UAA	Under Armour	Sale (Full)	\$15,001 - \$50,000	--
401	12/26/2023	Joint	PARA	Paramount Global CI B	Purchase	\$15,001 - \$50,000	--
402	12/26/2023	Joint	RF	Regions Financial Corp	Sale (Full)	\$15,001 - \$50,000	--
403	12/26/2023	Joint	KEY	Keycorp	Purchase	\$15,001 - \$50,000	--
404	12/26/2023	Joint	VZ	Verizon Communications Inc	Sale (Partial)	\$15,001 - \$50,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	In-curred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2020	Spouse	Revolving Charge	-	15.24% (NA)	\$10,001 - \$15,000	American Express New York, NY	n/a
2	2020	Self	Revolving Charge	-	15.74% (NA)	\$10,001 - \$15,000	American Express New York, NY	n/a
3	2012	Joint	Mortgage	0	LIBOR+1.25% (25 years)	\$500,001 - \$1,000,000	Bank of America Tampa, FL	n/a
4	2021	Self	Mortgage	0	2.5% (30 years)	\$500,001 - \$1,000,000	Prime Meridian Tallahassee, FL	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2015 to present	Other (Founding Member)	Tommy Tuberville Foundation Auburn, AL	Nonprofit Organization	n/a
2	Feb 2005 to present	Other (Member)	Tiger Farms, LLC Auburn, Alabama	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties In- volved	Type	Status and Terms	Comments
1	Dec 1998	Retirement Systems of Alabama Birmingham, AL	Continuing participation in an employee benefit plan	Pension plan from which the Senator is currently receiving monthly payments.	n/a
2	Jan 1986	University of Miami Miami, FL	Continuing participation in an employee benefit plan	Pension plan from which the Senator is not yet receiving payments or distributions.	n/a
3	Jul 2017	Walt Disney Company Burbank, CA	Continuing participation in an employee benefit plan	401k profit- sharing plan from which the Senator is not currently receiving income	n/a
4	Nov 2009	WB Studio Enterprises Inc. Burbank, CA	Royalty Agreement	Agreement to receive continuing royalties from movie appearance in The Blind Side	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

Periodic Transaction Report for 02/14/2024

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 02/14/2024 @ 10:06 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (60 transactions total) 0 Self 60 Joint 0 Spouse 0 Dependent Child

#	Transac- tion Date	Own- er	Ticker	Asset Name	Asset Type	Type	Amount	Comment
60	01/24/2024	Joint	<u>INTC</u>	Intel Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 12/20/2024	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
59	01/22/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
58	01/22/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$17.00	Stock Option	Sale (Full)	\$1,001 - \$15,000	--

Expires:
01/17/2025

57	01/22/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Put Strike price: \$17.00 <i>Expires:</i> 01/17/2025	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
56	01/22/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 <i>Expires:</i> 11/15/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
55	01/22/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock Option Type: Call Strike price: \$20.00 <i>Expires:</i> 11/15/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
54	01/22/2024	Joint	<u>SCCO</u>	Southern Copper Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
53	01/22/2024	Joint	<u>MNST</u>	Monster Beverage Corporation - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
52	01/22/2024	Joint	<u>MMC</u>	Marsh & McLennan Companies, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
51	01/22/2024	Joint	<u>IDXX</u>	IDEXX Laboratories, Inc. - Common	Stock	Sale (Full)	\$1,001 - \$15,000	--

Stock

50	01/22/2024	Joint	<u>META</u>	Meta Platforms, Inc. - Class A Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
49	01/22/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
48	01/22/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
47	01/22/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
46	01/22/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc. Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
45	01/22/2024	Joint	<u>AON</u>	Aon plc Class A Ordinary Shares (Ireland)	Stock	Sale (Full)	\$1,001 - \$15,000	--
44	01/19/2024	Joint	<u>PG</u>	Procter & Gamble Company (The) Common Stock Option Type: Put Strike price: \$150.00 Expires: 01/19/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
43	01/19/2024	Joint	<u>XP</u>	XP Inc. - Class A Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
42	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
41	01/19/2024	Joint	<u>SSBK</u>	Southern States	Stock	Sale (Partial)	\$1,001 - \$15,000	--

				Bancshares, Inc. - Common Stock				
40	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
39	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
38	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
37	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
36	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
35	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
34	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
33	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--

32	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
31	01/19/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
30	01/19/2024	Joint	<u>PAM</u>	Pampa Energia S.A. ADR	Stock	Sale (Full)	\$1,001 - \$15,000	--
29	01/19/2024	Joint	<u>NVO</u>	Novo Nordisk A/S Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
28	01/19/2024	Joint	<u>LRCX</u>	Lam Research Corporation - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
27	01/19/2024	Joint	<u>IBM</u>	International Business Machines Corporation Common	Stock	Sale (Full)	\$1,001 - \$15,000	--
26	01/19/2024	Joint	<u>GNTX</u>	Gentex Corporation - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
25	01/19/2024	Joint	<u>RACE</u>	Ferrari N.V. Common Shares	Stock	Sale (Full)	\$1,001 - \$15,000	--
24	01/19/2024	Joint	<u>CFG</u>	Citizens Financial Group, Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
23	01/19/2024	Joint	<u>ADSK</u>	Autodesk, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
22	01/19/2024	Joint	<u>AMAT</u>	Applied Materials, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
21	01/19/2024	Joint	<u>AAPL</u>	Apple Inc. -	Stock	Sale	\$250,001	--

				Common Stock		(Full)	- \$500,000	
20	01/19/2024	Joint	<u>ADI</u>	Analog Devices, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
19	01/19/2024	Joint	<u>APH</u>	Amphenol Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
18	01/19/2024	Joint	<u>AMGN</u>	Amgen Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
17	01/19/2024	Joint	<u>AME</u>	AMETEK, Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
16	01/19/2024	Joint	<u>GOOGL</u>	Alphabet Inc. - Class A Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
15	01/19/2024	Joint	<u>GOOG</u>	Alphabet Inc. - Class C Capital Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
14	01/19/2024	Joint	<u>AMD</u>	Advanced Micro Devices, Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
13	01/19/2024	Joint	<u>ADBE</u>	Adobe Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
12	01/19/2024	Joint	<u>ABBV</u>	AbbVie Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
11	01/19/2024	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
10	01/10/2024	Joint	<u>AAPL</u>	Apple Inc. - Common Stock Option Type: Put Strike price: \$165.00 Expires: 03/15/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
9	01/03/2024	Joint	<u>GOOGL</u>	Alphabet Inc. - Class A Common	Stock	Sale (Partial)	\$1,001 - \$15,000	--

				Stock				
8	01/03/2024	Joint	<u>AAPL</u>	Apple Inc. - Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
7	01/03/2024	Joint	<u>BMJ</u>	Bristol- Myers Squibb Company Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
6	01/03/2024	Joint	<u>LLY</u>	Eli Lilly and Company Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
5	01/03/2024	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
4	01/03/2024	Joint	<u>ORCL</u>	Oracle Corporation Common Stock	Stock	Sale (Partial)	\$1,001 - \$15,000	--
3	01/03/2024	Joint	<u>SLF</u>	Sun Life Financial Inc. Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
2	01/03/2024	Joint	<u>DGX</u>	Quest Diagnostics Incorporated Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
1	01/03/2024	Joint	<u>UNP</u>	Union Pacific Corporation Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--

Periodic Transaction Report for 03/15/2024

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 03/15/2024 @ 1:27 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (8 transactions total) 0 Self 8 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
8	02/27/2024	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Put Strike price: \$150.00 Expires: 12/20/2024	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
7	02/27/2024	Joint	<u>SBLK</u>	Star Bulk Carriers Corp. - Common Shares	Stock	Purchase	\$50,001 - \$100,000	--
6	02/27/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
5	02/27/2024	Joint	<u>PFE</u>	Pfizer, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
4	02/27/2024	Joint	<u>GOGL</u>	Golden Ocean Group Limited - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--

3	02/16/2024	Joint	<u>AMAT</u>	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 01/17/2025	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
2	02/12/2024	Joint	<u>KEY</u>	KeyCorp Common Stock Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 09/20/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
1	02/12/2024	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--

Financial Disclosures

Periodic Transaction Report for 04/15/2024

Mr. Thomas H Tuberville (Tuberville, Tommy)

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☰ Transactions (19 transactions total) 2 Self 17 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
19	03/21/2024	Joint	<u>WBA</u>	Walgreens Boots Alliance, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
18	03/21/2024	Joint	<u>PARA</u>	Paramount Global - Class B Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
17	03/21/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC SPONSORED ADR	Stock	Purchase	\$1,001 - \$15,000	--
16	03/21/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC SPONSORED ADR	Stock	Purchase	\$1,001 - \$15,000	--
15	03/21/2024	Joint	<u>HUMA</u>	Humacyte Inc	Stock	Purchase	\$1,001 - \$15,000	--
14	03/21/2024	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
13	03/21/2024	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Purchase	\$15,001 - \$50,000	--
12	03/13/2024	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock	Stock Option	Sale (Full)	\$15,001 - \$50,000	--

Option Type:
Put
Strike price:
\$155.00
Expires:
12/20/2024

11	03/13/2024	Joint	<u>ADBE</u>	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
10	03/13/2024	Joint	<u>ADBE</u>	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
9	03/13/2024	Joint	<u>ADBE</u>	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
8	03/13/2024	Joint	<u>ADBE</u>	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
7	03/08/2024	Joint	<u>AMAT</u>	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$175.00 <i>Expires:</i> 01/17/2025	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
6	03/12/2024	Self	--	FEDERAL HOME LN MTG CORP MTN <i>Rate/Coupon:</i> 5.375% <i>Matures:</i>	Corporate Bond	Purchase	\$100,001 - \$250,000	--

02/08/2027

5	03/12/2024	Self	<u>AAPL</u>	Apple Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--
4	03/12/2024	Joint	<u>ACN</u>	Accenture Plc	Stock	Sale (Full)	\$1,001 - \$15,000	--
3	03/12/2024	Joint	<u>CSX</u>	CSX Corporation - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
2	03/12/2024	Joint	<u>JPM</u>	JP Morgan Chase & Co. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
1	03/12/2024	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--

Periodic Transaction Report for 05/15/2024

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 05/15/2024 @ 2:44 PM

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- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (71 transactions total) 0 Self 71 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
71	04/30/2024	Joint	<u>WBA</u>	Walgreens Boots Alliance, Inc. - Common Stock	Stock	Sale (Full)	\$50,001 - \$100,000	--
70	04/30/2024	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock Option Type: Put Strike price: \$75.00 Expires: 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
69	04/30/2024	Joint	<u>SBLK</u>	Star Bulk Carriers Corp. - Common Shares	Stock	Sale (Full)	\$50,001 - \$100,000	--
68	04/30/2024	Joint	<u>SSBK</u>	Southern States Bancshares, Inc. - Common Stock	Stock	Sale (Full)	\$50,001 - \$100,000	--
67	04/30/2024	Joint	<u>OXY</u>	Occidental Petroleum Corporation Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
66	04/30/2024	Joint	<u>NVDA</u>	NVIDIA Corporation - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
65	04/30/2024	Joint	<u>KEY</u>	KeyCorp Common Stock	Stock	Sale (Full)	\$50,001 - \$100,000	--
64	04/30/2024	Joint	<u>GOGL</u>	Golden Ocean Group Limited -	Stock	Sale (Full)	\$50,001 - \$100,000	--

Common Stock

63	04/30/2024	Joint	<u>XOM</u>	Exxon Mobil Corporation Common Stock	Stock	Sale (Full)	\$50,001 - \$100,000	--
62	04/30/2024	Joint	<u>CVX</u>	Chevron Corporation Common Stock	Stock	Sale (Full)	\$100,001 - \$250,000	--
61	04/30/2024	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Sale (Partial)	\$50,001 - \$100,000	--
60	04/29/2024	Joint	<u>LSCC</u>	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 09/20/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
59	04/29/2024	Joint	<u>LSCC</u>	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 09/20/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
58	04/29/2024	Joint	<u>CVX</u>	Chevron Corporation Common Stock Option Type: Call <i>Strike price:</i> \$160.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
57	04/29/2024	Joint	<u>CCL</u>	Carnival Corporation Common Stock Option Type: Put <i>Strike price:</i> \$17.50 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
56	04/29/2024	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Put <i>Strike price:</i>	Stock Option	Purchase	\$15,001 - \$50,000	--

\$155.00
Expires:
 12/20/2024

55	04/29/2024	Joint	<u>QCOM</u>	QUALCOMM Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 12/20/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
54	04/29/2024	Joint	<u>LSCC</u>	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 01/17/2025	Stock Option	Purchase	\$1,001 - \$15,000	--
53	04/29/2024	Joint	<u>LSCC</u>	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 01/17/2025	Stock Option	Purchase	\$1,001 - \$15,000	--
52	04/29/2024	Joint	<u>CLX</u>	Clorox Company Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
51	04/29/2024	Joint	<u>SWKS</u>	Skyworks Solutions, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$100.00 <i>Expires:</i> 11/15/2024	Stock Option	Purchase	\$15,001 - \$50,000	--
50	04/29/2024	Joint	<u>MMM</u>	3M Company Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
49	04/29/2024	Joint	<u>PAC</u>	Grupo Aeroportuario Del Pacifico ADR	Stock	Sale (Full)	\$1,001 - \$15,000	--

48	04/18/2024	Joint	<u>KMB</u>	Kimberly-Clark Corporation Common Stock Option Type: Put <i>Strike price:</i> \$115.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
47	04/18/2024	Joint	<u>NTES</u>	Netease Inc ADR	Stock	Sale (Full)	\$1,001 - \$15,000	--
46	04/05/2024	Joint	<u>SWKS</u>	Skyworks Solutions, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$100.00 <i>Expires:</i> 11/15/2024	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
45	04/05/2024	Joint	<u>TEL</u>	Te Connectivity Ltd	Stock	Purchase	\$1,001 - \$15,000	--
44	04/05/2024	Joint	<u>SNPS</u>	Synopsys, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
43	04/05/2024	Joint	<u>SWKS</u>	Skyworks Solutions, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
42	04/05/2024	Joint	<u>QLYS</u>	Qualys, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
41	04/05/2024	Joint	<u>ODFL</u>	Old Dominion Freight Line, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
40	04/05/2024	Joint	<u>ODFL</u>	Old Dominion Freight Line, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
39	04/05/2024	Joint	<u>NFLX</u>	Netflix, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
38	04/05/2024	Joint	<u>MSI</u>	Motorola Solutions, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
37	04/05/2024	Joint	<u>NTES</u>	Netease Inc ADR	Stock	Purchase	\$1,001 - \$15,000	--
36	04/05/2024	Joint	<u>LULU</u>	lululemon athletica inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
35	04/05/2024	Joint	<u>LSCC</u>	Lattice Semiconductor Corporation - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
34	04/05/2024	Joint	<u>JKHY</u>	Jack Henry &	Stock	Purchase	\$1,001 -	--

Associates, Inc.
- Common Stock

\$15,000

33	04/05/2024	Joint	<u>PAC</u>	Grupo Aeroportuario Del Pacifico, S.A. B. de C.V.	Stock	Purchase	\$1,001 - \$15,000	--
32	04/05/2024	Joint	<u>GILD</u>	Gilead Sciences, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
31	04/05/2024	Joint	<u>DECK</u>	Deckers Outdoor Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
30	04/05/2024	Joint	<u>CBSH</u>	Commerce Bancshares, Inc. - Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
29	04/05/2024	Joint	<u>BRO</u>	Brown & Brown, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
28	04/05/2024	Joint	<u>A</u>	Agilent Technologies, Inc. Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
27	04/04/2024	Joint	<u>AMAT</u>	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 09/20/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
26	04/04/2024	Joint	<u>WU</u>	Western Union Company (The) Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
25	04/04/2024	Joint	<u>AMAT</u>	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 01/17/2025	Stock Option	Purchase	\$15,001 - \$50,000	--
24	04/04/2024	Joint	<u>AMAT</u>	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$175.00 <i>Expires:</i> 01/17/2025	Stock Option	Purchase	\$1,001 - \$15,000	--

23	04/04/2024	Joint	<u>SSD</u>	Simpson Manufacturing Company, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
22	04/04/2024	Joint	<u>ROK</u>	Rockwell Automation, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
21	04/04/2024	Joint	<u>QSR</u>	Restaurant Brands International Inc	Stock	Sale (Full)	\$1,001 - \$15,000	--
20	04/04/2024	Joint	<u>REGN</u>	Regeneron Pharmaceuticals, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
19	04/04/2024	Joint	<u>PHM</u>	PulteGroup, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
18	04/04/2024	Joint	<u>PAYC</u>	Paycom Software, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
17	04/04/2024	Joint	<u>NDAQ</u>	Nasdaq, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
16	04/04/2024	Joint	<u>KEYS</u>	Keysight Technologies Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
15	04/03/2024	Joint	<u>LSCC</u>	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 09/20/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
14	04/03/2024	Joint	<u>BMJ</u>	Bristol-Myers Squibb Company Common Stock Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
13	04/03/2024	Joint	<u>ABBV</u>	AbbVie Inc. Common Stock Option Type: Put <i>Strike price:</i> \$130.00 <i>Expires:</i> 09/20/2024	Stock Option	Purchase	\$1,001 - \$15,000	--

12	04/03/2024	Joint	<u>GILD</u>	Gilead Sciences, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 11/15/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
11	04/03/2024	Joint	<u>LSCC</u>	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 01/17/2025	Stock Option	Sale (Full)	\$15,001 - \$50,000	--
10	04/03/2024	Joint	<u>MCHP</u>	Microchip Technology Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 10/18/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
9	04/03/2024	Joint	<u>MCHP</u>	Microchip Technology Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 10/18/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
8	04/03/2024	Joint	<u>CCJ</u>	Cameco Corporation Common Stock Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/20/2024	Stock Option	Sale (Full)	\$1,001 - \$15,000	--
7	04/03/2024	Joint	<u>MCHP</u>	Microchip Technology Incorporated - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
6	04/03/2024	Joint	<u>LLY</u>	Eli Lilly and Company	Stock	Sale (Full)	\$15,001 - \$50,000	--

Common Stock								
5	04/03/2024	Joint	<u>FTNT</u>	Fortinet, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
4	04/03/2024	Joint	<u>IEX</u>	IDEX Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
3	04/03/2024	Joint	<u>EXR</u>	Extra Space Storage Inc Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
2	04/03/2024	Joint	<u>BMJ</u>	Bristol-Myers Squibb Company Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
1	04/03/2024	Joint	<u>AVGO</u>	Broadcom Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--

Periodic Transaction Report for 06/14/2024

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 06/14/2024 @ 2:39 PM

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Transactions (94 transactions total) 0 Self 94 Joint 0 Spouse 0 Dependent Child

#	Transac- tion Date	Own- er	Ticker	Asset Name	Asset Type	Type	Amount	Comment
94	05/07/2024	Joint	<u>ARKK</u>	Ark Innovation ETF Option Type: Call <i>Strike price:</i> \$51.00 <i>Expires:</i> 12/20/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
93	05/10/2024	Joint	<u>ARKK</u>	Ark Innovation ETF Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/20/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
92	05/08/2024	Joint	<u>XBI</u>	S&P Biotech SPDR Option Type: Call <i>Strike price:</i> \$100.00 <i>Expires:</i> 10/18/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
91	05/08/2024	Joint	<u>XBI</u>	S&P Biotech SPDR Option Type: Put <i>Strike price:</i> \$90.00 <i>Expires:</i>	Stock Option	Purchase	\$1,001 - \$15,000	--

10/18/2024

90	05/13/2024	Joint	<u>INTC</u>	Intel Corp	Stock	Sale (Full)	\$100,001 - \$250,000	--
89	05/13/2024	Joint	<u>INTC</u>	Intel Corp	Stock	Sale (Partial)	\$100,001 - \$250,000	--
88	05/13/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc	Stock	Sale (Full)	\$100,001 - \$250,000	--
87	05/13/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc	Stock	Sale (Partial)	\$100,001 - \$250,000	--
86	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 07/19/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
85	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/17/2025	Stock Option	Purchase	\$1,001 - \$15,000	--
84	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Stock Option	Purchase	\$1,001 - \$15,000	--
83	05/10/2024	Joint	<u>GILD</u>	Gilead Sciences Inc Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 11/15/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
82	05/10/2024	Joint	<u>GILD</u>	Gilead Sciences Inc Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 11/15/2024	Stock Option	Purchase	\$1,001 - \$15,000	--

81	05/10/2024	Joint	<u>GILD</u>	Gilead Sciences Inc Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 11/15/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
80	05/10/2024	Joint	<u>PARA</u>	Paramount Global CI B	Stock	Sale (Full)	\$100,001 - \$250,000	--
79	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
78	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
77	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
76	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$17.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
75	05/10/2024	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Sale (Partial)	\$100,001 - \$250,000	--
74	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc	Stock	Sale (Partial)	\$1,001 - \$15,000	--
73	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc	Stock	Sale (Partial)	\$15,001 - \$50,000	--
72	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc	Stock	Sale (Partial)	\$50,001 - \$100,000	--

71	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc	Stock	Sale (Partial)	\$50,001 - \$100,000	--
70	05/10/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc	Stock	Sale (Partial)	\$100,001 - \$250,000	--
69	05/09/2024	Joint	<u>KEY</u>	Keycorp Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 09/20/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
68	05/09/2024	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$50,001 - \$100,000	--
67	05/08/2024	Joint	<u>GOLD</u>	Barrick Gold Corp Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Stock Option	Purchase	\$1,001 - \$15,000	--
66	05/08/2024	Joint	<u>GOLD</u>	Barrick Gold Corp Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Stock Option	Purchase	\$1,001 - \$15,000	--
65	05/08/2024	Joint	<u>ADBE</u>	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Stock Option	Purchase	\$15,001 - \$50,000	--
64	05/08/2024	Joint	<u>SWKS</u>	Skyworks Solutions, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
63	05/08/2024	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$100,001 - \$250,000	--
62	05/08/2024	Joint	<u>GILD</u>	Gilead Sciences, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
61	05/07/2024	Joint	<u>FITB</u>	Fifth Third Bancorp - Common Stock Option Type:	Stock Option	Purchase	\$1,001 - \$15,000	--

Call
Strike price:
\$30.00
Expires:
05/17/2024

60	05/07/2024	Joint	<u>GOLD</u>	Barrick Gold Corp Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/17/2025	Stock Option	Purchase	\$1,001 - \$15,000	--
59	05/07/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/21/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
58	05/07/2024	Joint	<u>CVX</u>	Chevron Corporation Common Stock Option Type: Put <i>Strike price:</i> \$145.00 <i>Expires:</i> 09/20/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
57	05/07/2024	Joint	<u>CLF</u>	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 11/15/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
56	05/07/2024	Joint	<u>CCJ</u>	Cameco Corporation Common Stock Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/20/2024	Stock Option	Purchase	\$1,001 - \$15,000	--
55	05/07/2024	Joint	<u>LSCC</u>	Lattice Semiconductor Corporation - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
54	05/07/2024	Joint	<u>INTC</u>	Intel Corporation - Common Stock	Stock	Purchase	\$100,001 - \$250,000	--

53	05/07/2024	Joint	<u>FITB</u>	Fifth Third Bancorp - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
52	05/07/2024	Joint	<u>GOLD</u>	Barrick Gold Corp	Stock	Sale (Full)	\$100,001 - \$250,000	--
51	05/07/2024	Joint	<u>WMS</u>	Advanced Drainage Systems, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
50	05/03/2024	Joint	<u>WAT</u>	Waters Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
49	05/03/2024	Joint	<u>V</u>	Visa Inc.	Stock	Sale (Full)	\$1,001 - \$15,000	--
48	05/03/2024	Joint	<u>VRTX</u>	Vertex Pharmaceuticals Incorporated - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
47	05/03/2024	Joint	<u>VRSK</u>	Verisk Analytics, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
46	05/03/2024	Joint	<u>UI</u>	Ubiquiti Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
45	05/03/2024	Joint	<u>TER</u>	Teradyne, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
44	05/03/2024	Joint	<u>TEL</u>	Te Connectivity Ltd	Stock	Sale (Full)	\$1,001 - \$15,000	--
43	05/03/2024	Joint	<u>SNPS</u>	Synopsys, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
42	05/03/2024	Joint	<u>SOLV</u>	Solventum Corporation Common Stock	Stock	Purchase	\$1,001 - \$15,000	--
41	05/03/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC ADR	Stock	Sale (Full)	\$1,001 - \$15,000	--
40	05/03/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC ADR	Stock	Sale (Partial)	\$1,001 - \$15,000	--
39	05/03/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC ADR	Stock	Sale (Partial)	\$1,001 - \$15,000	--
38	05/03/2024	Joint	<u>RMD</u>	ResMed Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
37	05/03/2024	Joint	<u>RELX</u>	Relx Plc ADR	Stock	Sale (Full)	\$1,001 - \$15,000	--
36	05/03/2024	Joint	<u>QLYS</u>	Qualys, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--

35	05/03/2024	Joint	<u>PG</u>	Procter & Gamble Company (The) Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
34	05/03/2024	Joint	<u>PFE</u>	Pfizer, Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
33	05/03/2024	Joint	<u>PEP</u>	PepsiCo, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
32	05/03/2024	Joint	<u>PYPL</u>	PayPal Holdings, Inc. - Common Stock	Stock	Sale (Full)	\$100,001 - \$250,000	--
31	05/03/2024	Joint	<u>PAYX</u>	Paychex, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
30	05/03/2024	Joint	<u>ODFL</u>	Old Dominion Freight Line, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
29	05/03/2024	Joint	<u>NDSN</u>	Nordson Corporation - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
28	05/03/2024	Joint	<u>NXPI</u>	NXP Semiconductors N.V. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
27	05/03/2024	Joint	<u>NFLX</u>	Netflix, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
26	05/03/2024	Joint	<u>MSI</u>	Motorola Solutions, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
25	05/03/2024	Joint	<u>MCO</u>	Moody's Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
24	05/03/2024	Joint	<u>MPWR</u>	Monolithic Power Systems, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
23	05/03/2024	Joint	<u>MA</u>	Mastercard Incorporated Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
22	05/03/2024	Joint	<u>LULU</u>	lululemon athletica inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
21	05/03/2024	Joint	<u>KLAC</u>	KLA Corporation - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
20	05/03/2024	Joint	<u>INFY</u>	Infosys Limited American Depositary Shares	Stock	Sale (Full)	\$1,001 - \$15,000	--

19	05/03/2024	Joint	<u>ITW</u>	Illinois Tool Works Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
18	05/03/2024	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
17	05/03/2024	Joint	<u>HUMA</u>	Humacyte, Inc. - Common Stock	Stock	Sale (Partial)	\$15,001 - \$50,000	--
16	05/03/2024	Joint	<u>HSY</u>	The Hershey Company Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
15	05/03/2024	Joint	<u>JKHY</u>	Jack Henry & Associates, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
14	05/03/2024	Joint	<u>GGG</u>	Graco Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
13	05/03/2024	Joint	<u>GRMN</u>	Garmin Ltd. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
12	05/03/2024	Joint	<u>GLPI</u>	Gaming and Leisure Properties, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
11	05/03/2024	Joint	<u>FDS</u>	FactSet Research Systems Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
10	05/03/2024	Joint	<u>ELS</u>	Equity Lifestyle Properties, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
9	05/03/2024	Joint	<u>DECK</u>	Deckers Outdoor Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
8	05/03/2024	Joint	<u>CPRT</u>	Copart, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
7	05/03/2024	Joint	<u>CBSH</u>	Commerce Bancshares, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
6	05/03/2024	Joint	<u>CTAS</u>	Cintas Corporation - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
5	05/03/2024	Joint	<u>CDNS</u>	Cadence Design Systems, Inc. - Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
4	05/03/2024	Joint	<u>DOW</u>	Dow Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--

3	05/03/2024	Joint	<u>BRO</u>	Brown & Brown, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
2	05/03/2024	Joint	<u>ASML</u>	ASML Holding N.V. - New York Registry Shares	Stock	Sale (Full)	\$15,001 - \$50,000	--
1	05/03/2024	Joint	<u>A</u>	Agilent Technologies, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--

Financial Disclosures

Periodic Transaction Report for 09/13/2024

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 09/13/2024 @ 10:12 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (6 transactions total) 3 Self 3 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
6	08/26/2024	Self	<u>AAPL</u>	Apple Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
5	08/26/2024	Joint	<u>MDT</u>	Medtronic plc. Ordinary Shares	Stock	Sale (Full)	\$15,001 - \$50,000	--
4	08/26/2024	Joint	<u>CVS</u>	CVS Health Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
3	08/26/2024	Joint	<u>UPS</u>	United Parcel Service, Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
2	08/26/2024	Self	<u>EIBLX</u>	Eaton Vance Floating Rate Fund Insti Share <i>Company:</i> EATON VANCE FLOATING RATE CLASS I	Other Securities	Sale (Full)	\$50,001 - \$100,000	--
1	08/26/2024	Self	<u>ABNEX</u>	The Bond Fd of America Class F-2 Shares <i>Company:</i> AMERICAN BOND FUND OF AMERICA CL F2	Other Securities	Purchase	\$50,001 - \$100,000	--

Periodic Transaction Report for 11/15/2024

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 11/15/2024 @ 10:57 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (12 transactions total) 0 Self 12 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
12	10/29/2024	Joint	<u>ADBE</u>	Adobe Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
11	10/29/2024	Joint	<u>AAPL</u>	Apple Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
10	10/29/2024	Joint	<u>CTVA</u>	Corteva, Inc. Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
9	10/29/2024	Joint	<u>HON</u>	Honeywell International Inc. - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
8	10/29/2024	Joint	<u>JNJ</u>	Johnson & Johnson Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
7	10/29/2024	Joint	<u>KMB</u>	Kimberly-Clark Corporation Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
6	10/29/2024	Joint	<u>MCD</u>	McDonald's Corporation Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--

5	10/29/2024	Joint	<u>MSFT</u>	Microsoft Corporation - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
4	10/29/2024	Joint	--	Nestle ADR	Stock	Sale (Full)	\$15,001 - \$50,000	--
3	10/29/2024	Joint	--	Otis Worldwide Corporation Common Stock	Stock	Sale (Full)	\$1,001 - \$15,000	--
2	10/29/2024	Joint	<u>QC</u>	Owens Corning Inc Common Stock New	Stock	Sale (Full)	\$15,001 - \$50,000	--
1	10/29/2024	Joint	<u>TRV</u>	The Travelers Companies, Inc. Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--

United States Senate

Financial Disclosures

Annual Report for Calendar 2024 (Amendment 1)

Mr. Thomas H Tuberville (Tuberville, Tommy)

 Filed 08/10/2026 @ 2:24 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Pension	Retirement Systems of Alabama Montgomery, AL	\$47,071.32	n/a
2	Self	Royalties	WB Studio Enterprises Inc. Burbank, CA	\$1,763.81	n/a
3	Spouse	Commissions	BPFLA Referrals LLC Santa Rosa Beach, FL	> \$1,000	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Hancock Whitney Bank (Santa Rosa Beach, FL) <i>Type: Checking</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest	None (or less than \$201)
2	Prudential Variable Annuity <i>Provider: Prudential</i>	Annuity Variable Annuity	Self	--		
2.1	AST Preservation Asset Allocation Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
2.2	AST Prudential Growth Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
2.3	AST T. Rowe Price Asset Allocation	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None	None (or less than \$201)
2.4	AST Balanced Asset Allocation	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
2.5	AST J.P. Morgan Moderate Multi-Asset (formerly AST JPMorgan Thematic Fund)	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
3	Osaic IRA (formerly Lincoln IRA)	Retirement Plans IRA	Self	--		
3.1	Fidelity (FDRXX) (Boston, MA) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Self	\$250,001 - \$500,000	None	None (or less than \$201)
3.2	GOOGL - Alphabet Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None	None (or less than \$201)
3.3	AAPL - Apple Inc.	Corporate Securities	Self	\$250,001 - \$500,000	None	None (or less than \$201)

		Stock				\$201)
3.4	<u>C</u> - Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.5	<u>NUE</u> - Nucor Corporation	Corporate Securities Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.6	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.7	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.8	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
3.9	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.10	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.11	<u>FSPSX</u> - Fidelity International Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.12	<u>FSMDX</u> - Fidelity Mid Cap Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.13	<u>SGIIX</u> - First Eagle Global Fund Class I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.14	<u>EIBLX</u> - Eaton Vance Floating - Rate Fund Institutional Cla	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None	None (or less than \$201)
3.15	<u>TDIV</u> - First Trust NASDAQ Technology Dividend Index Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.16	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.17	<u>XLP</u> - Consumer Staples Select	Mutual Funds Exchange Traded	Self	\$50,001 - \$100,000	None	None (or less than

	Sector SPDR Fund	Fund/Note				\$201)
3.18	<u>XLU</u> - Utilities Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.19	<u>XLV</u> - Health Care Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.20	<u>XAR</u> - SPDR S&P Aerospace & Defense ETF	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None	None (or less than \$201)
3.21	<u>VIG</u> - Vanguard Dividend Appreciation Index Fund ETF Shar	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.22	<u>QQQ</u> - Invesco QQQ Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.23	<u>PFF</u> - iShares Preferred and Income Securities ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.24	<u>DTD</u> - WisdomTree U.S. Total Dividend Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.25	<u>BMJ</u> - Bristol-Myers Squibb Company Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
3.26	<u>IDV</u> - iShares International Select Dividend ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	None	None (or less than \$201)
3.27	PayPal Holdings, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.28	<u>WAB</u> - WABTEC COM	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
3.29	<u>ABNFX</u> - The Bond Fund of America Class F2	Corporate Securities Stock	Self	\$100,001 - \$250,000	None	None (or less than \$201)

3.30	RSP - Invesco S&P 500 Equal Weight ETF	Corporate Securities Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.31	DHS - Wisdomtree U.S. High Dividend Fund	Corporate Securities Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.32	US Treas Bills Zero CPN <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.33	FSMSX - FS Multi-Strategy Alternatives Fund Class I	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.34	PQIIX - Pimco Dividend and Income Fund Class Instl	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.35	Federal Home LN MTG Corp MTN 5.2000% <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Self	None (or less than \$1,001)	None	None (or less than \$201)
3.36	LVHI - Legg Mason International Low Volatility High Divid	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.37	DGRW - WisdomTree U.S. Quality Dividend Growth Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
4	Osaic Joint Brokerage Account (formerly Lincoln Joint Brokerage Account)	Brokerage/Managed Account	Joint	--		
4.1	Fidelity (SPAXX) (Boston, MA) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
4.2	ABBV - AbbVie	Corporate	Joint	\$15,001 -	Dividends,	\$201 -

	Inc.	Securities Stock		\$50,000	Capital Gains	\$1,000
4.3	<u>ACN</u> - Accenture plc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains	\$5,001 - \$15,000
4.4	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
4.5	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends, Capital Gains	\$5,001 - \$15,000
4.6	<u>AWK</u> - American Water Works Company, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.7	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.8	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends, Capital Gains	\$50,001 - \$100,000
4.9	<u>ADM</u> - Archer-Daniels-Midland Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.10	<u>CVS</u> - CVS Health Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
4.11	<u>STZ</u> - Constellation Brands, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.12	<u>DEO</u> - Diageo plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.13	<u>DUK</u> - Duke Energy Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.14	<u>GS</u> - The Goldman Sachs Group, Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
4.15	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
4.16	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
4.17	<u>JPM</u> - JPMorgan	Corporate	Joint	\$15,001 -	Dividends,	\$5,001 -

	Chase & Co.	Securities Stock		\$50,000	Capital Gains	\$15,000
4.18	<u>KMB</u> - Kimberly-Clark Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
4.19	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$15,001 - \$50,000
4.20	<u>LMT</u> - Lockheed Martin Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.21	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	None (or less than \$201)
4.22	<u>MCD</u> - McDonald's Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
4.23	<u>MDT</u> - Medtronic plc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
4.24	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends, Capital Gains	\$15,001 - \$50,000
4.25	<u>NSRGY</u> - Nestlé S.A.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
4.26	<u>NEE</u> - NextEra Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.27	<u>ORCL</u> - Oracle Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$5,001 - \$15,000
4.28	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.29	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.30	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.31	<u>PG</u> - The Procter & Gamble Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.32	<u>QCOM</u> -	Corporate	Joint	\$15,001 -	Dividends	\$201 -

	QUALCOMM Incorporated	Securities Stock		\$50,000		\$1,000
4.33	<u>SLF</u> - Sun Life Financial Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
4.34	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$5,001 - \$15,000
4.35	<u>TSCO</u> - Tractor Supply Company	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
4.36	<u>TRV</u> - The Travelers Companies, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
4.37	<u>UNP</u> - Union Pacific Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
4.38	<u>UPS</u> - United Parcel Service, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
4.39	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.40	BIRMINGHAM ALA GO WTS SER (09088RJ31) <i>Rate/Coupon: 5% Matures: 12/01/2043</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
4.41	<u>CARR</u> - Carrier Global Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	None (or less than \$201)
4.42	<u>BMJ</u> - Bristol-Myers Squibb Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
4.43	<u>CTVA</u> - Corteva, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
4.44	<u>OTIS</u> - Otis Worldwide Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
4.45	<u>DGX</u> - Quest Diagnostics Incorporated	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
4.46	<u>OC</u> - Owens	Corporate	Joint	\$15,001 -	Dividends,	\$15,001 -

	Corning Common Stock New	Securities Stock		\$50,000	Capital Gains	\$50,000
4.47	<u>CSX</u> - CSX Corp	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$5,001 - \$15,000
5	Southern States Bank (Auburn, AL) Type: Checking	Bank Deposit	Joint	\$15,001 - \$50,000	Interest	None (or less than \$201)
6	Fifth Third Bank (Cincinnati, OH) Type: Checking, Savings	Bank Deposit	Joint	\$15,001 - \$50,000	Interest	None (or less than \$201)
7	Alabama Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	Other (Pension Payment)	Other \$47,071.32
8	University of Miami Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None	None (or less than \$201)
9	Disney SIP	Retirement Plans IRA	Self	--		
9.1	BTC LifePath Retirement Fund	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
10	LPL Financial Joint Brokerage Account	Brokerage/Managed Account	Joint	--		
10.1	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.2	<u>GOOG</u> - Alphabet Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
10.3	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
10.4	<u>ASML</u> - ASML Holding N.V.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.5	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.6	<u>FDS</u> - FactSet Research Systems Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.7	GRMN - Garmin	Corporate	Joint	None (or less	Dividends,	\$5,001 -

	Ltd.	Securities Stock		than \$1,001)	Capital Gains	\$15,000
10.8	<u>GNTX</u> - Gentex Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
10.9	<u>GGG</u> - Graco Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
10.10	<u>IEX</u> - IDEX Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.11	<u>ITW</u> - Illinois Tool Works Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.12	<u>INTC</u> - Intel Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.13	<u>JKHY</u> - Jack Henry & Associates, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.14	<u>KLAC</u> - KLA Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.15	<u>LRCX</u> - Lam Research Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.16	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.17	<u>MNST</u> - Monster Beverage Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.18	<u>MCO</u> - Moody's Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.19	<u>NVDA</u> - NVIDIA Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.20	<u>PAYX</u> - Paychex, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.21	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.22	<u>UI</u> - Ubiquiti Inc.	Corporate Securities	Joint	None (or less than \$1,001)	Dividends, Capital	None (or less than

		Stock			Gains	\$201)
10.23	<u>VRSK</u> - Verisk Analytics, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
10.24	<u>V</u> - Visa Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.25	<u>AMAT</u> - Applied Materials, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.26	<u>GOLD</u> - Barrick Gold Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.27	<u>CPRT</u> - Copart, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.28	<u>INFY</u> - Infosys Limited	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
10.29	<u>NVO</u> - Novo Nordisk A/S	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.30	<u>SCCO</u> - Southern Copper Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.31	Goldman Sachs Bank USA (New York, New York) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest	\$2,501 - \$5,000
10.32	<u>ADI</u> - Analog Devices, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.33	<u>CTAS</u> - Cintas Corporation - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
10.34	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.35	<u>HSY</u> - The Hershey Company Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.36	<u>IDXX</u> - IDEXX	Corporate	Joint	None (or less	Capital	\$201 -

	Laboratories, Inc. - Common Stock	Securities Stock		than \$1,001)	Gains	\$1,000
10.37	<u>KEYS</u> - Keysight Technologies Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.38	<u>MPWR</u> - Monolithic Power Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
10.39	<u>OXY</u> - Occidental Petroleum Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.40	<u>ODFL</u> - Old Dominion Freight Line, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.41	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.42	<u>PG</u> - Procter & Gamble Company (The) Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
10.43	<u>REGN</u> - Regeneron Pharmaceuticals, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
10.44	<u>ROK</u> - Rockwell Automation, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.45	<u>SWKS</u> - Skyworks Solutions, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.46	<u>SSBK</u> - Southern States Bancshares, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.47	<u>TER</u> - Teradyne, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000

10.48	<u>WAT</u> - Waters Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.49	Goldman Sachs (New York, NY) <i>Type: Money Market Account</i>	Bank Deposit	Joint	None (or less than \$1,001)	Dividends	None (or less than \$201)
10.50	<u>ABBV</u> - AbbVie Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
10.51	<u>AME</u> - Ametek Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
10.52	<u>AMGN</u> - Amgen Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.53	<u>CDNS</u> - Cadence Design Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.54	<u>CFG</u> - Citizens Financial Group, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.55	<u>DOW</u> - Dow Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.56	<u>ELS</u> - Equity Lifestyle Properties	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.57	<u>EXR</u> - Extra Space Storage Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.58	<u>FITB</u> - Fifth Third Bancorp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.59	<u>GLPI</u> - Gaming and Leisure Properties, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.60	<u>GOOG</u> - Alphabet Inc. - Class C Capital Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.61	<u>HUMA</u> - Humacyte Inc	Corporate Securities	Joint	None (or less than \$1,001)	Dividends, Capital	None (or less than

		Stock			Gains	\$201)
10.62	IBM - International Business Machines Corporat	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.63	KEY - Keycorp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.64	MMC - Marsh & McLennan Companies	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
10.65	MMM - 3M Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
10.66	NDAQ - Nasdaq Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.67	PFE - Pfizer Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.68	PAYC - Paycom Software Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.69	PHM - Pultegroup	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
10.70	RACE - Ferrari N.V.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.71	VRTX - Vertex Pharmaceutic	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
10.72	WBA - Walgreens Boots Alliance	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
10.73	XOM - Exxon Mobil Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.74	CVX - Chevron Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
10.75	AMD - Advanced Micro Devices, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

10.76	ARKK - Ark Innovation ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.77	XBI SPDR Series Trust S&P Biotech ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.78	NLR Vaneck Uranium + Nuclear Energy ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.79	WMS Advanced Drain System Inc DEL	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
10.80	APH AMPHENOL CORP CLASS A NEW	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.81	AON AON PLC CL A	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.82	ADSK Autodesk Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.83	BMJ BRISTOL MYERS SQUIBB COMPANY	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	None (or less than \$201)
10.84	AVGO BROADCOM INC	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.85	FTNT FORTINET INC	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.86	PAM PAMPA ENERGIA S A SPON ADR LEVEL 1	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.87	PARA Paramount Global CL B	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.88	MCHP Microchip Technology Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
10.89	NDSN Nordson Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.90	NXPI NXP	Corporate	Joint	None (or less	Capital	\$1,001 -

	Semiconductors N V	Securities Stock		than \$1,001)	Gains	\$2,500
10.91	RMD RESMED INC	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
10.92	QSR Restaurant Brands INTL Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
10.93	SSD Simpson Manufacturing Co	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
10.94	WU Western Union Co	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$201 - \$1,000
10.95	XP XP Inc CL A	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.96	<u>CBSH</u> - Commerce Bancshares	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.97	<u>GOLD</u> - Barrick Gold Corp <i>Strike price: \$20.00 Call Expires: 01/17/2025</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.98	<u>CVX</u> - Chevron Corp <i>Strike price: \$160.00 Call Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.99	<u>AAPL</u> - Apple Inc <i>Strike price: \$165.00 Put Expires: 03/15/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.100	<u>ABBV</u> - Abbvie Inc <i>Strike price: \$130.00 Put Expires: 09/20/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.101	<u>AMAT</u> - Applied Materials <i>Strike price: \$135.00 Put</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

Expires:
09/20/2024

10.102	AMAT - Applied Materials <i>Strike price:</i> \$140.00 Put <i>Expires:</i> 04/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.103	BMJ - Bristol-Myers Squibb Company <i>Strike price:</i> \$50.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.104	CCL - Carnival Corp <i>Strike price:</i> \$15.00 Put <i>Expires:</i> 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.105	CCL - Carnival Corp <i>Strike price:</i> \$17.50 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.106	CLF - Cleveland-Cliffs Inc <i>Strike price:</i> \$16.00 Put <i>Expires:</i> 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.107	CLF - Cleveland-Cliffs Inc <i>Strike price:</i> \$20.00 Call <i>Expires:</i> 07/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.108	CLF - Cleveland-Cliffs Inc <i>Strike price:</i> \$19.00 Call <i>Expires:</i> 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.109	CLF - Cleveland-Cliffs Inc <i>Strike price:</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

\$18.00 | Call
Expires:
01/19/2024

10.110	CLF - Cleveland-Cliffs Inc Strike price: \$20.00 Call Expires: 01/17/2025	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
10.111	CLF - Cleveland-Cliffs Inc Strike price: \$17.00 Call Expires: 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.112	CLF - Cleveland-Cliffs Inc Strike price: \$15.00 Put Expires: 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.113	CLF - Cleveland-Cliffs Inc Strike price: \$17.00 Call Expires: 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.114	CLF - Cleveland-Cliffs Inc Strike price: \$20.00 Call Expires: 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.115	CVX - Chevron Corp Strike price: \$145.00 Put Expires: 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.116	FITBO - Fifth Third Bancorp Strike price: \$30.00 Call Expires: 05/17/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.117	INTC - Intel Corp Strike price: \$45.00 Call Expires:	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

04/19/2024

10.118	<u>OXY</u> - Occidental Petroleum Corp <i>Strike price:</i> \$65.00 Call <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
10.119	<u>OXY</u> - Occidental Petroleum Corp <i>Strike price:</i> \$60.00 Put <i>Expires:</i> 05/17/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.120	<u>OXY</u> - Occidental Petroleum Corp <i>Strike price:</i> \$60.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.121	<u>PARA</u> - Paramount Global Cl B <i>Strike price:</i> \$17.50 Call <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.122	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price:</i> \$77.50 Call <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.123	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price:</i> \$55.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.124	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price:</i> \$75.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.125	<u>GOLD</u> - Barrick	Corporate	Joint	None (or less than \$1,001)	Capital	None (or

	Gold Corp <i>Strike price:</i> \$17.00 Put <i>Expires:</i> 01/17/2025	Securities Stock Option		than \$1,001)	Gains	less than \$201)
10.126	COF - Capital One Financial Corp <i>Strike price:</i> \$110.00 Put <i>Expires:</i> 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.127	CLX - Clorox Company <i>Strike price:</i> \$135.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
10.128	DVA - DaVita Inc. Common Stock <i>Strike price:</i> \$100.00 Put <i>Expires:</i> 07/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.129	DVA - DaVita Inc. Common Stock <i>Strike price:</i> \$92.50 Put <i>Expires:</i> 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.130	KMB - Kimberly-Clark Corporation Common Stock <i>Strike price:</i> \$115.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.131	LPX - Louisiana-Pacific Corporation Common Stock <i>Strike price:</i> \$52.50 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.132	MCHP - Microchip Technology	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000

	Strike price: \$80.00 Put Expires: 10/18/2024					
10.133	MSFT - Microsoft Corp Strike price: \$305.00 Put Expires: 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.134	PG - Procter & Gamble Company Strike price: \$145.00 Put Expires: 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.135	PG - Procter & Gamble Company Strike price: \$150.00 Put Expires: 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.136	QCOM - QUALCOMM Incorporated - Common Stock Strike price: \$110.00 Put Expires: 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.137	X - United States Steel Corp Strike price: \$23.00 Put Expires: 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
10.138	CLF - Cleveland-Cliffs Inc. Common Stock Strike price: \$20.00 Call Expires: 11/15/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.139	ARKK - ARK Innovation ETF Strike price: \$50.00 Call	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

Expires:
03/15/2024

10.140	ARKK - ARK Innovation ETF <i>Strike price:</i> \$54.00 Call <i>Expires:</i> 01/23/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.141	ARKK - ARK Innovation ETF <i>Strike price:</i> \$51.00 Call <i>Expires:</i> 12/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.142	XBI - SPDR Series Trust SPDR S&P Biotech ETF <i>Strike price:</i> \$100.00 Call <i>Expires:</i> 10/18/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.143	ADBE - Adobe Systems Inc <i>Strike price:</i> \$500.00 Put <i>Expires:</i> 12/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.144	AMAT - Applied Materials <i>Strike price:</i> \$175.00 Put <i>Expires:</i> 01/17/2025	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.145	AMAT - Applied Materials, Inc. - Common Stock <i>Strike price:</i> \$180.00 Put <i>Expires:</i> 01/17/2025	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.146	ARKK - ARK Innovation ETF <i>Strike price:</i> \$45.00 Put <i>Expires:</i> 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.147	CCJ - Cameco	Corporate	Joint	None (or less	Capital	\$2,501 -

	Corp <i>Strike price:</i> \$45.00 Put <i>Expires:</i> 09/20/2024	Securities Stock Option		than \$1,001)	Gains	\$5,000
10.148	CLF - Cleveland-Cliffs Inc <i>Strike price:</i> \$17.00 Put <i>Expires:</i> 01/17/2025	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.149	GILD - Gilead Sciences Inc <i>Strike price:</i> \$70.00 Put <i>Expires:</i> 11/15/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.150	KEY - Keycorp <i>Strike price:</i> \$15.00 Put <i>Expires:</i> 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.151	LSCC - Lattice Semicond <i>Strike price:</i> \$75.00 Put <i>Expires:</i> 01/17/2025	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.152	LSCC - Lattice Semicond <i>Strike price:</i> \$70.00 Put <i>Expires:</i> 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.153	QCOM - Qualcomm Inc <i>Strike price:</i> \$150.00 Put <i>Expires:</i> 12/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.154	QCOM - Qualcomm Inc <i>Strike price:</i> \$155.00 Put <i>Expires:</i> 12/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.155	QQQ - Invesco QQQ Trust,	Corporate Securities	Joint	None (or less than \$1,001)	Capital Gains	None (or less than

	Series 1 <i>Strike price:</i> \$264.78 Put <i>Expires:</i> 01/19/2024	Stock Option				\$201)
10.156	<u>SWKS</u> - Skyworks Solutions <i>Strike price:</i> \$100.00 Put <i>Expires:</i> 11/15/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
10.157	<u>URA</u> - Global X Uranium ETF <i>Strike price:</i> \$27.00 Put <i>Expires:</i> 04/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.158	<u>URNM</u> - Sprott Uranium Miners ETF <i>Strike price:</i> \$37.00 Put <i>Expires:</i> 04/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
10.159	<u>XBI</u> - SPDR Series Trust SPDR S&P Biotech ETF <i>Strike price:</i> \$90.00 Put <i>Expires:</i> 10/18/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.160	<u>A</u> - Agilent Technologies	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.161	<u>BRO</u> - Brown & Brown	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.162	<u>DECK</u> - Deckers Outdoor Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.163	<u>META</u> - Meta Platforms Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
10.164	<u>GILD</u> - Gilead Sciences Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)

10.165	<u>PAC</u> - Grupo Aeroportuario Del Pacifico ADR	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
10.166	<u>LSCC</u> - Lattice Semiconductor Corporation - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.167	<u>LULU</u> - lululemon athletica inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.168	<u>MSI</u> - Motorola Solutions	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.169	<u>NFLX</u> - Netflix Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.170	<u>NTES</u> - Netease Inc ADR	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.171	<u>QLYS</u> - Qualys Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.172	Rolls Royce Holdings PLC ADR	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.173	<u>SOLV</u> - Solventum Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.174	<u>SNPS</u> - Synopsys Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.175	<u>GOGL</u> - Golden Ocean Gp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.176	<u>TEL</u> - Te Connectivity Ltd	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	None (or less than \$201)
10.177	<u>SBLK</u> - Star Bulk Carriers	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
10.178	<u>RELX</u> - RELX PLC PLC American Depositary Shares (Each representing One Ordinary Share)	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000

11	Property 2 <i>Description:</i> House (Santa Rosa Beach, FL)	Real Estate Residential	Joint	\$500,001 - \$1,000,000	None	None (or less than \$201)
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Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transac- tion Date	Own- er	Ticker	Asset Name	Type	Amount	Comment
1	01/03/2024	Joint	MSFT	Microsoft Corporation - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
2	01/03/2024	Joint	SLF	Sun Life Financial Inc. Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
3	01/03/2024	Joint	DGX	Quest Diagnostics Incorporated Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
4	01/03/2024	Joint	UNP	Union Pacific Corporation Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
5	01/03/2024	Joint	LLY	Eli Lilly and Company Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
6	01/03/2024	Joint	AAPL	Apple Inc. - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
7	01/03/2024	Joint	GOOGL	Alphabet Inc. - Class A Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
8	01/03/2024	Joint	BMJ	Bristol-Myers Squibb Company Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
9	01/03/2024	Joint	ORCL	Oracle Corporation Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
10	01/10/2024	Joint	AAPL	Apple Inc. - Common Stock Option Type: Put Strike price: \$165.00	Purchase	\$1,001 - \$15,000	--

Expires:
03/15/2024

11	01/19/2024	Joint	ADI	Analog Devices, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
12	01/19/2024	Joint	ADBE	Adobe Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
13	01/19/2024	Joint	AMD	Advanced Micro Devices, Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
14	01/19/2024	Joint	GOOG	Alphabet Inc. - Class C Capital Stock	Sale (Full)	\$1,001 - \$15,000	--
15	01/19/2024	Joint	GOOGL	Alphabet Inc. - Class A Common Stock	Sale (Full)	\$1,001 - \$15,000	--
16	01/19/2024	Joint	AME	AMETEK, Inc.	Sale (Full)	\$1,001 - \$15,000	--
17	01/19/2024	Joint	AMGN	Amgen Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
18	01/19/2024	Joint	APH	Amphenol Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
19	01/19/2024	Joint	AAPL	Apple Inc. - Common Stock	Sale (Full)	\$250,001 - \$500,000	--
20	01/19/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
21	01/19/2024	Joint	ADSK	Autodesk, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
22	01/19/2024	Joint	CFG	Citizens Financial Group, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
23	01/19/2024	Joint	RACE	Ferrari N.V. Common Shares	Sale (Full)	\$1,001 - \$15,000	--
24	01/19/2024	Joint	GNTX	Gentex Corporation - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
25	01/19/2024	Joint	IBM	International Business Machines Corporation Common	Sale (Full)	\$1,001 - \$15,000	--
26	01/19/2024	Joint	LRCX	Lam Research	Sale	\$1,001 -	--

				Corporation - Common Stock	(Full)	\$15,000	
27	01/19/2024	Joint	NVO	Novo Nordisk A/S Common Stock	Sale (Full)	\$15,001 - \$50,000	--
28	01/19/2024	Joint	PAM	Pampa Energia S.A. ADR	Sale (Full)	\$1,001 - \$15,000	--
29	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
30	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
31	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
32	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
33	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
34	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
35	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
36	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
37	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
38	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
39	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
40	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
41	01/19/2024	Joint	XP	XP Inc. - Class A Common Stock	Sale (Full)	\$15,001 - \$50,000	--
42	01/19/2024	Joint	PG	Procter &	Purchase	\$1,001 -	--

Gamble
Company (The)
Common Stock
Option Type:
Put
Strike price:
\$150.00
Expires:
01/19/2024

\$15,000

43	01/19/2024	Joint	ABBV	AbbVie Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
44	01/19/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
45	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
46	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
47	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
48	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
49	01/22/2024	Joint	META	Meta Platforms, Inc. - Class A Common Stock	Sale (Full)	\$1,001 - \$15,000	--
50	01/22/2024	Joint	IDXX	IDEXX Laboratories, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
51	01/22/2024	Joint	MMC	Marsh & McLennan Companies, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
52	01/22/2024	Joint	MNST	Monster Beverage Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
53	01/22/2024	Joint	SCCO	Southern Copper Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
54	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call	Sale (Full)	\$1,001 - \$15,000	--

Strike price:
\$20.00
Expires:
11/15/2024

55	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 11/15/2024	Sale (Full)	\$1,001 - \$15,000	--
56	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$1,001 - \$15,000	--
57	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$1,001 - \$15,000	--
58	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$1,001 - \$15,000	--
59	01/22/2024	Joint	AON	Aon plc Class A Ordinary Shares (Ireland)	Sale (Full)	\$1,001 - \$15,000	--
60	01/24/2024	Joint	INTC	Intel Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i>	Sale (Full)	\$15,001 - \$50,000	--

12/20/2024

61	02/12/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
62	02/12/2024	Joint	KEY	KeyCorp Common Stock Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 09/20/2024	Sale (Full)	\$1,001 - \$15,000	--
63	02/16/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$15,001 - \$50,000	--
64	02/27/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Purchase	\$50,001 - \$100,000	--
65	02/27/2024	Joint	GOGL	Golden Ocean Group Limited - Common Stock	Purchase	\$50,001 - \$100,000	--
66	02/27/2024	Joint	PFE	Pfizer, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
67	02/27/2024	Joint	SBLK	Star Bulk Carriers Corp. - Common Shares	Purchase	\$50,001 - \$100,000	--
68	02/27/2024	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 12/20/2024	Sale (Full)	\$15,001 - \$50,000	--
69	03/08/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$175.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$1,001 - \$15,000	--

70	03/12/2024	Joint	CSX	CSX Corporation - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
71	03/12/2024	Self	--	FEDERAL HOME LN MTG CORP MTN <i>Rate/Coupon:</i> 5.375% <i>Matures:</i> 02/08/2027	Purchase	\$100,001 - \$250,000	--
72	03/12/2024	Joint	JPM	JP Morgan Chase & Co. Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
73	03/12/2024	Joint	TXN	Texas Instruments Incorporated - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
74	03/12/2024	Self	AAPL	Apple Inc	Sale (Partial)	\$15,001 - \$50,000	--
75	03/12/2024	Joint	ACN	Accenture Plc	Sale (Full)	\$1,001 - \$15,000	--
76	03/13/2024	Joint	ADBE	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Sale (Full)	\$1,001 - \$15,000	--
77	03/13/2024	Joint	ADBE	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Sale (Full)	\$1,001 - \$15,000	--
78	03/13/2024	Joint	ADBE	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Sale (Full)	\$1,001 - \$15,000	--
79	03/13/2024	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Put	Sale (Full)	\$15,001 - \$50,000	--

Strike price:
\$155.00
Expires:
12/20/2024

80	03/13/2024	Joint	ADBE	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Sale (Full)	\$1,001 - \$15,000	--
81	03/21/2024	Joint	WBA	Walgreens Boots Alliance, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
82	03/21/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
83	03/21/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
84	03/21/2024	Joint	HUMA	Humacyte Inc	Purchase	\$1,001 - \$15,000	--
85	03/21/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC SPONSORED ADR	Purchase	\$1,001 - \$15,000	--
86	03/21/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC SPONSORED ADR	Purchase	\$1,001 - \$15,000	--
87	03/21/2024	Joint	PARA	Paramount Global - Class B Common Stock	Purchase	\$15,001 - \$50,000	--
88	04/03/2024	Joint	MCHP	Microchip Technology Incorporated - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
89	04/03/2024	Joint	CCJ	Cameco Corporation Common Stock Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/20/2024	Sale (Full)	\$1,001 - \$15,000	--
90	04/03/2024	Joint	MCHP	Microchip Technology Incorporated -	Purchase	\$1,001 - \$15,000	--

				Common Stock Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 10/18/2024			
91	04/03/2024	Joint	MCHP	Microchip Technology Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 10/18/2024	Purchase	\$1,001 - \$15,000	--
92	04/03/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$15,001 - \$50,000	--
93	04/03/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 09/20/2024	Sale (Full)	\$1,001 - \$15,000	--
94	04/03/2024	Joint	BMV	Bristol-Myers Squibb Company Common Stock Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
95	04/03/2024	Joint	ABBV	AbbVie Inc. Common Stock Option Type: Put <i>Strike price:</i>	Purchase	\$1,001 - \$15,000	--

\$130.00
Expires:
 09/20/2024

96	04/03/2024	Joint	GILD	Gilead Sciences, Inc. - Common Stock Option Type: Put Strike price: \$70.00 Expires: 11/15/2024	Sale (Full)	\$1,001 - \$15,000	--
97	04/03/2024	Joint	AVGO	Broadcom Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
98	04/03/2024	Joint	BMJ	Bristol-Myers Squibb Company Common Stock	Sale (Full)	\$1,001 - \$15,000	--
99	04/03/2024	Joint	EXR	Extra Space Storage Inc Common Stock	Sale (Full)	\$1,001 - \$15,000	--
100	04/03/2024	Joint	IEX	IDEX Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
101	04/03/2024	Joint	FTNT	Fortinet, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
102	04/03/2024	Joint	LLY	Eli Lilly and Company Common Stock	Sale (Full)	\$15,001 - \$50,000	--
103	04/04/2024	Joint	QSR	Restaurant Brands International Inc	Sale (Full)	\$1,001 - \$15,000	--
104	04/04/2024	Joint	KEYS	Keysight Technologies Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
105	04/04/2024	Joint	NDAQ	Nasdaq, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
106	04/04/2024	Joint	PAYC	Paycom Software, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
107	04/04/2024	Joint	PHM	PulteGroup, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
108	04/04/2024	Joint	REGN	Regeneron Pharmaceuticals, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
109	04/04/2024	Joint	ROK	Rockwell	Sale	\$1,001 -	--

				Automation, Inc. Common Stock	(Full)	\$15,000	
110	04/04/2024	Joint	SSD	Simpson Manufacturing Company, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
111	04/04/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$175.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
112	04/04/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 01/17/2025	Purchase	\$15,001 - \$50,000	--
113	04/04/2024	Joint	WU	Western Union Company (The) Common Stock	Sale (Full)	\$1,001 - \$15,000	--
114	04/04/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
115	04/05/2024	Joint	A	Agilent Technologies, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
116	04/05/2024	Joint	QLYS	Qualys, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
117	04/05/2024	Joint	ODFL	Old Dominion Freight Line, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
118	04/05/2024	Joint	ODFL	Old Dominion Freight Line, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--

119	04/05/2024	Joint	NFLX	Netflix, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
120	04/05/2024	Joint	MSI	Motorola Solutions, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
121	04/05/2024	Joint	NTES	Netease Inc ADR	Purchase	\$1,001 - \$15,000	--
122	04/05/2024	Joint	LULU	lululemon athletica inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
123	04/05/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
124	04/05/2024	Joint	JKHY	Jack Henry & Associates, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
125	04/05/2024	Joint	PAC	Grupo Aeroportuario Del Pacifico, S.A. B. de C.V.	Purchase	\$1,001 - \$15,000	--
126	04/05/2024	Joint	GILD	Gilead Sciences, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
127	04/05/2024	Joint	DECK	Deckers Outdoor Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
128	04/05/2024	Joint	CBSH	Commerce Bancshares, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
129	04/05/2024	Joint	BRO	Brown & Brown, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
130	04/05/2024	Joint	SWKS	Skyworks Solutions, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$100.00 <i>Expires:</i> 11/15/2024	Sale (Full)	\$15,001 - \$50,000	--
131	04/05/2024	Joint	TEL	Te Connectivity Ltd	Purchase	\$1,001 - \$15,000	--
132	04/05/2024	Joint	SNPS	Synopsys, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
133	04/05/2024	Joint	SWKS	Skyworks	Purchase	\$1,001 -	--

				Solutions, Inc. - Common Stock		\$15,000	
134	04/18/2024	Joint	KMB	Kimberly-Clark Corporation Common Stock Option Type: Put <i>Strike price:</i> \$115.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
135	04/18/2024	Joint	NTES	Netease Inc ADR	Sale (Full)	\$1,001 - \$15,000	--
136	04/29/2024	Joint	MMM	3M Company Common Stock	Sale (Full)	\$15,001 - \$50,000	--
137	04/29/2024	Joint	SWKS	Skyworks Solutions, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$100.00 <i>Expires:</i> 11/15/2024	Purchase	\$15,001 - \$50,000	--
138	04/29/2024	Joint	CLX	Clorox Company Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
139	04/29/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
140	04/29/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i>	Purchase	\$1,001 - \$15,000	--

01/17/2025

141	04/29/2024	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 12/20/2024	Purchase	\$1,001 - \$15,000	--
142	04/29/2024	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$155.00 <i>Expires:</i> 12/20/2024	Purchase	\$15,001 - \$50,000	--
143	04/29/2024	Joint	CCL	Carnival Corporation Common Stock Option Type: Put <i>Strike price:</i> \$17.50 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
144	04/29/2024	Joint	CVX	Chevron Corporation Common Stock Option Type: Call <i>Strike price:</i> \$160.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
145	04/29/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
146	04/29/2024	Joint	LSCC	Lattice Semiconductor Corporation -	Purchase	\$1,001 - \$15,000	--

Common Stock
Option Type:
Put
Strike price:
\$70.00
Expires:
09/20/2024

147	04/29/2024	Joint	PAC	Grupo Aeroportuario Del Pacifico ADR	Sale (Full)	\$1,001 - \$15,000	--
148	04/30/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Full)	\$50,001 - \$100,000	--
149	04/30/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
150	04/30/2024	Joint	CVX	Chevron Corporation Common Stock	Sale (Full)	\$100,001 - \$250,000	--
151	04/30/2024	Joint	XOM	Exxon Mobil Corporation Common Stock	Sale (Full)	\$50,001 - \$100,000	--
152	04/30/2024	Joint	GOGL	Golden Ocean Group Limited - Common Stock	Sale (Full)	\$50,001 - \$100,000	--
153	04/30/2024	Joint	KEY	KeyCorp Common Stock	Sale (Full)	\$50,001 - \$100,000	--
154	04/30/2024	Joint	NVDA	NVIDIA Corporation - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
155	04/30/2024	Joint	OXY	Occidental Petroleum Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--
156	04/30/2024	Joint	SBLK	Star Bulk Carriers Corp. - Common Shares	Sale (Full)	\$50,001 - \$100,000	--
157	04/30/2024	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
158	04/30/2024	Joint	WBA	Walgreens Boots Alliance, Inc. - Common Stock	Sale (Full)	\$50,001 - \$100,000	--

159	05/03/2024	Joint	BRO	Brown & Brown, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
160	05/03/2024	Joint	DOW	Dow Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
161	05/03/2024	Joint	CDNS	Cadence Design Systems, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
162	05/03/2024	Joint	CTAS	Cintas Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
163	05/03/2024	Joint	CBSH	Commerce Bancshares, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
164	05/03/2024	Joint	CPRT	Copart, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
165	05/03/2024	Joint	A	Agilent Technologies, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
166	05/03/2024	Joint	DECK	Deckers Outdoor Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
167	05/03/2024	Joint	ELS	Equity Lifestyle Properties, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
168	05/03/2024	Joint	FDS	FactSet Research Systems Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
169	05/03/2024	Joint	SOLV	Solventum Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
170	05/03/2024	Joint	GLPI	Gaming and Leisure Properties, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
171	05/03/2024	Joint	GRMN	Garmin Ltd. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
172	05/03/2024	Joint	GGG	Graco Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
173	05/03/2024	Joint	JKHY	Jack Henry & Associates, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
174	05/03/2024	Joint	HSY	The Hershey Company	Sale (Full)	\$1,001 - \$15,000	--

Common Stock

175	05/03/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
176	05/03/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
177	05/03/2024	Joint	ITW	Illinois Tool Works Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
178	05/03/2024	Joint	INFY	Infosys Limited American Depository Shares	Sale (Full)	\$1,001 - \$15,000	--
179	05/03/2024	Joint	KLAC	KLA Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
180	05/03/2024	Joint	LULU	lululemon athletica inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
181	05/03/2024	Joint	MA	Mastercard Incorporated Common Stock	Sale (Full)	\$1,001 - \$15,000	--
182	05/03/2024	Joint	MPWR	Monolithic Power Systems, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
183	05/03/2024	Joint	MCO	Moody's Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
184	05/03/2024	Joint	MSI	Motorola Solutions, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
185	05/03/2024	Joint	NFLX	Netflix, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
186	05/03/2024	Joint	NXPI	NXP Semiconductors N.V. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
187	05/03/2024	Joint	NDSN	Nordson Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
188	05/03/2024	Joint	ODFL	Old Dominion Freight Line, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
189	05/03/2024	Joint	PAYX	Paychex, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
190	05/03/2024	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Full)	\$100,001 - \$250,000	--

191	05/03/2024	Joint	PEP	PepsiCo, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
192	05/03/2024	Joint	PFE	Pfizer, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
193	05/03/2024	Joint	PG	Procter & Gamble Company (The) Common Stock	Sale (Full)	\$1,001 - \$15,000	--
194	05/03/2024	Joint	QLYS	Qualys, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
195	05/03/2024	Joint	RELX	Relx Plc ADR	Sale (Full)	\$1,001 - \$15,000	--
196	05/03/2024	Joint	RMD	ResMed Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
197	05/03/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC ADR	Sale (Partial)	\$1,001 - \$15,000	--
198	05/03/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC ADR	Sale (Partial)	\$1,001 - \$15,000	--
199	05/03/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC ADR	Sale (Full)	\$1,001 - \$15,000	--
200	05/03/2024	Joint	SNPS	Synopsys, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
201	05/03/2024	Joint	TEL	Te Connectivity Ltd	Sale (Full)	\$1,001 - \$15,000	--
202	05/03/2024	Joint	TER	Teradyne, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
203	05/03/2024	Joint	UI	Ubiquiti Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
204	05/03/2024	Joint	VRSK	Verisk Analytics, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
205	05/03/2024	Joint	VRTX	Vertex Pharmaceuticals Incorporated - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
206	05/03/2024	Joint	V	Visa Inc.	Sale (Full)	\$1,001 - \$15,000	--
207	05/03/2024	Joint	WAT	Waters Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
208	05/03/2024	Joint	ASML	ASML Holding N.V. - New York	Sale (Full)	\$15,001 - \$50,000	--

Registry Shares

209	05/07/2024	Joint	CVX	Chevron Corporation Common Stock Option Type: Put <i>Strike price:</i> \$145.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
210	05/07/2024	Joint	WMS	Advanced Drainage Systems, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
211	05/07/2024	Joint	GOLD	Barrick Gold Corp	Sale (Full)	\$100,001 - -\$250,000	--
212	05/07/2024	Joint	FITB	Fifth Third Bancorp - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
213	05/07/2024	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$100,001 - -\$250,000	--
214	05/07/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
215	05/07/2024	Joint	CCJ	Cameco Corporation Common Stock Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
216	05/07/2024	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 11/15/2024	Purchase	\$1,001 - \$15,000	--
217	05/07/2024	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i>	Purchase	\$1,001 - \$15,000	--

\$20.00
Expires:
 06/21/2024

218	05/07/2024	Joint	GOLD	Barrick Gold Corp Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
219	05/07/2024	Joint	FITB	Fifth Third Bancorp - Common Stock Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 05/17/2024	Purchase	\$1,001 - \$15,000	--
220	05/07/2024	Joint	ARKK	Ark Innovation ETF Option Type: Call <i>Strike price:</i> \$51.00 <i>Expires:</i> 12/20/2024	Purchase	\$1,001 - \$15,000	--
221	05/08/2024	Joint	ADBE	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Purchase	\$15,001 - \$50,000	--
222	05/08/2024	Joint	SWKS	Skyworks Solutions, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
223	05/08/2024	Joint	GOLD	Barrick Gold Corp Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
224	05/08/2024	Joint	GOLD	Barrick Gold Corp Option Type:	Purchase	\$1,001 - \$15,000	--

				Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025			
225	05/08/2024	Joint	XBI	S&P Biotech SPDR Option Type: Call <i>Strike price:</i> \$100.00 <i>Expires:</i> 10/18/2024	Purchase	\$1,001 - \$15,000	--
226	05/08/2024	Joint	XBI	S&P Biotech SPDR Option Type: Put <i>Strike price:</i> \$90.00 <i>Expires:</i> 10/18/2024	Purchase	\$1,001 - \$15,000	--
227	05/08/2024	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$100,001 - \$250,000	--
228	05/08/2024	Joint	GILD	Gilead Sciences, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
229	05/09/2024	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$50,001 - \$100,000	--
230	05/09/2024	Joint	KEY	Keycorp Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
231	05/10/2024	Joint	PARA	Paramount Global CI B	Sale (Full)	\$100,001 - \$250,000	--
232	05/10/2024	Joint	GILD	Gilead Sciences Inc Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 11/15/2024	Purchase	\$1,001 - \$15,000	--
233	05/10/2024	Joint	GILD	Gilead Sciences Inc	Purchase	\$1,001 - \$15,000	--

Option Type:
Put
Strike price:
\$70.00
Expires:
11/15/2024

234	05/10/2024	Joint	GILD	Gilead Sciences Inc	Purchase	\$1,001 - \$15,000	--
				Option Type: Put Strike price: \$70.00 Expires: 11/15/2024			
235	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Purchase	\$1,001 - \$15,000	--
				Option Type: Put Strike price: \$17.00 Expires: 01/17/2025			
236	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Purchase	\$1,001 - \$15,000	--
				Option Type: Call Strike price: \$20.00 Expires: 01/17/2025			
237	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Purchase	\$1,001 - \$15,000	--
				Option Type: Call Strike price: \$20.00 Expires: 07/19/2024			
238	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Purchase	\$1,001 - \$15,000	--
				Option Type: Call Strike price: \$17.00 Expires: 06/21/2024			
239	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$100,001 - \$250,000	--
240	05/10/2024	Joint	CLF	Cleveland-Cliffs	Sale	\$50,001 -	--

				Inc	(Partial)	\$100,000	
241	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$50,001 - \$100,000	--
242	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$15,001 - \$50,000	--
243	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$1,001 - \$15,000	--
244	05/10/2024	Joint	INTC	Intel Corporation - Common Stock	Sale (Partial)	\$100,001 - \$250,000	--
245	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call Strike price: \$17.00 Expires: 06/21/2024	Purchase	\$1,001 - \$15,000	--
246	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call Strike price: \$17.00 Expires: 06/21/2024	Purchase	\$1,001 - \$15,000	--
247	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call Strike price: \$17.00 Expires: 06/21/2024	Purchase	\$1,001 - \$15,000	--
248	05/10/2024	Joint	ARKK	Ark Innovation ETF Option Type: Put Strike price: \$45.00 Expires: 09/20/2024	Purchase	\$1,001 - \$15,000	--
249	05/13/2024	Joint	INTC	Intel Corp	Sale (Partial)	\$100,001 - \$250,000	--
250	05/13/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$100,001 - \$250,000	--

251	05/13/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Full)	\$100,001 - -\$250,000	--
252	05/13/2024	Joint	INTC	Intel Corp	Sale (Full)	\$100,001 - -\$250,000	--
253	08/26/2024	Self	ABNFX	The Bond Fd of America Class F-2 Shares <i>Company:</i> AMERICAN BOND FUND OF AMERICA CL F2	Purchase	\$50,001 - \$100,000	--
254	08/26/2024	Self	EIBLX	Eaton Vance Floating Rate Fund Insti Share <i>Company:</i> EATON VANCE FLOATING RATE CLASS I	Sale (Full)	\$50,001 - \$100,000	--
255	08/26/2024	Joint	UPS	United Parcel Service, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
256	08/26/2024	Joint	CVS	CVS Health Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
257	08/26/2024	Joint	MDT	Medtronic plc. Ordinary Shares	Sale (Full)	\$15,001 - \$50,000	--
258	08/26/2024	Self	AAPL	Apple Inc. - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
259	10/29/2024	Joint	TRV	The Travelers Companies, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
260	10/29/2024	Joint	--	Otis Worldwide Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
261	10/29/2024	Joint	--	Nestle ADR	Sale (Full)	\$15,001 - \$50,000	--
262	10/29/2024	Joint	MCD	McDonald's Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--
263	10/29/2024	Joint	KMB	Kimberly-Clark Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--
264	10/29/2024	Joint	JNJ	Johnson & Johnson	Sale (Full)	\$15,001 - \$50,000	--

Common Stock								
265	10/29/2024	Joint	HON	Honeywell International Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--	
266	10/29/2024	Joint	CTVA	Corteva, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--	
267	10/29/2024	Joint	ADBE	Adobe Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--	
268	10/29/2024	Joint	OC	Owens Corning Inc Common Stock New	Sale (Partial)	\$15,001 - \$50,000	--	
269	10/29/2024	Joint	MSFT	Microsoft Corporation - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--	
270	10/29/2024	Joint	AAPL	Apple Inc. - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--	

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	<u>DGRW</u>	WisdomTree U.S. Quality Dividend Growth Fund	Purchase	03/14/2024	\$15,001 - \$50,000	n/a
2	Self	<u>LVHI</u>	Franklin International Low Volatility High Dividend Index ETF	Purchase	08/27/2024	\$50,001 - \$100,000	n/a
3	Self	<u>IDV</u>	iShares International Select Dividend ETF	Sale (Full)	08/24/2024	\$50,001 - \$100,000	n/a
4	Joint	<u>ARKK</u>	ARK Innovation ETF	Sale (Partial)	01/22/2024	\$50,001 - \$100,000	n/a
5	Joint	--	ARKK	Sale (Full)	05/07/2024	\$100,001 - \$250,000	n/a
6	Joint	<u>XBI</u>	SPDR(R) S&P(R) Biotech ETF	Sale (Full)	05/08/2024	\$50,001 - \$100,000	n/a
7	Joint	<u>NLR</u>	VanEck Uranium and Nuclear ETF	Sale (Full)	01/19/2024	\$50,001 - \$100,000	n/a

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	In-curred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2012	Joint	Mortgage	0	LIBOR+1.25% (25 years)	\$500,001 - \$1,000,000	Bank of America Tampa, FL	n/a
2	2021	Self	Mortgage	0	2.5% (30 years)	\$500,001 - \$1,000,000	Prime Meridian Tallahassee, FL	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2015 to present	Other (Founding Member)	Tommy Tuberville Foundation Auburn, AL	Nonprofit Organization	n/a
2	Feb 2005 to Apr 2024	Other (Member)	Tiger Farms, LLC Auburn, Alabama	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties In- volved	Type	Status and Terms	Comments
1	Dec 1998	Retirement Systems of Alabama Birmingham, AL	Continuing participation in an employee benefit plan	Pension plan from which the Senator is currently receiving monthly payments.	n/a
2	Jan 1986	University of Miami Miami, FL	Continuing participation in an employee benefit plan	Pension plan from which the Senator is not yet receiving payments or distributions.	n/a
3	Jul 2017	Walt Disney Company Burbank, CA	Continuing participation in an employee benefit plan	401k profit- sharing plan from which the Senator is not currently receiving income.	n/a
4	Nov 2009	WB Studio Enterprises Inc. Burbank, CA	Royalty Agreement	Agreement to receive continuing royalties from movie appearance in The Blind Side.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

Annual Report for Calendar 2024

Mr. Thomas H Tuberville (Tuberville, Tommy)

 Filed 08/08/2025 @ 9:39 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse’s behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Pension	Retirement Systems of Alabama Montgomery, AL	\$47,071.32	n/a
2	Self	Royalties	WB Studio Enterprises Inc. Burbank, CA	\$1,763.81	n/a
3	Spouse	Commissions	BPFLA Referrals LLC Santa Rosa Beach, FL	> \$1,000	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Hancock Whitney Bank (Santa Rosa Beach, FL) <i>Type: Checking,</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Interest,	None (or less than \$201)
2	Prudential Variable Annuity <i>Provider: Prudential</i>	Annuity Variable Annuity	Self			
2.1	AST Preservation Asset Allocation Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
2.2	AST J.P. Morgan Global Thematic	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
2.3	AST Prudential Growth Allocation	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
2.4	AST T. Rowe Price Asset Allocation	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
2.5	AST Balanced Asset Allocation	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
2.6	AST J.P. Morgan Moderate Multi-Asset	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3	Osaic IRA (formerly Lincoln IRA)	Retirement Plans IRA	Self			
3.1	Fidelity (FDRXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
3.2	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
3.3	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
3.4	<u>C</u> - Citigroup Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

3.5	<u>NUE</u> - Nucor Corporation	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.6	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.7	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.8	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.9	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.10	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.11	<u>FSPSX</u> - Fidelity International Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.12	<u>FSMDX</u> - Fidelity Mid Cap Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.13	<u>SGIIX</u> - First Eagle Global Fund Class I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.14	<u>EIBLX</u> - Eaton Vance Floating - Rate Fund Institutional Cla	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
3.15	<u>TDIV</u> - First Trust NASDAQ Technology Dividend Index Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.16	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.17	<u>XLP</u> - Consumer Staples Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.18	<u>XLU</u> - Utilities Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.19	<u>XLV</u> - Health Care Select	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)

	Sector SPDR Fund					
3.20	<u>XAR</u> - SPDR S&P Aerospace & Defense ETF	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
3.21	<u>VIG</u> - Vanguard Dividend Appreciation Index Fund ETF Shar	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.22	<u>QQQ</u> - Invesco QQQ Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.23	<u>PFF</u> - iShares Preferred and Income Securities ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.24	<u>DTD</u> - WisdomTree U.S. Total Dividend Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.25	<u>BMJ</u> - Bristol-Myers Squibb Company Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.26	<u>IDV</u> - iShares International Select Dividend ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	None,	None (or less than \$201)
3.27	PayPal Holdings, Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
3.28	WAB - WABTEC COM	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.29	ABNFX - The Bond Fund of America Class F2	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
3.30	RSP - Invesco S&P 500 Equal Weight ETF	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.31	DHS - Wisdomtree U.S. High Dividend Fund	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.32	US Treas Bills Zero CPN <i>Rate/Coupon: None</i>	Government Securities US Treasury/Agency	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

	<i>Matures: None</i>	Security				
3.33	FSMSX - FS Multi-Strategy Alternatives Fund Class I	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.34	PQIIX - Pimco Dividend and Income Fund Class Instl	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.35	Federal Home LN MTG Corp MTN 5.2000% <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Self	None (or less than \$1,001)	None,	None (or less than \$201)
3.36	LVHI - Legg Mason International Low Volatility High Divid	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
3.37	DGRW - WisdomTree U.S. Quality Dividend Growth Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
4	Osaic Joint Brokerage Account (formerly Lincoln Joint Brokerage Account)	Brokerage/Managed Account	Joint			
4.1	Fidelity (SPAXX) (Boston, MA) <i>Type: Brokerage Sweep Account,</i>	Bank Deposit	Joint	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000
4.2	ABBV - AbbVie Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
4.3	ACN - Accenture plc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains,	\$5,001 - \$15,000
4.4	ADBE - Adobe Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
4.5	GOOGL - Alphabet Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
4.6	AWK - American Water Works Company, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

4.7	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.8	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends, Capital Gains,	\$50,001 - \$100,000
4.9	<u>ADM</u> - Archer-Daniels-Midland Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.10	<u>CVS</u> - CVS Health Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
4.11	<u>STZ</u> - Constellation Brands, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.12	<u>DEO</u> - Diageo plc	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.13	<u>DUK</u> - Duke Energy Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
4.14	<u>GS</u> - The Goldman Sachs Group, Inc.	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
4.15	<u>HON</u> - Honeywell International Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
4.16	<u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
4.17	<u>JPM</u> - JPMorgan Chase & Co.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
4.18	<u>KMB</u> - Kimberly-Clark Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
4.19	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
4.20	<u>LMT</u> - Lockheed Martin Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.21	<u>MA</u> - Mastercard Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
4.22	<u>MCD</u> - McDonald's Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000

4.23	<u>MDT</u> - Medtronic plc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
4.24	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Dividends, Capital Gains,	\$50,001 - \$100,000
4.25	<u>NSRGY</u> - Nestlé S.A.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
4.26	<u>NEE</u> - NextEra Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.27	<u>ORCL</u> - Oracle Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
4.28	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.29	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
4.30	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
4.31	<u>PG</u> - The Procter & Gamble Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.32	<u>QCOM</u> - QUALCOMM Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.33	<u>SLF</u> - Sun Life Financial Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
4.34	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
4.35	<u>TSCO</u> - Tractor Supply Company	Corporate Securities Stock	Joint	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
4.36	<u>TRV</u> - The Travelers Companies, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
4.37	<u>UNP</u> - Union Pacific Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
4.38	<u>UPS</u> - United Parcel Service, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000

4.39	WMT - Walmart Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
4.40	BIRMINGHAM ALA GO WTS SER (09088RJ31) <i>Rate/Coupon: 5% Matures: 12/01/2043</i>	Government Securities Municipal Security	Joint	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
4.41	CARR - Carrier Global Corporation	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
4.42	BMJ - Bristol-Myers Squibb Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
4.43	CTVA - Corteva, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
4.44	OTIS - Otis Worldwide Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
4.45	DGX - Quest Diagnostics Incorporated	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
4.46	OC - Owens Corning Common Stock New	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
4.47	CSX - CSX Corp	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
5	Southern States Bank (Auburn, AL) <i>Type: Checking,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
6	Fifth Third Bank (Cincinnati, OH) <i>Type: Checking, Savings,</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest,	None (or less than \$201)
7	Alabama Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	Other, (Pension Payment)	Other \$47,071.32
8	University of Miami Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
9	Disney SIP	Retirement Plans IRA	Self			
9.1	BTC LifePath Ret F	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

10	LPL Financial Joint Brokerage Account	Brokerage/Managed Account	Joint			
10.1	<u>ADBE</u> - Adobe Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.2	<u>GOOG</u> - Alphabet Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.3	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
10.4	<u>ASML</u> - ASML Holding N.V.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.5	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.6	<u>FDS</u> - FactSet Research Systems Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.7	<u>GRMN</u> - Garmin Ltd.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.8	<u>GNTX</u> - Gentex Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.9	<u>GGG</u> - Graco Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.10	<u>IEX</u> - IDEX Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.11	<u>ITW</u> - Illinois Tool Works Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.12	<u>INTC</u> - Intel Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.13	<u>JKHY</u> - Jack Henry & Associates, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.14	<u>KLAC</u> - KLA Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.15	<u>LRCX</u> - Lam Research Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.16	<u>MA</u> - Mastercard	Corporate	Joint	None (or less	Dividends,	\$5,001 -

	Incorporated	Securities Stock		than \$1,001)	Capital Gains,	\$15,000
10.17	<u>MNST</u> - Monster Beverage Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.18	<u>MCO</u> - Moody's Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.19	<u>NVDA</u> - NVIDIA Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.20	<u>PAYX</u> - Paychex, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.21	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.22	<u>UI</u> - Ubiquiti Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.23	<u>VRSK</u> - Verisk Analytics, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.24	<u>V</u> - Visa Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.25	<u>AMAT</u> - Applied Materials, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.26	<u>GOLD</u> - Barrick Gold Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.27	<u>CPRT</u> - Copart, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.28	<u>INFY</u> - Infosys Limited	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.29	<u>NVO</u> - Novo Nordisk A/S	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.30	<u>SCCO</u> - Southern Copper Corporation	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.31	Goldman Sachs Bank USA (New York, New York) Type: Brokerage	Bank Deposit	Joint	\$1,001 - \$15,000	Interest,	\$2,501 - \$5,000

Sweep Account,

10.32	<u>ADI</u> - Analog Devices, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.33	<u>CTAS</u> - Cintas Corporation - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.34	<u>CLF</u> - Cleveland-Cliffs Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,000,001 - \$5,000,000
10.35	<u>HSY</u> - The Hershey Company Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.36	<u>IDXX</u> - IDEXX Laboratories, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.37	<u>KEYS</u> - Keysight Technologies Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10.38	<u>MPWR</u> - Monolithic Power Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.39	<u>OXY</u> - Occidental Petroleum Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.40	<u>ODFL</u> - Old Dominion Freight Line, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.41	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock	Corporate Securities Stock	Joint	\$100,001 - \$250,000	Capital Gains,	\$100,001 - \$1,000,000
10.42	<u>PG</u> - Procter & Gamble Company (The) Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.43	<u>REGN</u> - Regeneron Pharmaceuticals, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.44	<u>ROK</u> - Rockwell Automation, Inc.	Corporate Securities	Joint	None (or less than \$1,001)	Dividends, Capital	\$5,001 - \$15,000

	Common Stock	Stock			Gains,	
10.45	<u>SWKS</u> - Skyworks Solutions, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.46	<u>SSBK</u> - Southern States Bancshares, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
10.47	<u>TER</u> - Teradyne, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.48	<u>WAT</u> - Waters Corporation Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.49	Goldman Sachs (New York, NY) <i>Type: Money Market Account,</i>	Bank Deposit	Joint	None (or less than \$1,001)	Dividends,	None (or less than \$201)
10.50	<u>ABBV</u> - AbbVie Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.51	<u>AME</u> - Ametek Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.52	<u>AMGN</u> - Amgen Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.53	<u>CDNS</u> - Cadence Design Systems, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.54	<u>CFG</u> - Citizens Financial Group, Inc. Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.55	<u>DOW</u> - Dow Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.56	<u>ELS</u> - Equity Lifestyle Properties	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.57	<u>EXR</u> - Extra Space Storage Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.58	<u>FITB</u> - Fifth Third Bancorp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000

10.59	<u>GLPI</u> - Gaming and Leisure Properties, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.60	<u>GOOG</u> - Alphabet Inc. - Class C Capital Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.61	<u>HUMA</u> - Humacyte Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$100,001 - \$1,000,000
10.62	<u>IBM</u> - International Business Machines Corporat	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.63	<u>KEY</u> - Keycorp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.64	<u>MMC</u> - Marsh & Mclennan Companies	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.65	<u>MMM</u> - 3M Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.66	<u>NDAQ</u> - Nasdaq Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.67	<u>PFE</u> - Pfizer Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.68	<u>PAYC</u> - Paycom Software Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000
10.69	<u>PHM</u> - Pultegroup	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.70	<u>RACE</u> - Ferrari N.V.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.71	<u>VRTX</u> - Vertex Pharmaceutic	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.72	<u>WBA</u> - Walgreens Boots Alliance	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
10.73	<u>XOM</u> - Exxon Mobil Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.74	<u>CVX</u> - Chevron	Corporate	Joint	None (or less	Dividends,	\$100,001 -

	Corporation Common Stock	Securities Stock		than \$1,001)	Capital Gains,	\$1,000,000
10.75	AMD - Advanced Micro Devices, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.76	ARKK - Ark Innovation ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
10.77	XBI SPDR Series Trust S&P Biotech ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
10.78	NLR Vaneck Uranium + Nuclear Energy ETF	Mutual Funds Exchange Traded Fund/Note	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$50,001 - \$100,000
10.79	WMS Advanced Drain System Inc DEL	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.80	APH AMPHENOL CORP CLASS A NEW	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.81	AON AON PLC CL A	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.82	ADSK Autodesk Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.83	BMJ BRISTOL MYERS SQUIBB COMPANY	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.84	AVGO BROADCOM INC	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$15,001 - \$50,000
10.85	FTNT FORTINET INC	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.86	PAM PAMPA ENERGIA S A SPON ADR LEVEL 1	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.87	PARA Paramount Global CL B	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$100,001 - \$1,000,000
10.88	MCHP Microchip Technology Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.89	NDSN Nordson	Corporate	Joint	None (or less	Dividends,	\$5,001 -

	Corp	Securities Stock		than \$1,001)	Capital Gains,	\$15,000
10.90	NXPI NXP Semiconductors N V	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.91	RMD RESMED INC	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.92	QSR Restaurant Brands INTL Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.93	SSD Simpson Manufacturing Co	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.94	WU Western Union Co	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains,	\$5,001 - \$15,000
10.95	XP XP Inc CL A	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.96	<u>CBSH</u> - Commerce Bancshares	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.97	<u>GOLD</u> - Barrick Gold Corp <i>Strike price: \$20.00 Call</i> <i>Expires: 01/17/2025</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.98	<u>CVX</u> - Chevron Corp <i>Strike price: \$160.00 Call</i> <i>Expires: 06/21/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
10.99	<u>AAPL</u> - Apple Inc <i>Strike price: \$165.00 Put</i> <i>Expires: 03/15/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.100	<u>ABBV</u> - Abbvie Inc <i>Strike price: \$130.00 Put</i> <i>Expires: 09/20/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.101	<u>AMAT</u> - Applied Materials <i>Strike price: \$135.00 Put</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

Expires:
09/20/2024

10.102	AMAT - Applied Materials Strike price: \$140.00 Put Expires: 04/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.103	BMJ - Bristol-Myers Squibb Company Strike price: \$50.00 Put Expires: 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.104	CCL - Carnival Corp Strike price: \$15.00 Put Expires: 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.105	CCL - Carnival Corp Strike price: \$17.50 Put Expires: 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
10.106	CLF - Cleveland-Cliffs Inc Strike price: \$16.00 Put Expires: 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.107	CLF - Cleveland-Cliffs Inc Strike price: \$20.00 Call Expires: 07/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10.108	CLF - Cleveland-Cliffs Inc Strike price: \$19.00 Call Expires: 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.109	CLF - Cleveland-Cliffs Inc Strike price: \$18.00 Call Expires: 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.110	CLF - Cleveland-	Corporate	Joint	None (or less	Capital	\$1,001 -

	Cliffs Inc <i>Strike price:</i> \$20.00 Call <i>Expires:</i> 01/17/2025	Securities Stock Option		than \$1,001)	Gains,	\$2,500
10.111	CLF - Cleveland-Cliffs Inc <i>Strike price:</i> \$17.00 Call <i>Expires:</i> 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
10.112	CLF - Cleveland-Cliffs Inc <i>Strike price:</i> \$15.00 Put <i>Expires:</i> 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.113	CLF - Cleveland-Cliffs Inc <i>Strike price:</i> \$17.00 Call <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.114	CLF - Cleveland-Cliffs Inc <i>Strike price:</i> \$20.00 Call <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.115	CVX - Chevron Corp <i>Strike price:</i> \$145.00 Put <i>Expires:</i> 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.116	FITBO - Fifth Third Bancorp <i>Strike price:</i> \$30.00 Call <i>Expires:</i> 05/17/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
10.117	INTC - Intel Corp <i>Strike price:</i> \$45.00 Call <i>Expires:</i> 04/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.118	OXY - Occidental Petroleum Corp <i>Strike price:</i> \$65.00 Call <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500

10.119	<u>OXY</u> - Occidental Petroleum Corp <i>Strike price:</i> \$60.00 Put <i>Expires:</i> 05/17/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.120	<u>OXY</u> - Occidental Petroleum Corp <i>Strike price:</i> \$60.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.121	<u>PARA</u> - Paramount Global CI B <i>Strike price:</i> \$17.50 Call <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10.122	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price:</i> \$77.50 Call <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.123	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price:</i> \$55.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.124	<u>PYPL</u> - PayPal Holdings, Inc. - Common Stock <i>Strike price:</i> \$75.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.125	<u>GOLD</u> - Barrick Gold Corp <i>Strike price:</i> \$17.00 Put <i>Expires:</i> 01/17/2025	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
10.126	<u>COF</u> - Capital One Financial Corp <i>Strike price:</i> \$110.00 Put <i>Expires:</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

01/19/2024

10.127	CLX - Clorox Company <i>Strike price:</i> \$135.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
10.128	DVA - DaVita Inc. Common Stock <i>Strike price:</i> \$100.00 Put <i>Expires:</i> 07/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.129	DVA - DaVita Inc. Common Stock <i>Strike price:</i> \$92.50 Put <i>Expires:</i> 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.130	KMB - Kimberly-Clark Corporation Common Stock <i>Strike price:</i> \$115.00 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.131	LPX - Louisiana-Pacific Corporation Common Stock <i>Strike price:</i> \$52.50 Put <i>Expires:</i> 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.132	MCHP - Microchip Technology <i>Strike price:</i> \$80.00 Put <i>Expires:</i> 10/18/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.133	MSFT - Microsoft Corp <i>Strike price:</i> \$305.00 Put <i>Expires:</i> 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.134	PG - Procter & Gamble Company	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000

Strike price:
\$145.00 | Put
Expires:
06/21/2024

10.135	PG - Procter & Gamble Company Strike price: \$150.00 Put Expires: 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10.136	QCOM - QUALCOMM Incorporated - Common Stock Strike price: \$110.00 Put Expires: 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.137	X - United States Steel Corp Strike price: \$23.00 Put Expires: 06/21/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.138	CLF - Cleveland-Cliffs Inc. Common Stock Strike price: \$20.00 Call Expires: 11/15/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.139	ARKK - ARK Innovation ETF Strike price: \$50.00 Call Expires: 03/15/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.140	ARKK - ARK Innovation ETF Strike price: \$54.00 Call Expires: 01/23/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.141	ARKK - ARK Innovation ETF Strike price: \$51.00 Call Expires: 12/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.142	XBI - SPDR Series Trust	Corporate Securities	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000

**SPDR S&P
Biotech ETF**

Strike price:
\$100.00 | Call
Expires:
10/18/2024

Stock Option

10.143	ADBE - Adobe Systems Inc Strike price: \$500.00 Put Expires: 12/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
10.144	AMAT - Applied Materials Strike price: \$175.00 Put Expires: 01/17/2025	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
10.145	AMAT Strike price: \$180.00 Put Expires: 01/17/2025	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10.146	ARKK - ARK Innovation ETF Strike price: \$45.00 Put Expires: 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
10.147	CCJ - Cameco Corp Strike price: \$45.00 Put Expires: 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10.148	CLF - Cleveland-Cliffs Inc Strike price: \$17.00 Put Expires: 01/17/2025	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10.149	GILD - Gilead Sciences Inc Strike price: \$70.00 Put Expires: 11/15/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
10.150	KEY - Keycorp Strike price: \$15.00 Put Expires: 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

10.151	LSCC - Lattice Semicond <i>Strike price:</i> \$75.00 Put <i>Expires:</i> 01/17/2025	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
10.152	LSCC - Lattice Semicond <i>Strike price:</i> \$70.00 Put <i>Expires:</i> 09/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
10.153	QCOM - Qualcomm Inc <i>Strike price:</i> \$150.00 Put <i>Expires:</i> 12/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
10.154	QCOM - Qualcomm Inc <i>Strike price:</i> \$155.00 Put <i>Expires:</i> 12/20/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
10.155	QQQ - Invesco QQQ Trust, Series 1 <i>Strike price:</i> \$264.78 Put <i>Expires:</i> 01/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.156	SWKS - Skyworks Solutions <i>Strike price:</i> \$100.00 Put <i>Expires:</i> 11/15/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
10.157	URA - Global X Uranium ETF <i>Strike price:</i> \$27.00 Put <i>Expires:</i> 04/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.158	URNM - Sprott Uranium Miners ETF <i>Strike price:</i> \$37.00 Put <i>Expires:</i> 04/19/2024	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000

10.159	<u>XBI</u> - SPDR Series Trust SPDR S&P Biotech ETF <i>Strike price: \$90.00 Put Expires: 10/18/2024</i>	Corporate Securities Stock Option	Joint	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
10.160	<u>A</u> - Agilent Technologies	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.161	<u>BRO</u> - Brown & Brown	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.162	<u>DECK</u> - Deckers Outdoor Corp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.163	<u>META</u> - Meta Platforms Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.164	<u>GILD</u> - Gilead Sciences Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.165	<u>PAC</u> - Grupo Aeroportuario Del Pacifico ADR	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.166	<u>LSCC</u> - Lattice Semiconductor Corporation - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.167	<u>LULU</u> - lululemon athletica inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.168	<u>MSI</u> - Motorola Solutions	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.169	<u>NFLX</u> - Netflix Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.170	<u>NTES</u> - Netease Inc ADR	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.171	<u>QLYS</u> - Qualys Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.172	Rolls Royce Holdings PLC ADR	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$15,001 - \$50,000
10.173	<u>SOLV</u> -	Corporate	Joint	None (or less	Capital	\$5,001 -

	Solventum Corporation	Securities Stock		than \$1,001)	Gains,	\$15,000
10.174	<u>SNPS</u> - Synopsys Inc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.175	<u>WBA</u> - Walgreens Boots Alliance, Inc. - Common Stock	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
10.176	<u>GOGL</u> - Golden Ocean Gp	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
10.177	<u>TEL</u> - Te Connectivity Ltd	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$5,001 - \$15,000
10.178	<u>SBLK</u> - Star Bulk Carriers	Corporate Securities Stock	Joint	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000
11	Property 2 <i>Description:</i> House (Santa Rosa Beach, FL)	Real Estate Residential	Joint	\$500,001 - \$1,000,000	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/03/2024	Joint	GOOGL	Alphabet Inc. - Class A Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
2	01/03/2024	Joint	BMJ	Bristol-Myers Squibb Company Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
3	01/03/2024	Joint	DGX	Quest Diagnostics Incorporated Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
4	01/03/2024	Joint	SLF	Sun Life Financial Inc. Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
5	01/03/2024	Joint	ORCL	Oracle Corporation Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
6	01/03/2024	Joint	LLY	Eli Lilly and Company	Sale (Partial)	\$15,001 - \$50,000	--

				Common Stock			
7	01/03/2024	Joint	UNP	Union Pacific Corporation Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
8	01/03/2024	Joint	MSFT	Microsoft Corporation - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
9	01/03/2024	Joint	AAPL	Apple Inc. - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
10	01/10/2024	Joint	AAPL	Apple Inc. - Common Stock Option Type: Put <i>Strike price: \$165.00</i> <i>Expires: 03/15/2024</i>	Purchase	\$1,001 - \$15,000	--
11	01/19/2024	Joint	LRCX	Lam Research Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
12	01/19/2024	Joint	NVO	Novo Nordisk A/S Common Stock	Sale (Full)	\$15,001 - \$50,000	--
13	01/19/2024	Joint	PAM	Pampa Energia S.A. ADR	Sale (Full)	\$1,001 - \$15,000	--
14	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
15	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
16	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
17	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
18	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
19	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
20	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
21	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
22	01/19/2024	Joint	SSBK	Southern States	Sale	\$1,001 -	--

				Bancshares, Inc. - Common Stock	(Partial)	\$15,000	
23	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
24	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
25	01/19/2024	Joint	XP	XP Inc. - Class A Common Stock	Sale (Full)	\$15,001 - \$50,000	--
26	01/19/2024	Joint	PG	Procter & Gamble Company (The) Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 01/19/2024	Purchase	\$1,001 - \$15,000	--
27	01/19/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
28	01/19/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Sale (Partial)	\$1,001 - \$15,000	--
29	01/19/2024	Joint	ABBV	AbbVie Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
30	01/19/2024	Joint	AMD	Advanced Micro Devices, Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
31	01/19/2024	Joint	GOOG	Alphabet Inc. - Class C Capital Stock	Sale (Full)	\$1,001 - \$15,000	--
32	01/19/2024	Joint	GOOGL	Alphabet Inc. - Class A Common Stock	Sale (Full)	\$1,001 - \$15,000	--
33	01/19/2024	Joint	AMGN	Amgen Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
34	01/19/2024	Joint	APH	Amphenol Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
35	01/19/2024	Joint	ADBE	Adobe Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
36	01/19/2024	Joint	AME	AMETEK, Inc.	Sale (Full)	\$1,001 - \$15,000	--
37	01/19/2024	Joint	ADI	Analog Devices, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
38	01/19/2024	Joint	AAPL	Apple Inc. -	Sale	\$250,001	--

				Common Stock	(Full)	- \$500,000	
39	01/19/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
40	01/19/2024	Joint	ADSK	Autodesk, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
41	01/19/2024	Joint	CFG	Citizens Financial Group, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
42	01/19/2024	Joint	RACE	Ferrari N.V. Common Shares	Sale (Full)	\$1,001 - \$15,000	--
43	01/19/2024	Joint	GNTX	Gentex Corporation - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
44	01/19/2024	Joint	IBM	International Business Machines Corporation Common	Sale (Full)	\$1,001 - \$15,000	--
45	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
46	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
47	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
48	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
49	01/22/2024	Joint	META	Meta Platforms, Inc. - Class A Common Stock	Sale (Full)	\$1,001 - \$15,000	--
50	01/22/2024	Joint	IDXX	IDEXX Laboratories, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
51	01/22/2024	Joint	MMC	Marsh & McLennan Companies, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
52	01/22/2024	Joint	MNST	Monster Beverage Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
53	01/22/2024	Joint	SCCO	Southern Copper Corporation	Sale (Full)	\$1,001 - \$15,000	--

Common Stock

54	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 11/15/2024	Sale (Full)	\$1,001 - \$15,000	--
55	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 11/15/2024	Sale (Full)	\$1,001 - \$15,000	--
56	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$1,001 - \$15,000	--
57	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$1,001 - \$15,000	--
58	01/22/2024	Joint	CLF	Cleveland-Cliffs Inc. Common Stock Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$1,001 - \$15,000	--
59	01/22/2024	Joint	AON	Aon plc Class A Ordinary Shares (Ireland)	Sale (Full)	\$1,001 - \$15,000	--
60	01/24/2024	Joint	INTC	Intel Corporation - Common Stock Option Type: Put <i>Strike price:</i>	Sale (Full)	\$15,001 - \$50,000	--

\$45.00
Expires:
 12/20/2024

61	02/12/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
62	02/12/2024	Joint	KEY	KeyCorp Common Stock Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 09/20/2024	Sale (Full)	\$1,001 - \$15,000	--
63	02/16/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$15,001 - \$50,000	--
64	02/27/2024	Joint	SBLK	Star Bulk Carriers Corp. - Common Shares	Purchase	\$50,001 - \$100,000	--
65	02/27/2024	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 12/20/2024	Sale (Full)	\$15,001 - \$50,000	--
66	02/27/2024	Joint	GOGL	Golden Ocean Group Limited - Common Stock	Purchase	\$50,001 - \$100,000	--
67	02/27/2024	Joint	PFE	Pfizer, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
68	02/27/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Purchase	\$50,001 - \$100,000	--
69	03/08/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$175.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$1,001 - \$15,000	--
70	03/12/2024	Joint	ACN	Accenture Plc	Sale	\$1,001 -	--

					(Full)	\$15,000	
71	03/12/2024	Joint	JPM	JP Morgan Chase & Co. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
72	03/12/2024	Joint	CSX	CSX Corporation - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
73	03/12/2024	Self	--	FEDERAL HOME LN MTG CORP MTN <i>Rate/Coupon:</i> 5.375% <i>Matures:</i> 02/08/2027	Purchase	\$100,001 - \$250,000	--
74	03/12/2024	Joint	TXN	Texas Instruments Incorporated - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
75	03/12/2024	Self	AAPL	Apple Inc	Sale (Full)	\$15,001 - \$50,000	--
76	03/13/2024	Joint	ADBE	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Sale (Full)	\$1,001 - \$15,000	--
77	03/13/2024	Joint	ADBE	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Sale (Full)	\$1,001 - \$15,000	--
78	03/13/2024	Joint	ADBE	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Sale (Full)	\$1,001 - \$15,000	--
79	03/13/2024	Joint	ADBE	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Sale (Full)	\$1,001 - \$15,000	--
80	03/13/2024	Joint	QCOM	QUALCOMM	Sale	\$15,001 -	--

Incorporated -
Common Stock
Option Type:
Put
Strike price:
\$155.00
Expires:
12/20/2024

81	03/21/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
82	03/21/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
83	03/21/2024	Joint	HUMA	Humacyte Inc	Purchase	\$1,001 - \$15,000	--
84	03/21/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC SPONSORED ADR	Purchase	\$1,001 - \$15,000	--
85	03/21/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC SPONSORED ADR	Purchase	\$1,001 - \$15,000	--
86	03/21/2024	Joint	PARA	Paramount Global - Class B Common Stock	Purchase	\$15,001 - \$50,000	--
87	03/21/2024	Joint	WBA	Walgreens Boots Alliance, Inc. - Common Stock	Purchase	\$15,001 - \$50,000	--
88	04/03/2024	Joint	MCHP	Microchip Technology Incorporated - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
89	04/03/2024	Joint	AVGO	Broadcom Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
90	04/03/2024	Joint	BMJ	Bristol-Myers Squibb Company Common Stock	Sale (Full)	\$1,001 - \$15,000	--
91	04/03/2024	Joint	EXR	Extra Space Storage Inc Common Stock	Sale (Full)	\$1,001 - \$15,000	--
92	04/03/2024	Joint	IEX	IDEX Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
93	04/03/2024	Joint	FTNT	Fortinet, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
94	04/03/2024	Joint	LLY	Eli Lilly and Company Common Stock	Sale (Full)	\$15,001 - \$50,000	--
95	04/03/2024	Joint	CCJ	Cameco Corporation	Sale (Full)	\$1,001 - \$15,000	--

Common Stock
Option Type:
Put
Strike price:
\$45.00
Expires:
09/20/2024

96	04/03/2024	Joint	MCHP	Microchip Technology Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 10/18/2024	Purchase	\$1,001 - \$15,000	--
97	04/03/2024	Joint	MCHP	Microchip Technology Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$80.00 <i>Expires:</i> 10/18/2024	Purchase	\$1,001 - \$15,000	--
98	04/03/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 01/17/2025	Sale (Full)	\$15,001 - \$50,000	--
99	04/03/2024	Joint	GILD	Gilead Sciences, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 11/15/2024	Sale (Full)	\$1,001 - \$15,000	--
100	04/03/2024	Joint	ABBV	AbbVie Inc. Common Stock Option Type: Put <i>Strike price:</i> \$130.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--

101	04/03/2024	Joint	BMY	Bristol-Myers Squibb Company Common Stock Option Type: Put <i>Strike price:</i> \$50.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
102	04/03/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 09/20/2024	Sale (Full)	\$1,001 - \$15,000	--
103	04/04/2024	Joint	KEYS	Keysight Technologies Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
104	04/04/2024	Joint	NDAQ	Nasdaq, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
105	04/04/2024	Joint	PAYC	Paycom Software, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
106	04/04/2024	Joint	PHM	PulteGroup, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
107	04/04/2024	Joint	REGN	Regeneron Pharmaceuticals, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
108	04/04/2024	Joint	QSR	Restaurant Brands International Inc	Sale (Full)	\$1,001 - \$15,000	--
109	04/04/2024	Joint	ROK	Rockwell Automation, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
110	04/04/2024	Joint	SSD	Simpson Manufacturing Company, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
111	04/04/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$175.00 <i>Expires:</i>	Purchase	\$1,001 - \$15,000	--

01/17/2025

112	04/04/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$180.00 <i>Expires:</i> 01/17/2025	Purchase	\$15,001 - \$50,000	--
113	04/04/2024	Joint	WU	Western Union Company (The) Common Stock	Sale (Full)	\$1,001 - \$15,000	--
114	04/04/2024	Joint	AMAT	Applied Materials, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
115	04/05/2024	Joint	A	Agilent Technologies, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
116	04/05/2024	Joint	BRO	Brown & Brown, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
117	04/05/2024	Joint	CBSH	Commerce Bancshares, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
118	04/05/2024	Joint	DECK	Deckers Outdoor Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
119	04/05/2024	Joint	GILD	Gilead Sciences, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
120	04/05/2024	Joint	PAC	Grupo Aeroportuario Del Pacifico, S.A. B. de C.V.	Purchase	\$1,001 - \$15,000	--
121	04/05/2024	Joint	JKHY	Jack Henry & Associates, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
122	04/05/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock	Purchase	\$1,001 - \$15,000	--
123	04/05/2024	Joint	LULU	lululemon athletica inc. -	Purchase	\$1,001 - \$15,000	--

				Common Stock			
124	04/05/2024	Joint	NTES	Netease Inc ADR	Purchase	\$1,001 - \$15,000	--
125	04/05/2024	Joint	MSI	Motorola Solutions, Inc. Common Stock	Purchase	\$1,001 - \$15,000	--
126	04/05/2024	Joint	NFLX	Netflix, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
127	04/05/2024	Joint	ODFL	Old Dominion Freight Line, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
128	04/05/2024	Joint	ODFL	Old Dominion Freight Line, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
129	04/05/2024	Joint	QLYS	Qualys, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
130	04/05/2024	Joint	SWKS	Skyworks Solutions, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
131	04/05/2024	Joint	SNPS	Synopsys, Inc. - Common Stock	Purchase	\$1,001 - \$15,000	--
132	04/05/2024	Joint	TEL	Te Connectivity Ltd	Purchase	\$1,001 - \$15,000	--
133	04/05/2024	Joint	SWKS	Skyworks Solutions, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$100.00 <i>Expires:</i> 11/15/2024	Sale (Full)	\$15,001 - \$50,000	--
134	04/18/2024	Joint	NTES	Netease Inc ADR	Sale (Full)	\$1,001 - \$15,000	--
135	04/18/2024	Joint	KMB	Kimberly-Clark Corporation Common Stock Option Type: Put <i>Strike price:</i> \$115.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
136	04/29/2024	Joint	PAC	Grupo Aeroportuario Del Pacifico ADR	Sale (Full)	\$1,001 - \$15,000	--
137	04/29/2024	Joint	MMM	3M Company Common Stock	Sale (Full)	\$15,001 - \$50,000	--
138	04/29/2024	Joint	SWKS	Skyworks Solutions, Inc. -	Purchase	\$15,001 - \$50,000	--

Common Stock
Option Type:
Put
Strike price:
\$100.00
Expires:
11/15/2024

139	04/29/2024	Joint	CLX	Clorox Company Option Type: Put <i>Strike price:</i> \$135.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
140	04/29/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
141	04/29/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
142	04/29/2024	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$150.00 <i>Expires:</i> 12/20/2024	Purchase	\$1,001 - \$15,000	--
143	04/29/2024	Joint	QCOM	QUALCOMM Incorporated - Common Stock Option Type: Put <i>Strike price:</i> \$155.00 <i>Expires:</i> 12/20/2024	Purchase	\$15,001 - \$50,000	--
144	04/29/2024	Joint	CCL	Carnival Corporation	Purchase	\$1,001 - \$15,000	--

Common Stock
Option Type:
Put
Strike price:
\$17.50
Expires:
06/21/2024

145	04/29/2024	Joint	CVX	Chevron Corporation Common Stock Option Type: Call <i>Strike price:</i> \$160.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
146	04/29/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
147	04/29/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock Option Type: Put <i>Strike price:</i> \$70.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
148	04/30/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Sale (Partial)	\$50,001 - \$100,000	--
149	04/30/2024	Joint	CVX	Chevron Corporation Common Stock	Sale (Full)	\$100,001 - \$250,000	--
150	04/30/2024	Joint	XOM	Exxon Mobil Corporation Common Stock	Sale (Full)	\$50,001 - \$100,000	--
151	04/30/2024	Joint	GOGL	Golden Ocean Group Limited - Common Stock	Sale (Full)	\$50,001 - \$100,000	--
152	04/30/2024	Joint	KEY	KeyCorp Common Stock	Sale (Full)	\$50,001 - \$100,000	--
153	04/30/2024	Joint	NVDA	NVIDIA Corporation - Common Stock	Sale (Full)	\$15,001 - \$50,000	--

154	04/30/2024	Joint	OXY	Occidental Petroleum Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--
155	04/30/2024	Joint	SSBK	Southern States Bancshares, Inc. - Common Stock	Sale (Full)	\$50,001 - \$100,000	--
156	04/30/2024	Joint	SBLK	Star Bulk Carriers Corp. - Common Shares	Sale (Full)	\$50,001 - \$100,000	--
157	04/30/2024	Joint	PYPL	PayPal Holdings, Inc. - Common Stock Option Type: Put <i>Strike price:</i> \$75.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
158	04/30/2024	Joint	WBA	Walgreens Boots Alliance, Inc. - Common Stock	Sale (Full)	\$50,001 - \$100,000	--
159	05/03/2024	Joint	A	Agilent Technologies, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
160	05/03/2024	Joint	ASML	ASML Holding N.V. - New York Registry Shares	Sale (Full)	\$15,001 - \$50,000	--
161	05/03/2024	Joint	BRO	Brown & Brown, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
162	05/03/2024	Joint	DOW	Dow Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
163	05/03/2024	Joint	CDNS	Cadence Design Systems, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
164	05/03/2024	Joint	CTAS	Cintas Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
165	05/03/2024	Joint	CPRT	Copart, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
166	05/03/2024	Joint	DECK	Deckers Outdoor Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
167	05/03/2024	Joint	CBSH	Commerce Bancshares, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
168	05/03/2024	Joint	ELS	Equity Lifestyle Properties, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--

169	05/03/2024	Joint	FDS	FactSet Research Systems Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
170	05/03/2024	Joint	GLPI	Gaming and Leisure Properties, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
171	05/03/2024	Joint	GRMN	Garmin Ltd. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
172	05/03/2024	Joint	GGG	Graco Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
173	05/03/2024	Joint	JKHY	Jack Henry & Associates, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
174	05/03/2024	Joint	HSY	The Hershey Company Common Stock	Sale (Full)	\$1,001 - \$15,000	--
175	05/03/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
176	05/03/2024	Joint	HUMA	Humacyte, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
177	05/03/2024	Joint	ITW	Illinois Tool Works Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
178	05/03/2024	Joint	INFY	Infosys Limited American Depositary Shares	Sale (Full)	\$1,001 - \$15,000	--
179	05/03/2024	Joint	KLAC	KLA Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
180	05/03/2024	Joint	LULU	lululemon athletica inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
181	05/03/2024	Joint	MA	Mastercard Incorporated Common Stock	Sale (Full)	\$1,001 - \$15,000	--
182	05/03/2024	Joint	MPWR	Monolithic Power Systems, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
183	05/03/2024	Joint	MCO	Moody's Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
184	05/03/2024	Joint	MSI	Motorola Solutions, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
185	05/03/2024	Joint	NFLX	Netflix, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--

186	05/03/2024	Joint	NXPI	NXP Semiconductors N.V. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
187	05/03/2024	Joint	NDSN	Nordson Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
188	05/03/2024	Joint	ODFL	Old Dominion Freight Line, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
189	05/03/2024	Joint	PAYX	Paychex, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
190	05/03/2024	Joint	PYPL	PayPal Holdings, Inc. - Common Stock	Sale (Full)	\$100,001 - \$250,000	--
191	05/03/2024	Joint	PEP	PepsiCo, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
192	05/03/2024	Joint	PFE	Pfizer, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
193	05/03/2024	Joint	PG	Procter & Gamble Company (The) Common Stock	Sale (Full)	\$1,001 - \$15,000	--
194	05/03/2024	Joint	QLYS	Qualys, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
195	05/03/2024	Joint	RELX	Relx Plc ADR	Sale (Full)	\$1,001 - \$15,000	--
196	05/03/2024	Joint	RMD	ResMed Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
197	05/03/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC ADR	Sale (Partial)	\$1,001 - \$15,000	--
198	05/03/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC ADR	Sale (Partial)	\$1,001 - \$15,000	--
199	05/03/2024	Joint	--	ROLLS-ROYCE HOLDINGS PLC ADR	Sale (Full)	\$1,001 - \$15,000	--
200	05/03/2024	Joint	SOLV	Solventum Corporation Common Stock	Purchase	\$1,001 - \$15,000	--
201	05/03/2024	Joint	SNPS	Synopsys, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
202	05/03/2024	Joint	TEL	Te Connectivity Ltd	Sale (Full)	\$1,001 - \$15,000	--
203	05/03/2024	Joint	TER	Teradyne, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
204	05/03/2024	Joint	UI	Ubiquiti Inc.	Sale	\$1,001 -	--

				Common Stock	(Full)	\$15,000	
205	05/03/2024	Joint	VRSK	Verisk Analytics, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
206	05/03/2024	Joint	VRTX	Vertex Pharmaceuticals Incorporated - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
207	05/03/2024	Joint	V	Visa Inc.	Sale (Full)	\$1,001 - \$15,000	--
208	05/03/2024	Joint	WAT	Waters Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
209	05/07/2024	Joint	WMS	Advanced Drainage Systems, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
210	05/07/2024	Joint	GOLD	Barrick Gold Corp	Sale (Full)	\$100,001 - \$250,000	--
211	05/07/2024	Joint	FITB	Fifth Third Bancorp - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
212	05/07/2024	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$100,001 - \$250,000	--
213	05/07/2024	Joint	LSCC	Lattice Semiconductor Corporation - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
214	05/07/2024	Joint	CCJ	Cameco Corporation Common Stock Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
215	05/07/2024	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 11/15/2024	Purchase	\$1,001 - \$15,000	--
216	05/07/2024	Joint	CVX	Chevron Corporation Common Stock Option Type:	Purchase	\$1,001 - \$15,000	--

Put
Strike price:
\$145.00
Expires:
09/20/2024

217	05/07/2024	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 06/21/2024	Purchase	\$1,001 - \$15,000	--
218	05/07/2024	Joint	GOLD	Barrick Gold Corp Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
219	05/07/2024	Joint	FITB	Fifth Third Bancorp - Common Stock Option Type: Call <i>Strike price:</i> \$30.00 <i>Expires:</i> 05/17/2024	Purchase	\$1,001 - \$15,000	--
220	05/07/2024	Joint	ARKK	Ark Innovation ETF Option Type: Call <i>Strike price:</i> \$51.00 <i>Expires:</i> 12/20/2024	Purchase	\$1,001 - \$15,000	--
221	05/08/2024	Joint	XBI	S&P Biotech SPDR Option Type: Put <i>Strike price:</i> \$90.00 <i>Expires:</i> 10/18/2024	Purchase	\$1,001 - \$15,000	--
222	05/08/2024	Joint	XBI	S&P Biotech SPDR Option Type: Call <i>Strike price:</i> \$100.00 <i>Expires:</i> 10/18/2024	Purchase	\$1,001 - \$15,000	--

223	05/08/2024	Joint	GILD	Gilead Sciences, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
224	05/08/2024	Joint	GOLD	Barrick Gold Corp Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
225	05/08/2024	Joint	SWKS	Skyworks Solutions, Inc. - Common Stock	Sale (Full)	\$1,001 - \$15,000	--
226	05/08/2024	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$100,001 - \$250,000	--
227	05/08/2024	Joint	ADBE	Adobe Systems Inc Option Type: Put <i>Strike price:</i> \$500.00 <i>Expires:</i> 12/20/2024	Purchase	\$15,001 - \$50,000	--
228	05/08/2024	Joint	GOLD	Barrick Gold Corp Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
229	05/09/2024	Joint	KEY	Keycorp Option Type: Put <i>Strike price:</i> \$15.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
230	05/09/2024	Joint	INTC	Intel Corporation - Common Stock	Purchase	\$50,001 - \$100,000	--
231	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$15,001 - \$50,000	--
232	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$1,001 - \$15,000	--
233	05/10/2024	Joint	INTC	Intel Corporation - Common Stock	Sale (Partial)	\$100,001 - \$250,000	--
234	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Purchase	\$1,001 - \$15,000	--

Option Type:
Call
Strike price:
\$17.00
Expires:
06/21/2024

235	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Purchase	\$1,001 - \$15,000	--
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Option Type:
Call
Strike price:
\$17.00
Expires:
06/21/2024

236	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Purchase	\$1,001 - \$15,000	--
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Option Type:
Call
Strike price:
\$17.00
Expires:
06/21/2024

237	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Purchase	\$1,001 - \$15,000	--
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Option Type:
Call
Strike price:
\$17.00
Expires:
06/21/2024

238	05/10/2024	Joint	PARA	Paramount Global CI B	Sale (Full)	\$100,001 - \$250,000	--
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239	05/10/2024	Joint	GILD	Gilead Sciences Inc	Purchase	\$1,001 - \$15,000	--
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Option Type:
Put
Strike price:
\$70.00
Expires:
11/15/2024

240	05/10/2024	Joint	GILD	Gilead Sciences Inc	Purchase	\$1,001 - \$15,000	--
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Option Type:
Put
Strike price:
\$70.00
Expires:
11/15/2024

241	05/10/2024	Joint	GILD	Gilead Sciences Inc	Purchase	\$1,001 - \$15,000	--
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Option Type:
Put
Strike price:

\$70.00
Expires:
 11/15/2024

242	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc Option Type: Put <i>Strike price:</i> \$17.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
243	05/10/2024	Joint	ARKK	Ark Innovation ETF Option Type: Put <i>Strike price:</i> \$45.00 <i>Expires:</i> 09/20/2024	Purchase	\$1,001 - \$15,000	--
244	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 01/17/2025	Purchase	\$1,001 - \$15,000	--
245	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc Option Type: Call <i>Strike price:</i> \$20.00 <i>Expires:</i> 07/19/2024	Purchase	\$1,001 - \$15,000	--
246	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$50,001 - \$100,000	--
247	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$100,001 - \$250,000	--
248	05/10/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$50,001 - \$100,000	--
249	05/13/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Full)	\$100,001 - \$250,000	--
250	05/13/2024	Joint	CLF	Cleveland-Cliffs Inc	Sale (Partial)	\$100,001 - \$250,000	--
251	05/13/2024	Joint	INTC	Intel Corp	Sale (Partial)	\$100,001 - \$250,000	--
252	05/13/2024	Joint	INTC	Intel Corp	Sale	\$100,001	--

					(Full)	- \$250,000	
253	08/26/2024	Joint	UPS	United Parcel Service, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
254	08/26/2024	Joint	CVS	CVS Health Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
255	08/26/2024	Joint	MDT	Medtronic plc. Ordinary Shares	Sale (Full)	\$15,001 - \$50,000	--
256	08/26/2024	Self	ABNFX	The Bond Fd of America Class F-2 Shares <i>Company:</i> AMERICAN BOND FUND OF AMERICA CL F2	Purchase	\$50,001 - \$100,000	--
257	08/26/2024	Self	EIBLX	Eaton Vance Floating Rate Fund Insti Share <i>Company:</i> EATON VANCE FLOATING RATE CLASS I	Sale (Full)	\$50,001 - \$100,000	--
258	08/26/2024	Self	AAPL	Apple Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
259	10/29/2024	Joint	MCD	McDonald's Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--
260	10/29/2024	Joint	KMB	Kimberly-Clark Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--
261	10/29/2024	Joint	TRV	The Travelers Companies, Inc. Common Stock	Sale (Full)	\$15,001 - \$50,000	--
262	10/29/2024	Joint	OC	Owens Corning Inc Common Stock New	Sale (Full)	\$15,001 - \$50,000	--
263	10/29/2024	Joint	--	Otis Worldwide Corporation Common Stock	Sale (Full)	\$1,001 - \$15,000	--
264	10/29/2024	Joint	--	Nestle ADR	Sale (Full)	\$15,001 - \$50,000	--
265	10/29/2024	Joint	MSFT	Microsoft Corporation - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
266	10/29/2024	Joint	JNJ	Johnson & Johnson Common Stock	Sale (Full)	\$15,001 - \$50,000	--

267	10/29/2024	Joint	HON	Honeywell International Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
268	10/29/2024	Joint	CTVA	Corteva, Inc. Common Stock	Sale (Full)	\$1,001 - \$15,000	--
269	10/29/2024	Joint	AAPL	Apple Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
270	10/29/2024	Joint	ADBE	Adobe Inc. - Common Stock	Sale (Full)	\$15,001 - \$50,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **No**

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	In-curred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2012	Joint	Mortgage	0	LIBOR+1.25% (25 years)	\$500,001 - \$1,000,000	Bank of America Tampa, FL	n/a
2	2021	Self	Mortgage	0	2.5% (30 years)	\$500,001 - \$1,000,000	Prime Meridian Tallahassee, FL	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2015 to present	Other (Founding Member)	Tommy Tuberville Foundation Auburn, AL	Nonprofit Organization	n/a
2	Feb 2005 to Apr 2024	Other (Member)	Tiger Farms, LLC Auburn, Alabama	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties In- volved	Type	Status and Terms	Comments
1	Dec 1998	Retirement Systems of Alabama Birmingham, AL	Continuing participation in an employee benefit plan	Pension plan from which the Senator is currently receiving monthly payments.	n/a
2	Jan 1986	University of Miami Miami, FL	Continuing participation in an employee benefit plan	Pension plan from which the Senator is not yet receiving payments or distributions.	n/a
3	Jul 2017	Walt Disney Company Burbank, CA	Continuing participation in an employee benefit plan	401k profit- sharing plan from which the Senator is not currently receiving income.	n/a
4	Nov 2009	WB Studio Enterprises Inc. Burbank, CA	Royalty Agreement	Agreement to receive continuing royalties from movie appearance in The Blind Side.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

Periodic Transaction Report for 02/14/2025

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 02/14/2025 @ 11:01 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (6 transactions total) 0 Self 6 Joint 0 Spouse 0 Dependent Child

#	Transac- tion Date	Own- er	Tick- er	Asset Name	As- set Type	Type	Amount	Comment
6	01/10/2025	Joint	<u>TSCO</u>	Tractor Supply Company	Stock	Sale (Full)	\$15,001 - \$50,000	--
5	01/10/2025	Joint	<u>CARR</u>	Carrier Global Corp	Stock	Sale (Full)	\$1,001 - \$15,000	--
4	01/10/2025	Joint	<u>TXN</u>	Texas Instruments Incorporated - Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
3	01/10/2025	Joint	<u>DEO</u>	Diageo Plc ADR	Stock	Sale (Full)	\$15,001 - \$50,000	--
2	01/10/2025	Joint	<u>MSFT</u>	Microsoft Corp	Stock	Sale (Full)	\$15,001 - \$50,000	--
1	01/10/2025	Joint	<u>AAPL</u>	Apple Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--

Financial Disclosures

Periodic Transaction Report for 05/15/2025

Mr. Thomas H Tuberville (Tuberville, Tommy)

■ Filed 05/15/2025 @ 1:08 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☰ Transactions (16 transactions total) 3 Self 13 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
16	04/15/2025	Self	<u>BMJ</u>	Bristol-Myers Squibb Company	Stock	Sale (Full)	\$1,001 - \$15,000	--
15	04/15/2025	Self	<u>PYPL</u>	Paypal Holdings	Stock	Sale (Full)	\$15,001 - \$50,000	--
14	04/15/2025	Self	<u>USIG</u>	iShares Broad USD Investment Grade Corporate Bond	Stock	Purchase	\$50,001 - \$100,000	--
13	04/15/2025	Joint	<u>JPM</u>	JP Morgan Chase & Company	Stock	Sale (Full)	\$15,001 - \$50,000	--
12	04/15/2025	Joint	<u>GOOGL</u>	Alphabet Cl A	Stock	Sale (Full)	\$15,001 - \$50,000	--
11	04/15/2025	Joint	<u>AMGN</u>	Amgen Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--
10	04/15/2025	Joint	<u>AAPL</u>	Apple Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--
9	04/15/2025	Joint	<u>ADM</u>	Archer Daniels Midland	Stock	Sale (Full)	\$15,001 - \$50,000	--
8	04/15/2025	Joint	<u>CSX</u>	CSX Corp	Stock	Sale (Full)	\$15,001 - \$50,000	--
7	04/15/2025	Joint	<u>STZ</u>	Constellation Brands Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--
6	04/15/2025	Joint	<u>DUK</u>	Duke Energy Corp	Stock	Sale (Full)	\$15,001 - \$50,000	--
5	04/15/2025	Joint	<u>GS</u>	Goldman Sachs Group	Stock	Sale (Full)	\$15,001 - \$50,000	--
4	04/15/2025	Joint	<u>LLY</u>	Eli Lilly and Company	Stock	Sale (Full)	\$15,001 - \$50,000	--
3	04/15/2025	Joint	<u>MA</u>	Mastercard Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--
2	04/15/2025	Joint	<u>MSFT</u>	Microsoft Corp	Stock	Sale (Full)	\$15,001 - \$50,000	--
1	04/15/2025	Joint	--	Qualcomm Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--

Periodic Transaction Report for 11/15/2025

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 11/15/2025 @ 10:30 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (7 transactions total) 0 Self 7 Joint 0 Spouse 0 Dependent Child

#	Transac- tion Date	Own- er	Ticker	Asset Name	Asset Type	Type	Amount	Comment
7	10/07/2025	Joint	<u>PEP</u>	Pepsico Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--
6	10/07/2025	Joint	<u>OC</u>	Owens Corning Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--
5	10/07/2025	Joint	<u>MSFT</u>	Microsoft Corp	Stock	Sale (Full)	\$15,001 - \$50,000	--
4	10/07/2025	Joint	<u>AAPL</u>	Apple Inc	Stock	Sale (Full)	\$15,001 - \$50,000	--
3	10/07/2025	Joint	<u>GOOGL</u>	Alphabet Inc. - Class A Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
2	10/07/2025	Joint	<u>ORCL</u>	Oracle Corporation Common Stock	Stock	Sale (Full)	\$15,001 - \$50,000	--
1	10/07/2025	Joint	--	BIRMINGHAM ALA GO WTS SER. 2018 B <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 12/01/2043	Municipal Security	Sale (Full)	\$15,001 - \$50,000	--

Periodic Transaction Report for 01/15/2026

Mr. Thomas H Tuberville (Tuberville, Tommy)

Filed 01/15/2026 @ 9:53 AM

The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

Transactions (5 transactions total) 5 Self 0 Joint 0 Spouse 0 Dependent Child

#	Transaction Date	Owner	Ticker	Asset Name	Asset Type	Type	Amount	Comment
5	12/17/2025	Self	<u>XLU</u>	SPDR Select Sector Fund - Utilities	Stock	Purchase	\$15,001 - \$50,000	--
4	12/17/2025	Self	<u>XLP</u>	SPDR Select Sector Fund - Consumer Staples	Stock	Purchase	\$15,001 - \$50,000	--
3	12/17/2025	Self	<u>XLV</u>	SPDR Select Sector Fund - Health Care	Stock	Purchase	\$15,001 - \$50,000	--
2	12/17/2025	Self	<u>AAPL</u>	Apple Inc	Stock	Sale (Full)	\$50,001 - \$100,000	--
1	12/17/2025	Self	<u>GOOGL</u>	Alphabet Cl A	Stock	Sale (Full)	\$1,001 - \$15,000	--

United States Senate

Financial Disclosures

Annual Report for Calendar 2025

Mr. Thomas H Tuberville (Tuberville, Tommy)

 Filed 08/11/2026 @ 11:27 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Pension	Retirement Systems of Alabama Montgomery, AL	\$47,071.32	n/a
2	Self	Royalties	WB Studio Enterprises Inc. Burbank, CA	\$853.42	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Hancock Whitney Bank (Santa Rosa Beach, FL) <i>Type: Checking</i>	Bank Deposit	Joint	\$1,001 - \$15,000	Interest	None (or less than \$201)
2	Prudential Variable Annuity <i>Provider: Prudential</i>	Annuity Variable Annuity	Self	--		
2.1	AST Preservation Asset Allocation Portfolio	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
2.2	AST Prudential Growth Allocation	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None	None (or less than \$201)
2.3	AST Balanced Asset Allocation	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None	None (or less than \$201)
2.4	AST J.P. Morgan Moderate Multi-Asset	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
2.5	AST PGIM Agressive Multi-Asset Port	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
3	Osaic IRA (formerly Lincoln IRA)	Retirement Plans IRA	Self	--		
3.1	Fidelity (FDRXX) (Boston, MA) <i>Type: Brokerage Sweep Account</i>	Bank Deposit	Self	\$250,001 - \$500,000	None	None (or less than \$201)
3.2	GOOGL - Alphabet Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None	None (or less than \$201)
3.3	AAPL - Apple Inc.	Corporate Securities Stock	Self	\$250,001 - \$500,000	None	None (or less than \$201)
3.4	C - Citigroup Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.5	NUE - Nucor	Corporate	Self	\$50,001 -	None	None (or

	Corporation	Securities Stock		\$100,000		less than \$201)
3.6	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.7	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.8	<u>UAA</u> - Under Armour, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
3.9	<u>VZ</u> - Verizon Communications Inc.	Corporate Securities Stock	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.10	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Self	\$100,001 - \$250,000	None	None (or less than \$201)
3.11	<u>FSPSX</u> - Fidelity International Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.12	<u>FSMDX</u> - Fidelity Mid Cap Index Fund	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.13	<u>SGIIX</u> - First Eagle Global Fund Class I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.14	<u>TDIV</u> - First Trust NASDAQ Technology Dividend Index Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.15	<u>IVV</u> - iShares Core S&P 500 ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.16	<u>XLP</u> - Consumer Staples Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.17	<u>XLU</u> - Utilities Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.18	<u>XLV</u> - Health Care Select Sector SPDR	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)

Fund						
3.19	<u>XAR</u> - SPDR S&P Aerospace & Defense ETF	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None	None (or less than \$201)
3.20	<u>VIG</u> - Vanguard Dividend Appreciation Index Fund ETF Shar	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	None	None (or less than \$201)
3.21	<u>QQQ</u> - Invesco QQQ Trust	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None	None (or less than \$201)
3.22	<u>PFF</u> - iShares Preferred and Income Securities ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	None	None (or less than \$201)
3.23	<u>DTD</u> - WisdomTree U.S. Total Dividend Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.24	<u>BMJ</u> - Bristol-Myers Squibb Company Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None	None (or less than \$201)
3.25	PayPal Holdings, Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	None	None (or less than \$201)
3.26	<u>WAB</u> - WABTEC COM	Corporate Securities Stock	Self	\$1,001 - \$15,000	None	None (or less than \$201)
3.27	<u>ABNFX</u> - The Bond Fund of America Class F2	Corporate Securities Stock	Self	\$100,001 - \$250,000	None	None (or less than \$201)
3.28	<u>RSP</u> - Invesco S&P 500 Equal Weight ETF	Corporate Securities Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.29	<u>DHS</u> - Wisdomtree U.S. High Dividend Fund	Corporate Securities Stock	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.30	<u>US Treas Bills Zero CPN</u> Rate/Coupon: None Matures: None	Government Securities US Treasury/Agency Security	Self	None (or less than \$1,001)	None	None (or less than \$201)

3.31	<u>FSMSX</u> - FS Multi-Strategy Alternatives Fund Class I	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.32	<u>PQIIX</u> - Pimco Dividend and Income Fund Class Instl	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.33	<u>LVHI</u> - Legg Mason International Low Volatility High Divid	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.34	<u>DGRW</u> - WisdomTree U.S. Quality Dividend Growth Fund	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	None	None (or less than \$201)
3.35	<u>USIG</u> - iShares Broad USD Investment Grade Corporate Bond ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.36	<u>HELO</u> - JPMorgan Hedged Equity Laddered Overlay ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.37	<u>PFIUX</u> - PIMCO Dynamic Bond Fund - Institutional	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
3.38	<u>XLF</u> - The Financial Select Sector SPDR Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	None	None (or less than \$201)
4	Osaic Joint Brokerage Account (formerly Lincoln Joint Brokerage Account)	Brokerage/Managed Account	Joint	--		
4.1	Fidelity (SPAXX) (Boston, MA)	Bank Deposit	Joint	\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000

Type: Brokerage
Sweep Account

4.2	<u>ABBV</u> - AbbVie Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$201 - \$1,000
4.3	<u>ACN</u> - Accenture plc	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.4	<u>GOOGL</u> - Alphabet Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$15,001 - \$50,000
4.5	<u>AWK</u> - American Water Works Company, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.6	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
4.7	<u>AAPL</u> - Apple Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$50,001 - \$100,000
4.8	<u>ADM</u> - Archer-Daniels-Midland Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$2,501 - \$5,000
4.9	<u>STZ</u> - Constellation Brands, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$1,001 - \$2,500
4.10	<u>DEO</u> - Diageo plc	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends	\$1,001 - \$2,500
4.11	<u>DUK</u> - Duke Energy Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$5,001 - \$15,000
4.12	<u>GS</u> - The Goldman Sachs Group, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$5,001 - \$15,000
4.13	<u>JPM</u> - JPMorgan Chase & Co.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends, Capital Gains	\$15,001 - \$50,000
4.14	<u>LLY</u> - Eli Lilly and Company	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$15,001 - \$50,000
4.15	<u>LMT</u> - Lockheed Martin Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.16	<u>MA</u> - Mastercard	Corporate	Joint	\$15,001 -	Dividends,	\$5,001 -

	Incorporated	Securities Stock		\$50,000	Capital Gains	\$15,000
4.17	<u>MSFT</u> - Microsoft Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$15,001 - \$50,000
4.18	NextEra Energy, Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.19	<u>ORCL</u> - Oracle Corporation	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$5,001 - \$15,000
4.20	<u>PEP</u> - PepsiCo, Inc.	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
4.21	<u>PFE</u> - Pfizer Inc.	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500
4.22	<u>PM</u> - Philip Morris International Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.23	<u>PG</u> - The Procter & Gamble Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.24	<u>QCOM</u> - QUALCOMM Incorporated	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$5,001 - \$15,000
4.25	<u>TXN</u> - Texas Instruments Incorporated	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
4.26	<u>TSCO</u> - Tractor Supply Company	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$15,001 - \$50,000
4.27	<u>WMT</u> - Walmart Inc.	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.28	BIRMINGHAM ALA GO WTS SER (09088RJ31) Rate/Coupon: 5% Matures: 12/01/2043	Government Securities Municipal Security	Joint	None (or less than \$1,001)	Interest	None (or less than \$201)
4.29	<u>CARR</u> - Carrier Global	Corporate Securities	Joint	None (or less than \$1,001)	Dividends, Capital	\$5,001 - \$15,000

	Corporation	Stock			Gains	
4.30	OC - Owens Corning Common Stock New	Corporate Securities Stock	Joint	None (or less than \$1,001)	Dividends, Capital Gains	\$5,001 - \$15,000
4.31	<u>CSX</u> - CSX Corp	Corporate Securities Stock	Joint	\$15,001 - \$50,000	Dividends, Capital Gains	\$5,001 - \$15,000
5	Southern States Bank/First Bank (Auburn, AL) <i>Type: Checking</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest	None (or less than \$201)
6	Fifth Third Bank (Cincinnati, OH) <i>Type: Checking, Savings</i>	Bank Deposit	Joint	\$15,001 - \$50,000	Interest	None (or less than \$201)
7	Alabama Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	Other (Pension Payment)	Other \$47,071.32
8	University of Miami Pension	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None	None (or less than \$201)
9	Disney SIP	Retirement Plans IRA	Self	--		
9.1	BTC LifePath Retirement Fund	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
10	LPL Financial Joint Brokerage Account	Brokerage/Managed Account	Joint	--		
10.1	Goldman Sachs (New York, NY) <i>Type: Money Market Account</i>	Bank Deposit	Joint	None (or less than \$1,001)	Dividends	None (or less than \$201)
11	Property 2 <i>Description: House (Santa Rosa Beach, FL)</i>	Real Estate Residential	Joint	\$500,001 - \$1,000,000	None	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/10/2025	Joint	AAPL	Apple Inc	Sale (Partial)	\$15,001 - \$50,000	--
2	01/10/2025	Joint	MSFT	Microsoft Corp	Sale (Full)	\$15,001 - \$50,000	--
3	01/10/2025	Joint	DEO	Diageo Plc ADR	Sale (Full)	\$15,001 - \$50,000	--
4	01/10/2025	Joint	TXN	Texas Instruments Incorporated - Common Stock	Sale (Full)	\$15,001 - \$50,000	--
5	01/10/2025	Joint	CARR	Carrier Global Corp	Sale (Full)	\$1,001 - \$15,000	--
6	01/10/2025	Joint	TSCO	Tractor Supply Company	Sale (Full)	\$15,001 - \$50,000	--
7	04/15/2025	Joint	--	Qualcomm Inc	Sale (Full)	\$15,001 - \$50,000	--
8	04/15/2025	Joint	MSFT	Microsoft Corp	Sale (Full)	\$15,001 - \$50,000	--
9	04/15/2025	Joint	LLY	Eli Lilly and Company	Sale (Full)	\$15,001 - \$50,000	--
10	04/15/2025	Joint	DUK	Duke Energy Corp	Sale (Full)	\$15,001 - \$50,000	--
11	04/15/2025	Joint	STZ	Constellation Brands Inc	Sale (Full)	\$15,001 - \$50,000	--
12	04/15/2025	Joint	CSX	CSX Corp	Sale (Full)	\$15,001 - \$50,000	--
13	04/15/2025	Joint	ADM	Archer Daniels Midland	Sale (Full)	\$15,001 - \$50,000	--
14	04/15/2025	Joint	AMGN	Amgen Inc	Sale (Full)	\$15,001 - \$50,000	--
15	04/15/2025	Joint	JPM	JP Morgan Chase & Company	Sale (Full)	\$15,001 - \$50,000	--
16	04/15/2025	Joint	GS	Goldman Sachs Group	Sale (Partial)	\$15,001 - \$50,000	--
17	04/15/2025	Joint	AAPL	Apple Inc	Sale (Partial)	\$15,001 - \$50,000	--
18	04/15/2025	Joint	MA	Mastercard Inc	Sale (Partial)	\$15,001 - \$50,000	--
19	04/15/2025	Joint	GOOGL	Alphabet Cl A	Sale (Partial)	\$15,001 - \$50,000	--
20	04/15/2025	Self	USIG	iShares Broad	Purchase	\$50,001	--

				USD Investment Grade Corporate Bond		- \$100,000	
21	04/15/2025	Self	PYPL	Paypal Holdings	Sale (Full)	\$15,001 - \$50,000	--
22	04/15/2025	Self	BMJ	Bristol-Myers Squibb Company	Sale (Full)	\$1,001 - \$15,000	--
23	10/07/2025	Joint	--	BIRMINGHAM ALA GO WTS SER. 2018 B <i>Rate/Coupon:</i> 5.0% <i>Matures:</i> 12/01/2043	Sale (Full)	\$15,001 - \$50,000	--
24	10/07/2025	Joint	ORCL	Oracle Corporation Common Stock	Sale (Full)	\$15,001 - \$50,000	--
25	10/07/2025	Joint	MSFT	Microsoft Corp	Sale (Full)	\$15,001 - \$50,000	--
26	10/07/2025	Joint	OC	Owens Corning Inc	Sale (Full)	\$15,001 - \$50,000	--
27	10/07/2025	Joint	PEP	Pepsico Inc	Sale (Full)	\$15,001 - \$50,000	--
28	10/07/2025	Joint	GOOGL	Alphabet Inc. - Class A Common Stock	Sale (Partial)	\$15,001 - \$50,000	--
29	10/07/2025	Joint	AAPL	Apple Inc	Sale (Partial)	\$15,001 - \$50,000	--
30	12/17/2025	Self	XLV	SPDR Select Sector Fund - Health Care	Purchase	\$15,001 - \$50,000	--
31	12/17/2025	Self	XLP	SPDR Select Sector Fund - Consumer Staples	Purchase	\$15,001 - \$50,000	--
32	12/17/2025	Self	XLU	SPDR Select Sector Fund - Utilities	Purchase	\$15,001 - \$50,000	--
33	12/17/2025	Self	GOOGL	Alphabet Cl A	Sale (Partial)	\$1,001 - \$15,000	--
34	12/17/2025	Self	AAPL	Apple Inc	Sale (Partial)	\$50,001 - \$100,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	<u>DGRW</u>	WisdomTree U.S. Quality Dividend Growth Fund	Sale (Full)	07/22/2025	\$15,001 - \$50,000	n/a
2	Self	<u>PFF</u>	iShares Preferred and Income Securities ETF	Sale (Full)	07/22/2025	\$15,001 - \$50,000	n/a
3	Self	<u>HELO</u>	JPMorgan Hedged Equity Laddered Overlay ETF	Purchase	07/22/2025	\$50,001 - \$100,000	n/a
4	Self	<u>PFIUX</u>	PIMCO Dynamic Bond Fund - Institutional	Purchase	07/22/2025	\$50,001 - \$100,000	n/a
5	Self	<u>XLF</u>	The Financial Select Sector SPDR Fund	Purchase	10/10/2025	\$50,001 - \$100,000	n/a

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	In-curred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2012	Joint	Mortgage	0	LIBOR+1.25% (25 years)	\$500,001 - \$1,000,000	Bank of America Tampa, FL	n/a
2	2021	Self	Mortgage	0	2.5% (30 years)	\$500,001 - \$1,000,000	Prime Meridian Tallahassee, FL	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Mar 2015 to present	Other (Founding Member)	Tommy Tuberville Foundation Auburn, AL	Nonprofit Organization	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties In- volved	Type	Status and Terms	Comments
1	Dec 1998	Retirement Systems of Alabama Birmingham, AL	Continuing participation in an employee benefit plan	Pension plan from which the Senator is currently receiving monthly payments.	n/a
2	Jan 1986	University of Miami Miami, FL	Continuing participation in an employee benefit plan	Pension plan from which the Senator is not yet receiving payments or distributions.	n/a
3	Jul 2017	Walt Disney Company Burbank, CA	Continuing participation in an employee benefit plan	401k profit- sharing plan from which the Senator is not currently receiving income.	n/a
4	Nov 2009	WB Studio Enterprises Inc. Burbank, CA	Royalty Agreement	Agreement to receive continuing royalties from movie appearance in The Blind Side.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.